



United States Department of the Interior
FISH AND WILDLIFE SERVICE
New Mexico Ecological Services Field Office
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Albuquerque, N.M. 87113



Consultation No. 02ENNM00-2014-F-0064

Memorandum

To: Manager, Indian Program Branch, Office of Surface Mining Reclamation and Enforcement, Western Regional Office, Denver, Colorado

From: Supervisor, Fish and Wildlife Service, New Mexico Ecological Services, Albuquerque, New Mexico

Subject: Biological Opinion for the Four Corners Power Plant and Navajo Mine Energy Project

This transmits the U.S. Fish and Wildlife Service (Service) biological opinion (BO) regarding effects of actions associated with the Office of Surface Mining Reclamation and Enforcement (OSMRE) proposed Four Corners Power Plant and Navajo Mine Energy Project on federally listed species and their critical habitats in accordance with section 7(b) of the Endangered Species Act of 1973, as amended (ESA; 16 U.S.C. 1531 et seq.) and implementing regulations (50 CFR 402). Species affected by the proposed action are: endangered Colorado pikeminnow (*Ptychocheilus lucius*) and its critical habitat, endangered razorback sucker (*Xyrauchen texanus*) and its critical habitat, endangered southwestern willow flycatcher (*Empidonax traillii extimus*) (flycatcher), threatened yellow-billed cuckoo (*Coccyzus americanus*) (cuckoo), endangered California condor (*Gymnogyps californianus*), threatened Mexican spotted owl (*Strix occidentalis lucida*), endangered Mancos milkvetch (*Astragalus humillimus*), endangered Fickeisen plains cactus (*Pediocactus peeblesianus* var. *fickeiseniae*), threatened Mesa Verde cactus (*Sclerocactus mesae-verdae*), and threatened Zuni fleabane (*Erigeron rhizomatus*). You determined that the proposed action is likely to adversely affect Colorado pikeminnow and its critical habitat, razorback sucker and its critical habitat, as well as the flycatcher and the cuckoo. You also determined that the proposed action may affect, but is not likely to adversely affect, California condor, Mexican spotted owl, Mancos milk vetch, Fickeisen plains cactus, Mesa Verde cactus and Zuni fleabane.

We concur with OSMRE's determinations (provided in the biological assessment (BA) (OSMRE 2014b)), which justify the findings that the proposed action is not likely to adversely affect California condor, Mexican spotted owl, Mancos milk vetch, Fickeisen plains cactus, Mesa Verde cactus and Zuni fleabane. We base our concurrence on the rationales provided in the BA and additional Service review and analysis. We conclude informal consultation under section 7 of the ESA for California condor, Mexican spotted owl, Mancos milk vetch, Fickeisen plains cactus, Mesa Verde cactus and Zuni fleabane. Please contact the Service if the proposed action

is changed and new information reveals effects of the proposed action to these species or critical habitat to an extent not addressed in the BA or this BO.

This BO does not rely on the regulatory definition of “destruction or adverse modification” of critical habitat at 50 CFR 402.02; instead, we have relied upon the statute and the August 6, 2004, Ninth Circuit Court of Appeals decision in *Gifford Pinchot Task Force v. USDI Fish and Wildlife Service* (CIV No. 03-35279) to complete the following analysis with respect to critical habitat. This consultation analyzes the effects of the action and the relationship of those effects to the function and conservation role of critical habitat for the Colorado pikeminnow and razorback sucker to determine whether the current proposal destroys or adversely modifies critical habitat for these species.

During formal consultation, we found that the proposed action will not jeopardize the continued existence of the Colorado Pikeminnow and razorback sucker; or the flycatcher or cuckoo, and will not adversely modify or destroy their respective designated critical habitats in the San Juan River Basin. Working with OSMRE and others, we developed Conservation Measures, Reasonable and Prudent Measures (RPM), and Terms and Conditions that can be implemented in a manner consistent with the intended purpose of the proposed action, and that can be implemented consistent with the scope of the Federal agencies’ legal authorities and jurisdiction. The RPMs are economically and technologically feasible and we believe would avoid the likelihood of jeopardizing the continued existence of Colorado pikeminnow and razorback sucker, flycatcher, and cuckoo or result in the destruction or adverse modification of their designated critical habitats in the San Juan River Basin. The RPMs are necessary and appropriate to minimize the effect of incidental take associated with the proposed action.

In accordance with section 7 of the ESA and its implementing regulations, the BA and this BO represents the best scientific and commercial information available on the effects of the proposed action to federally listed species and their critical habitats, including from any release of nonnative species, water withdrawal, entrainment, or mercury and selenium emissions and subsequent deposition and accumulation in listed species in the San Juan River Basin. A complete administrative record of this consultation is on file at the Service’s New Mexico Ecological Services Field Office, in Albuquerque, New Mexico.

If you have questions regarding this consultation, please contact David Campbell at (505) 761-4745.

Field Supervisor

Attachment

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**Endangered Species Act – Section 7 Consultation
Biological Opinion**

Four Corners Power Plant and Navajo Mine Energy Project,
New Mexico

Agency: Office of Surface Mining Reclamation and Enforcement

Consultation Conducted By: U.S. Fish and Wildlife Service,
New Mexico Ecological Services Field Office

Date Issued: April 8, 2015

Approved by: Wally Murphy
Field Office Supervisor

Biological Opinion Number: 02ENNM00-2014-F-0064

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LIST OF ACRONYMS

AFY	acre-feet per year
Age 0	age of fish prior to its first anniversary date of hatch, young of year
APS	Arizona Public Service Company
BA	biological assessment
BBNMC	BHP Billiton New Mexico Coal, Inc.
BIA	Bureau of Indian Affairs
BHP	Broken Hill Proprietary
BLM	Bureau of Land Management
BMP	best management practice
BO	biological opinion
BOR	Bureau of Reclamation
C	Celsius
CCR	coal combustion residues
cfs	cubic feet per second
CI	confidence interval
cm	centimeter
CNAP	Colorado Natural Area Program
CNHP	Colorado Natural Heritage Program
COPEC	chemicals of potential environmental concern
CPC	Center for Plant Conservation
DEIS	draft Environmental Impact Statement
DOI	U.S. Department of the Interior
DW	dry weight
EIS	Environmental Impact Statement
EPA	U.S. Environmental Protection Agency
ESA	Endangered Species Act
F	Fahrenheit
FCPP	Four Corners Power Plant
FGD	flue gas desulfurization
ft	feet
g	gram
GWPRF	Ground Water Protection Research Foundation
ha	hectare
hg	mercury (all forms)
in	inch
IPCC	Intergovernmental Panel on Climate Change
ITS	incidental take statement
kg	kilogram
km	kilometer
kV	kilovolt
LANL	Los Alamos National Laboratories
lb	pound
m	meter
meHg	methylmercury

mi	miles
mg/kg	milligrams per kilogram (equivalent to ug/g)
mg/L	milligrams per liter
mm	millimeter
MMCo	BHP Billiton Mine Management Company
MW	megawatt
ng/L	nanograms per liter
NIIP	Navajo Indian Irrigation Project
NMEP	Navajo Mine Energy Project
NNDFW	Navajo Nation Department of Fish and Wildlife
NNEPA	Navajo Nation Environmental Protection Agency
NNHP	Navajo Natural Heritage Program
NOAEL	no observed adverse effect level
NWR	National Wildlife Refuge
NTEC	Navajo Transitional Energy Company, LLC.
ORV	off road vehicle
OSMRE	Office of Surface Mining Reclamation and Enforcement
PAH	polycyclic aromatic hydrocarbon
PCE	primary constituent element
PNM	Public Service Company of New Mexico
POD	plan of development
ppm	parts per million
Reclamation	U.S. Bureau of Reclamation
RM	river mile
ROW	right of way
RPM	reasonable and prudent measure
Service	U.S. Fish and Wildlife Service
SJGS	San Juan Generating Station
SJRRIP	San Juan River Recovery Implementation Program
SL	standard length of a fish is its total length excluding the length of the caudal fin
SMCRA	Surface Mining Control and Reclamation Act of 1977
SO ₂	sulfur dioxide
TL	total length of a fish from tip of snout to flattened end of caudal fin
USFWS	U.S. Fish and Wildlife Service
ug/g	micrograms per gram (equivalent to mg/kg)
ug/L	micrograms per liter
WW	wet weight
YOY	young of year

INTRODUCTION

The Office of Surface Mining Reclamation and Enforcement (OSMRE) and several cooperating agencies are preparing an Environmental Impact Statement (EIS; OSMRE 2014a) for the proposed action under formal consultation (OSMRE 2014b). The proposed action involves federal agency approvals related to the continued operation (from 2016-2041) of the Four Corners Power Plant (FCPP), ongoing mining at Navajo Mine to provide a coal supply to FCPP operations, and issuance or renewal of right-of-ways (ROWs) for several transmission lines and roads associated with the operations of the FCPP and Navajo Mine. The proposed action is collectively termed the Four Corners Power Plant and Navajo Mine Energy Project (FCPP and NMEP, the Project). The OSMRE serves as the Lead Agency for Section 7 consultation on the proposed action with the Service. OSMRE (2014b) described the proposed action in their Biological Assessment (BA) and as supplemented (OSMRE 2014c,d) (the BA and these supporting documents are incorporated herein by reference). The proposed action will require the approval of several other federal Cooperating Agencies including the Bureau of Indian Affairs (BIA), U.S. Environmental Protection Agency (USEPA), U.S. Army Corps of Engineers (USACE or Corps), and Bureau of Land Management (BLM) (OSMRE 2014a,b).

The Project Proponents are Arizona Public Service Company (APS), BHP Billiton Mine Management Company (MMCo), Public Service Company of New Mexico (PNM), and the Navajo Transitional Energy Corporation, LLC (NTEC). APS is part owner of FCPP and represents the ownership of FCPP for the proposed action. APS owns and operates two of the transmission lines that are part of the proposed action. Public Service Company of New Mexico (PNM) is part owner of the FCPP, owns, and operates two of the transmission lines that are part of the proposed action. The NTEC owns and (through a mine management contract with MMCo) operates Navajo Mine.

Background and Consultation History

The BA (OSMRE 2014a) adequately describes the consultation history for the proposed action. The best scientific and commercial data available on mercury (Hg) and selenium (Se) dynamics in the San Juan River Basin have been updated during the ESA consultation on the proposed action (OSMRE 2014a,b; EPRI 2014). Information about the numbers and distribution of endangered fish in the San Juan River Basin and their life history has also been updated (Freques 2010; Houston et al. 2010; Ryden 2012; USFWS 2011, 2012; Durst and Franssen 2014; Franssen et al. 2014; Osmundson and White 2009, 2014; Valdez 2014). Assessments of various trace element emissions, their risks, their bioaccumulation, their effects to endangered fishes in the San Juan River Basin have been updated too (Osmundson and Lusk 2011; AECOMM 2013; EPRI 2014; OSMRE 2014a,b; Miller 2014). Several effects studies specific to Hg in fish were published (Dillon et al. 2010; AECOM 2013; ERM 2014a, b, including references therein). Additionally, BIA has agreed to reconsider its effects findings associated with the Navajo Indian Irrigation Project (NIIP) and other irrigation projects. BIA has begun developing additional scientific information that may be necessary to supplement their BA (BIA 1999). Therefore,

potential future Se discharges potentially from BIA irrigation projects and associated effects to listed species were not considered part of cumulative effects during this ESA consultation.

Since issuance of the BA, several additional meetings have occurred between staff and personnel representing OSMRE, the Service, BIA, APS, PNM, MMCo, and NTEC, as well as various contractors and legal representatives of these entities, to discuss options for ameliorating potential effects to listed species and their critical habitat. In addition, a work group developed a population viability analysis (PVA) for Colorado pikeminnow to identify actions that could potentially be taken to improve its status in the San Juan River Basin (Miller 2014).

On March 13, 2015, OSMRE (2015) and the Project Proponents amended the BA to include a suite of Conservation Measures that are made part of the proposed action, and thereby substantially reduced the Projects' impacts on listed species and their critical habitats. This BO analyzes the effects of the proposed action with those Conservation Measures.

DESCRIPTION OF THE PROPOSED ACTION

ACTION AREA

The action area includes all areas that the proposed action may directly or indirectly affect endangered species or their critical habitat. The proposed action, FCPP and NMEP, is located on the Navajo Nation approximately 15 miles southwest of Farmington, New Mexico (Figure 1). The proposed action includes continued use and maintenance of associated transmission lines that cross Navajo Nation and allotted lands, the Hopi Reservation, the Zia Pueblo, BLM lands, the Petroglyph National Monument, New Mexico State Land Office lands, as well as private land (OSMRE 2014a) (Figure 1).

The action area where direct effects occur includes the Navajo Mine lease areas (Figure 2) and proposed Pinabete Permit Area (Figure 3), the lease area for the FCPP and associated facilities (Figure 4), the APS Weir, and the ROWs for PNM transmission lines to the San Juan Generating Station and West Mesa Switchyard and two ROWs for APS transmission lines within the Navajo Nation boundary (Figure 1) (OSMRE 2014b). The action area where direct and indirect effects occur includes the area that atmospheric trace element deposition from the FCPP emissions would likely occur, as modeled by AECOM (2013) and EPRI (2014), which includes vast portions of the San Juan River Basin and in the Four Corners region (Figure 5). The focus of several analyses in the BA were from the upstream end of the Deposition Area downstream to, and inclusive of, the San Juan Arm of Lake Powell, which may be affected by runoff of materials from the proposed action including the Deposition Area (AECOM 2013; Figure 6).

Geographically, the action area for the proposed project is located in the Four Corners region of the United States; an area associated with the quadripoint consisting of the southwestern corner of Colorado, northwestern corner of New Mexico, northeastern corner of Arizona, and southeastern corner of Utah, and including lands owned by the Navajo Nation and the Hopi. The Four Corners region is part of a larger region known as the Colorado Plateau Province and is mostly rural, rugged, and arid (OSMRE 2014a).

The San Juan River originates in the San Juan Mountains of southwestern Colorado. It flows approximately 31 miles south to the Colorado/New Mexico border, 190 miles westward to the New Mexico/Arizona border, and 136 miles into Lake Powell, at the western edge of the action area (Figure 6). The San Juan River has few perennial tributaries (the Animas River is the largest) and numerous ephemeral drainages that receive substantial seasonal summer flows. In 1962, the U.S. Bureau of Reclamation (Reclamation or BOR 2001) constructed Navajo Dam in the mainstem of the San Jan River just south of the Colorado border in New Mexico to store flows from the San Juan, Los Pinos, and Piedra Rivers (BOR 2001) (Figure 6).

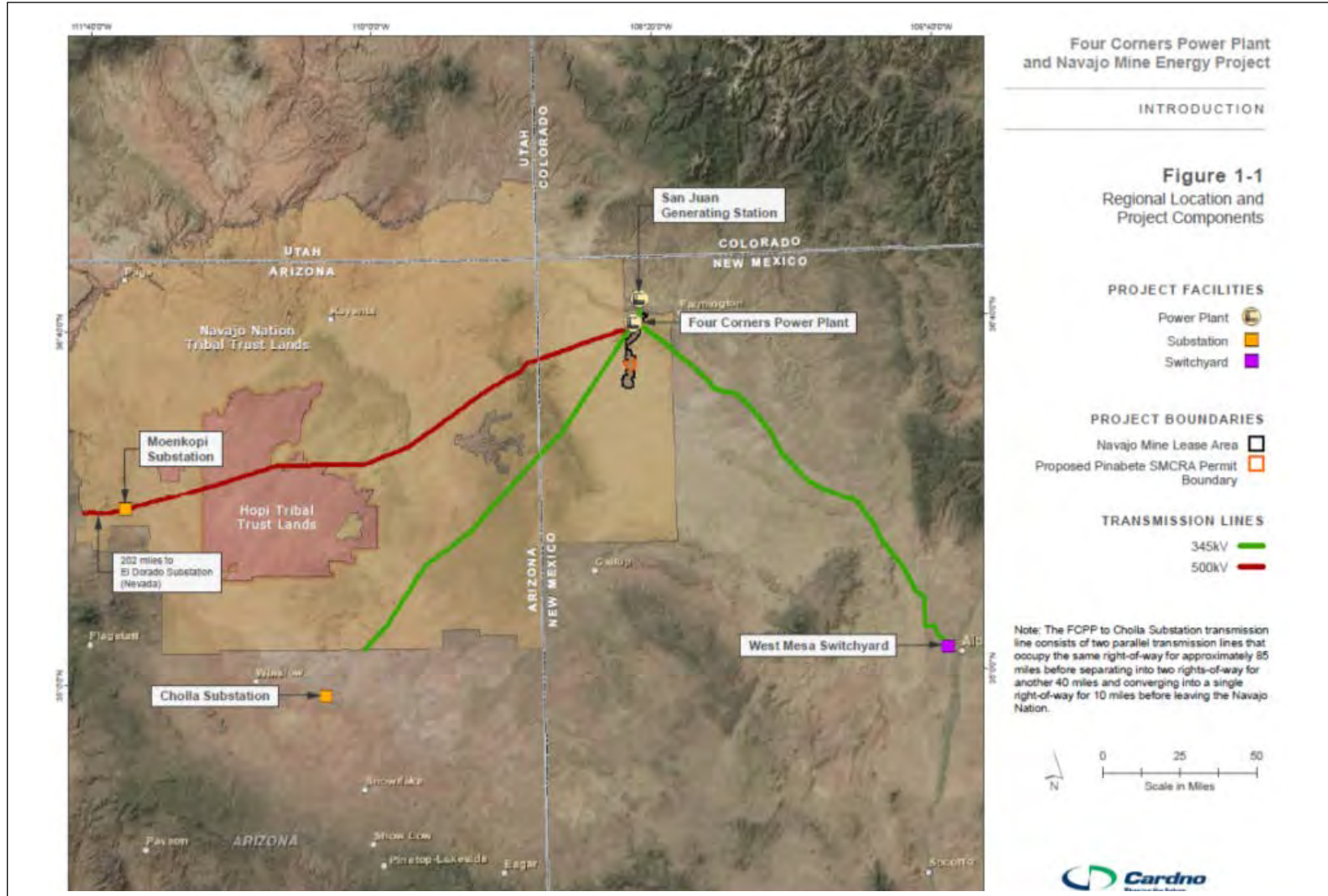


Figure 1. Location of the Four Corners Power Plant and Navajo Mine Energy Project action area (Source: OSMRE 2014a).

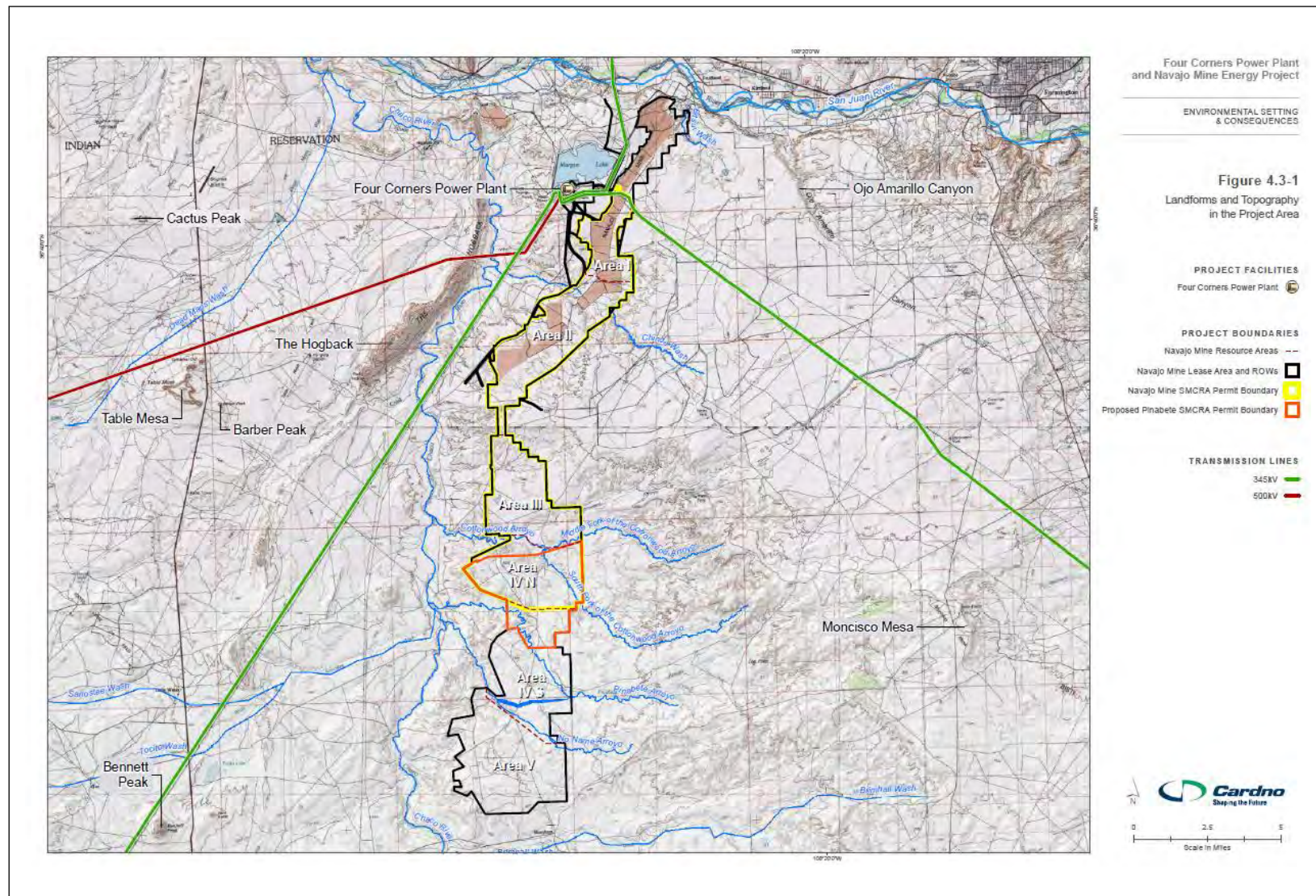


Figure 2. Location of the Navajo Mine operations in the landscape (Source: OSMRE 2014a)

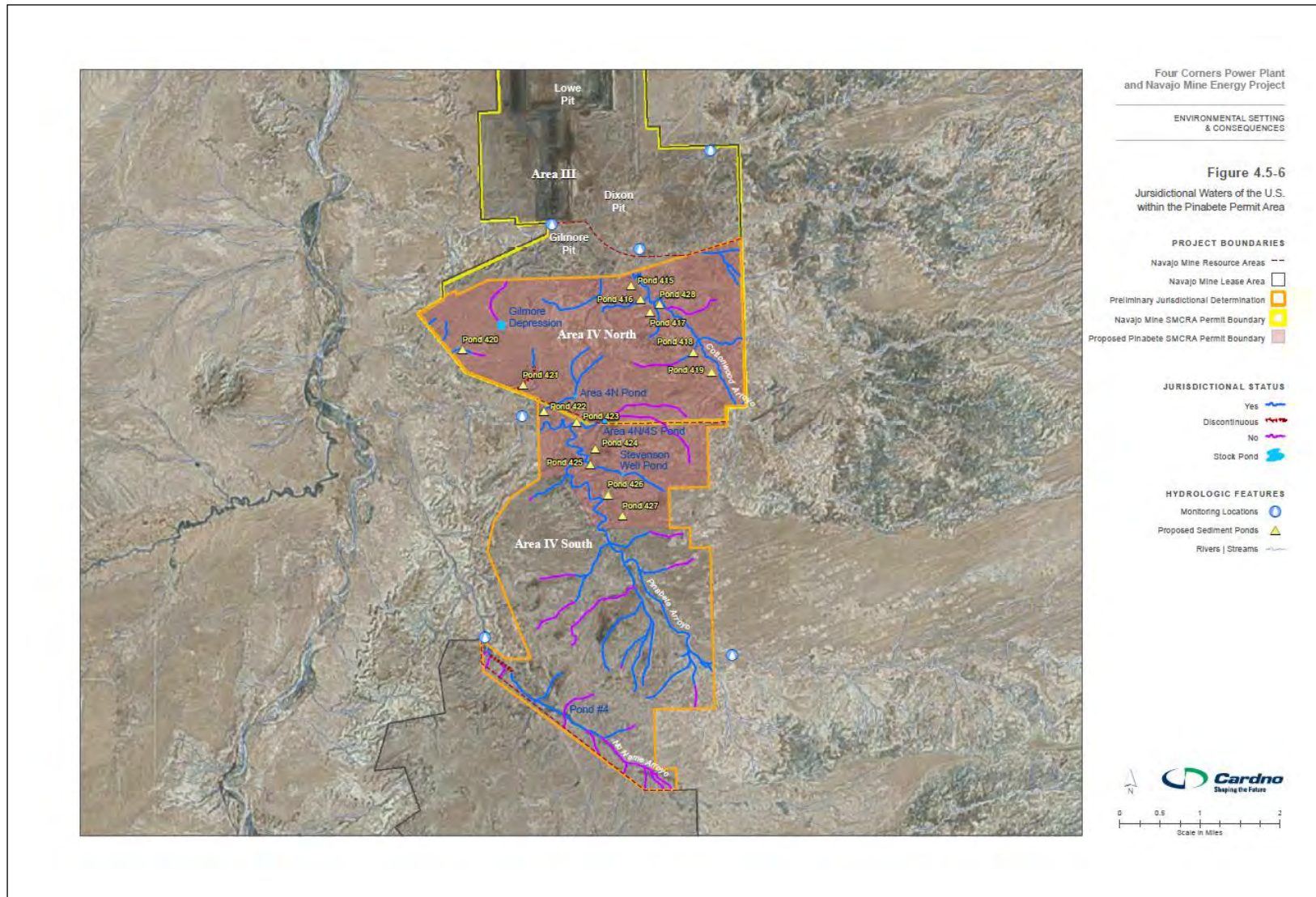


Figure 3. Location of jurisdictional waters on Pinabete Permit Area of Navajo Mine Areas IV North and IV South (OSMRE 2014a).

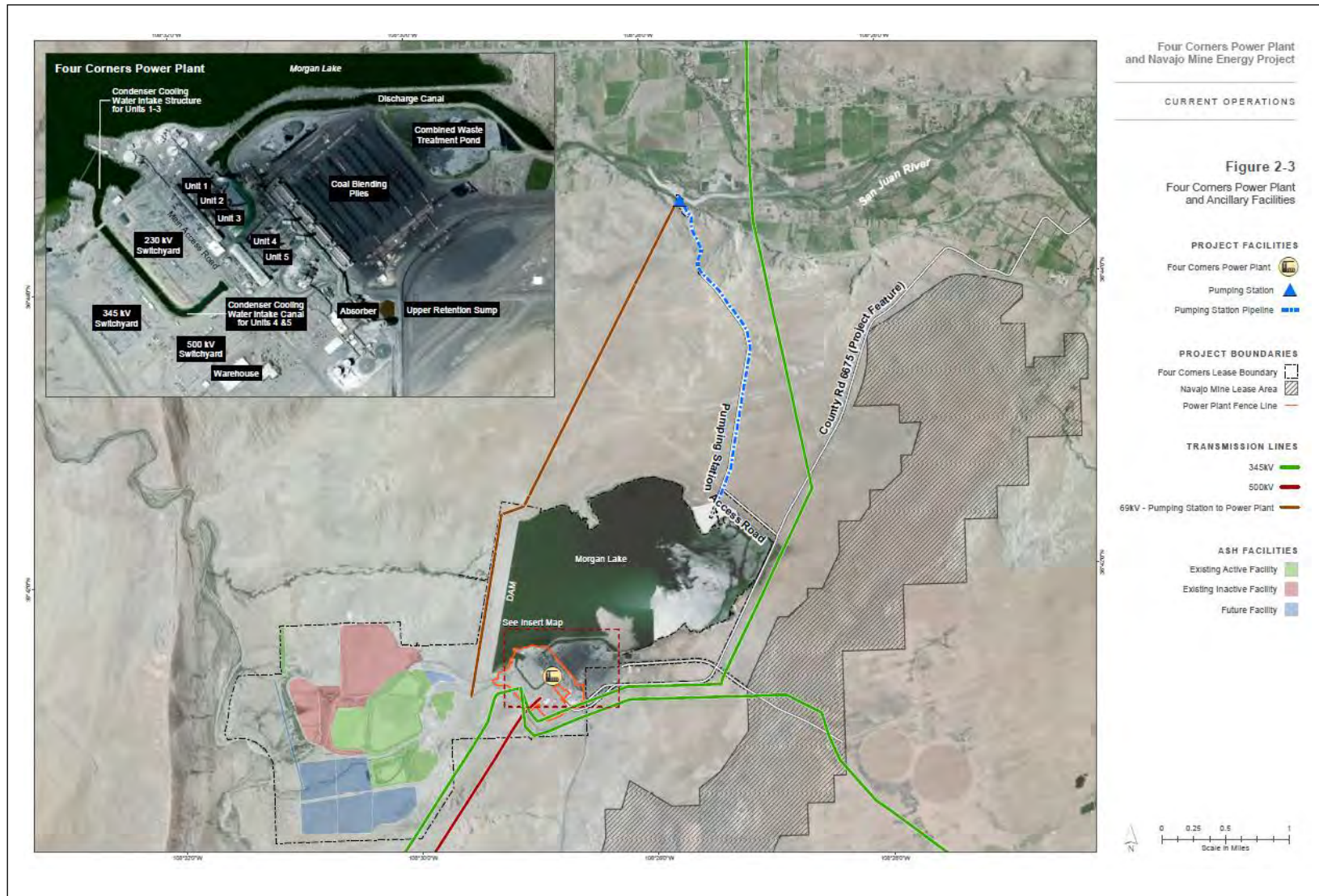


Figure 4. Location of the Four Corners Power Plant and associated facilities in New Mexico (Source: OSMRE 2014a)

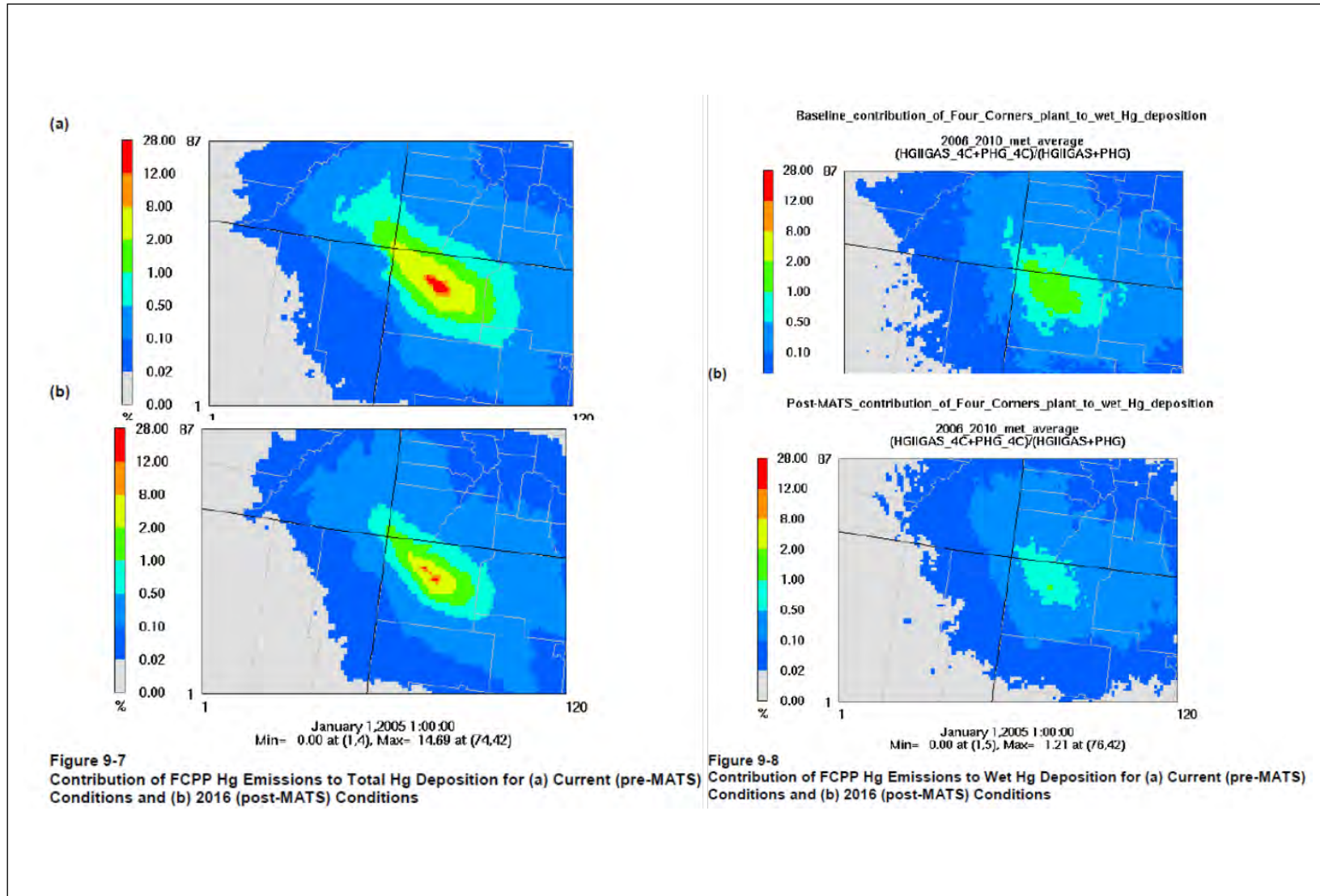


Figure 5. Modeled location and portion of Four Corners Power Plant Hg emissions that are deposited in the San Juan River Basin and in Four Corners region before (~2005) and after implementation of the Mercury Air Toxic Standards rule (2016) (Source EPRI 2014).

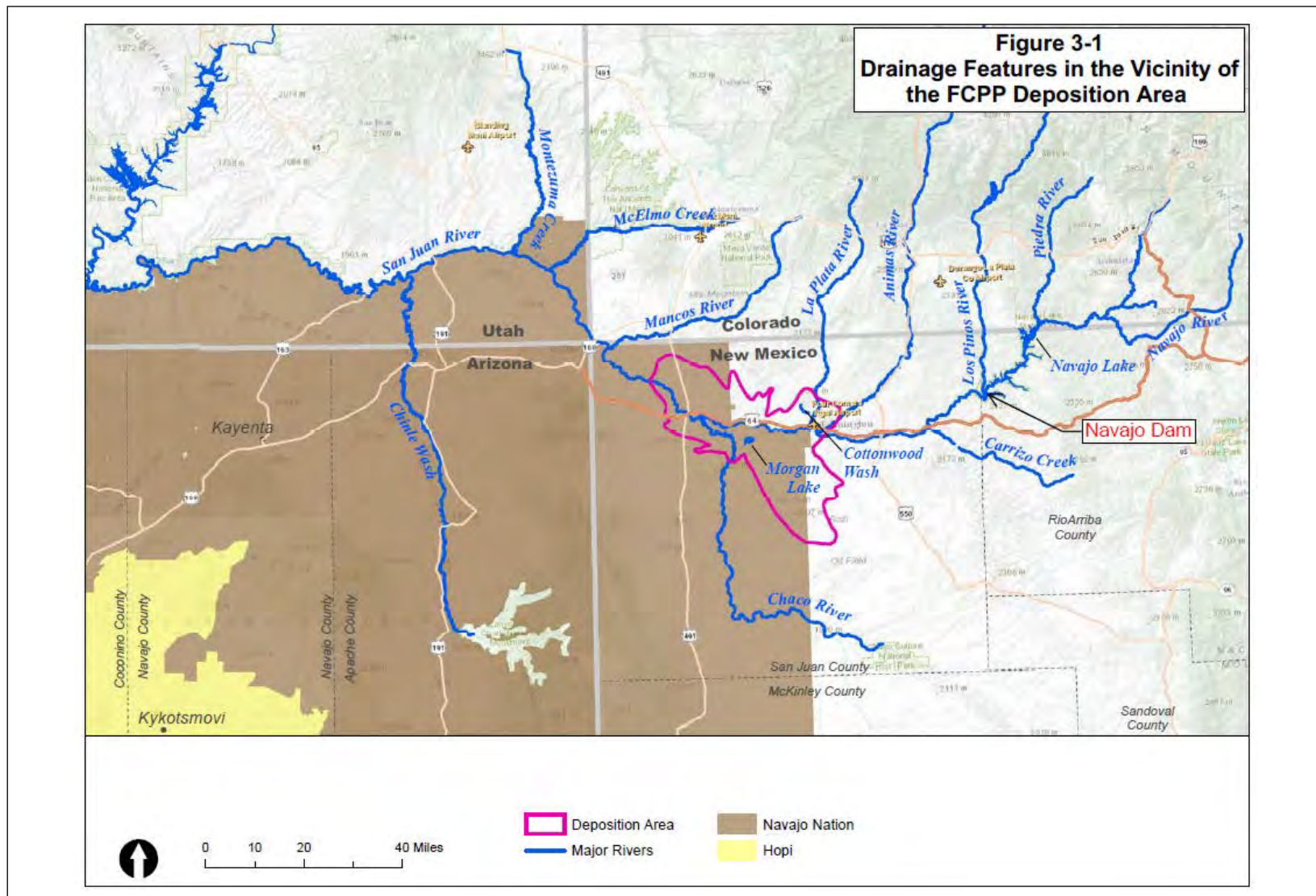


Figure 6. AECOMM (2013) focus area from upstream end of the Deposition Area downstream to the San Juan Arm of Lake Powell.

PROPOSED ACTION

The proposed action consists of the continued operation of the FCPP and NMEP from July 6, 2016, for 25 years into 2041. Based on the EPRI (2014) model, atmospheric deposition and the fate and transport of trace elements from the proposed action into the San Juan River Basin, mercury would remain in the watershed system and potentially contribute downstream after FCPP operations ceased (EPRI 2014). Therefore, the EPRI model of bioaccumulation of mercury in fish was extended through 2074 (a total of 59 years).

The BA (OSMRE 2014b) evaluates the direct and indirect effects of the proposed action to federally listed species and their critical habitats that lie within the action area. The BA also evaluates the effects of actions or activities that are interrelated and interdependent with the proposed action and cumulative effects on these species in the action area. Additional voluntary Conservation Measures were made part of the proposed action in March 2015 and minimize for Project effects on listed species and their critical habitats (OSMRE 2015). The general federal actions included in the effects analysis of this BO are as follows.

Navajo Mine

The Navajo Nation granted a 24,000-acre coal lease in July 1957 to Utah Construction and Mining Company. The Navajo Mine lease area was subsequently increased to approximately 33,600 acres (Areas I through V, Figure 2). Since December 30, 2013, NTEC holds the Navajo Mine lease and the lease surface and mineral rights. Under a Mine Management Agreement with NTEC, MMCo will continue to operate and manage the Navajo Mine through 2016. As operator of the mine, MMCo will conduct surface mining and reclamation on the Navajo Mine lease area as approved in OSMRE's SMCRA Permit #NM-0003F and in future revisions or renewals.

Navajo Mine will continue to supply coal to FCPP to support operations from 2016 through 2041. For that purpose, NTEC is working with MMCo for OSMRE approval to renew the Navajo Mine SMCRA Permit NM0003F, effective September 2014, for continued access to coal reserves and to permit the Pinabete Permit Area, a new approximately 5,568-acre surface mine area within Area IV North and Area IV South of the Navajo Mine Lease Area (Figures 2 and 3). Development of coal reserves in the existing Navajo Mine lease area including the proposed Pinabete Permit Area would supply low-sulfur coal to FCPP for up to 25 years at a rate of approximately 5.8 million tons per year.

Within the Pinabete Permit Area, approximately 4,100 acres would be disturbed from surface mining, construction of haul roads (approximately 5.2 miles), light vehicle roads (approximately 20.8 miles), power lines (approximately 7.7 miles), and construction of related infrastructure such as sediment and drainage control ponds, arroyo crossings, and soil and coal stockpiles (approximately 278 acres). Approximately 2.8 miles of Burnham Road, a public access road, will be realigned as planned mining activities approach the road segment, expected to occur in 2022. Coal extraction, coal haulage, coal processing (crushing), road and infrastructure construction, and site reclamation techniques would occur at Navajo Mine. Coal would be extracted utilizing blasting, draglines, trucks, and loaders. Operators will transport mined coal to coal stockpiles using haul trucks, load it onto an existing rail transport system, and deliver it to

the on-site coal preparation plant. The coal preparation plant is a stacking and reclaiming facility and not a coal cleaning operation. Water usage at the coal preparation plant is primarily limited to dust suppressant spray and equipment wash down. Surface-water runoff is collected in sediment basins and allowed to evaporate or percolate.

Land and prominent drainage features disturbed by mining and related operations would be reclaimed and restored to their approximate pre-mining conditions in a manner compatible with the designated post-mining land use of livestock grazing and wildlife habitat. Successful reclamation of mined lands would be guaranteed by a surety bond that can only be released after OSMRE determines reclamation areas meet approved performance standards.

The USEPA and/or Corps will authorize Clean Water Act permits to manage effluent discharges to surface waters and fill of five acres of jurisdictional Waters of the United States (WOUS) associated with operations within the Pinabete Permit Area. The proposed action includes the present and future issuance of National Pollutant Discharge Elimination System (NPDES) permits by USEPA for discharges associated with various activities such as coal mining, stormwater runoff, and other discharges (BA, Section 2).

Under the Proposed Action, Navajo Mine Operators would be authorized by USEPA (with certification by the Navajo Nation EPA) to discharge pollutants through various conveyance facilities (e.g., pipes, ditches, etc.) through a new or existing, or modified NPDES Permit No. NN0028193 (the permit number may also change). Additionally, stormwater discharges are authorized with implementation of a Stormwater Pollution Prevention Plan under the Multi-Sector General Permit NPDES Permit No. AZR05001 or under a general Construction Permit. The Construction and Multi-Sector General Permits authorizes discharges associated with coal mining roads, railroad lines, the storage, handling, transportation, and backfilling operations of the coal combustion byproducts, and removal of dams, berms, and ditches, to convey surface water from contact with active mining operations to pits, sumps, or ponds where the water is evaporated, or used for dust suppression. When stormwater runoff exceeds the storm event design holding capacity of the pits, sumps or ponds, or other Best Management Practices (BMPs), then effluents may be discharged to the environment or WOUS under NPDES Permit No. NN0028193, or as authorized by another USEPA-issued NPDES permit.

The U.S. Army Corps of Engineers (USACE) may also issue an Individual Permit under Section 404 of the Clean Water Act in association with the proposed 5-acre fill of WOUS on the Navajo Mine, as authorized by Corps. Compensatory mitigation will be completed to offset the impacts to WOUS and the temporal loss of their functionality during mining and reclamation activities. USACE will condition any fill discharge authorization associated with the mine to include compensatory mitigation for loss of aquatic resource function during mining activities until reclamation occurs. Compensatory mitigation requirement development will follow USACE South Pacific Division standard operating procedures for establishment of mitigation ratios. Navajo Mine will be required to evaluate and report on the performance of the mitigation efforts on an annual basis until approved performance standards are reached.

The Bureau of Land Management's (BLM) may also approve or disapprove the revised Mine Plan for the proposed maximum economic recovery of coal reserves in the Pinabete Permit Area Resource Recovery and Protection Plan application.

Between 1971 and January 2008, Coal Combustion Residues (CCRs) from FCPP operations were used as mine backfill material in mined-out pits or ramps in Areas I and II at Navajo Mine. The USEPA (2014c) recently classified CCRs as nonhazardous, solid waste and identified management goals for CCRs.

Four Corners Power Plant

The proposed action includes the ongoing operation of FCPP under a new 25-year lease starting on July 6, 2016. In 1966, the Navajo Nation granted a lease for the FCPP and BIA granted ROWs for the plant site and various transmission lines and related facilities (Figure 1 and 4). In 2011, the Navajo Nation approved a new 25-year lease, Lease Amendment No. 3, for operation of the FCPP and forwarded it to BIA for approval. BIA is also considering APS's application to extend its FCPP ROW through 2041. Prior to 2014, the FCPP operated five units to generate approximately 2,100 MW of power. To continue to operate beginning 2016, APS has taken (and will take) a number of steps to make future operations viable over the next 25 years.

On August 6, 2012, the USEPA (2012) issued a source specific Federal Improvement Plan (FIP) requiring FCPP to achieve certain air particulate and oxide emissions reductions under the Clean Air Act (Best Available Retrofit Technology or BART provisions). To achieve air emissions reductions under the BART provisions, APS shut down Units 1, 2, and 3 on December 30, 2013. Additionally, APS proposed to install Selective Catalytic Reduction (SCR) on Units 4 and 5 by 2018 (AECOM 2014). The shutdown of Units 1, 2, and 3 substantially reduced coal consumption and air emissions from historic amounts and lowered the power output of the plant from 2,100 to 1,540 MW. The retirement of Units 1, 2, and 3 and the use of SCR on Units 4 and 5 will result in the decrease of all air pollutants (including Hazardous Air Pollutants (HAPs) emitted (Table 1).

Reductions of HAPs concentrations began in 2014 and preceded the proposed action in 2016. Because the proposed action is scheduled to begin in 2016, the actions taken to shutdown FCPP Units 1, 2, and 3 are part of the Environmental Baseline for this ESA consultation. APS had not yet prepared a final decommissioning plan for the demolition and removal of Units 1, 2, and 3 by the time of this ESA consultation, but committed to complying with all environmental laws and regulations applicable at the time of decommissioning as part of the proposed action.

Transportation and use of urea and hydrated lime are part of the proposed action because both are required for operation of the SCR on Units 4 and 5. Urea solid will be delivered to FCPP by truck and stored on site prior to use. Urea will be converted to ammonia, which will be used to reduce NO_x. The use of SCR equipment tends to oxidize some SO₂ to SO₃, which results in increased emission of sulfuric acid (H₂SO₄) mist. Because of these emissions, FCPP requires a Prevention of Significant Deterioration permit from EPA because H₂SO₄ emissions will be above the PSD significant emission threshold. To minimize H₂SO₄ emissions, APS will install a sorbent injection system using hydrated lime as the sorbent. Pursuant to section 7, EPA analyzed

the effects of issuance of the permit to listed species and critical habitat and determined that the issuance of the permit may affect, but is not likely to adversely affect southwestern willow flycatcher, Mexican spotted owl, Yellow-billed cuckoo, Colorado pikeminnow, razorback sucker, Mancos milk-vetch, Mesa Verde cactus, and designated critical habitat for these species within the Deposition Area (AECOM 2014, OSMRE 2014a). The USFWS issued their concurrence with these findings on June 20, 2014 (USFWS 2014).

Other than SCR installation, Units 4 and 5 would continue operating as they have historically.

Table 1. Historical and proposed FCPP Hazardous Air Pollutant (HAP) emissions

FCPP Hazardous Air Pollutants (Metals) Emissions	2000-2011 FCPP HAPs Emissions			2014-2041 FCPP HAPs Emissions	Comparison of Historical FCPP Emissions
	Units 1 to 5 (lb/yr)	Units 1 to 3 (lb/yr)	Units 4 & 5 (lb/yr)	Units 4 & 5 (lb/yr)	Reduction (%)
Antimony (Sb)	32	10	22	20	37%
Arsenic (As)	81	25	56	51	37%
Beryllium (Be)	31	10	22	20	37%
Cadmium (Cd)	57	17	39	36	37%
Chromium (Cr)	397	120	277	250	37%
Cobalt (Co)	84	25	59	53	37%
Copper ²	876	264	612	552	37%
Lead (Pb)	465	142	323	292	37%
Manganese (Mn)	1113	336	777	702	37%
Mercury (total) (Hg) ³	447	311	136	149	67%
Nickel (Ni)	358	108	251	226	37%
Selenium (Se) ⁴	2450	1971	479	523	79%

Emission estimates based on emission factors from "Updated Hazardous Air Pollutants (HAPs) Emissions Estimates and Inhalation Human Health Risk Assessment for U.S. Coal-Fired Electric Generating Units" (Report 1017980, December), except as noted.

¹ Based on BART PM limit of 0.015 lb/MMBtu

² Copper based on chromium (metal with closest boiling point) and 2010 FCPP TRI Cu/Cr ratio of 2.21

³ Source of this information is OSMRE (2014a,b,c)

⁴ Based on EPRI Western coal data and 98% efficiency for Units 4 and 5 and 80% efficiency for Units 1,2 and 3.

Under the proposed action, the size of the Dry Fly Ash Disposal Area (DFADA) within the existing FCPP lease area will increase in size. The Ash Disposal Area currently consists of the Lined Ash Impoundment, reclaimed Evaporation Ponds, the Lined Decant Water Pond, inactive ash disposal areas, and the DFADA. The USEPA CCR rule will govern the future management of CCRs at FCPP as solid wastes. OSMRE (2014a,b) reported that there is an extremely low probability that a containment failure of an ash pond could occur and a Spill Contingency Countermeasures plan would address that risk.

Under the proposed action, operators of the FCPP could be authorized by USEPA (with certification by the Navajo Nation EPA) to discharge pollutants through various conveyance

facilities (e.g., pipes, ditches, etc.) through a new or existing, or modified NPDES Permit No. NN0000019. Similarly, discharges of stormwater could occur under a Stormwater Pollution Prevention Plan authorized by Multi-Sector General Permit NPDES Permit No. AZR05001 or by a General Construction Permit. The USEPA's NPDES permits set technology-based limits on FCPP effluent discharges at three outfalls to Morgan Lake from condenser-cooling water, chemical metal cleaning water, and from a combined waste treatment pond, and one outfall from Morgan Lake to No Name Wash.

Transmission Lines and Ancillary Facilities

The proposed action includes BIA ROW renewals for three existing APS transmission lines (FCPP to Moenkopi 500-kilovolt (kV) line and the FCPP to Cholla 345 kV lines [2 lines]) within the Navajo Nation boundary and two PNM transmission lines (FCPP to West Mesa 345-kV line and the FCPP to San Juan 345-kV line) as well as a BLM ROW renewal for PNM's West Mesa 345-kV line. These lines will continue to be maintained and repaired as required. No new roads or access routes were anticipated under the proposed action. Other than routine maintenance and repair, no changes or modifications are anticipated for the transmission lines, the three FCPP switchyards, Moenkopi Substation, 12-kV lines, or access roads to ensure continued operation of FCPP through 2041.

San Juan River Diversion and Water Withdrawal

Surface water for industrial use is pumped from the San Juan River into Morgan Lake and then pumped from the lake into FCPP and used for cooling purposes. The intake structure on the river consists of two, 8-by8.5-foot intake bays, which are covered by screens and are placed perpendicularly to the flow of the river just upstream of the APS Weir. APS Weir is an existing concrete slab structure that crosses the entire river and has a gate and sluiceway assembly on the south side of the river. Operation of the gate at APS Weir controls the local water surface elevation to provide adequate water coverage of the intakes bays and pumping operations (Stamp et al. 2005; OSMRE 2014b). During 2001-2011, an average of 27,682 AFY of water was used by the FCPP (OSMRE 2013a, b). The closure of FCPP Units 1 to 3 is expected to reduce water use by 5,000 to 7,000 AFY.

In 1958, the State of New Mexico granted Utah International, the predecessor in interest to, BHP Billiton New Mexico Coal Inc. (BBNMC), a permit (NMOSE Permit No. 2838) for consumptive use (39,000 acre-feet per year [af/yr]) and diversion (51,600 af/yr) of surface water from the San Juan River. This water is diverted at the APS Weir through the intake bays. The State permit authorizes use of water for coal mining, coal processing and beneficiation, coal utilization including electric power generation and production of coal chemicals. Permit 2838 has provided and will continue to provide all the necessary water supply to support operations at FCPP and Navajo Mine including all water use associated with the Proposed Action.

The BA provides an itemized list of the various activities, permits, and approvals that will occur under the proposed action (OSMRE 2014a,b) that are included here by reference. A number of Conservation Measures are included as part of the proposed action to avoid or reduce the effects on listed species and their critical habitats. Such measures include those that will be or are

required by the permits described in the BA, as well as conservation measures proposed by FCPP and NMEP, which include the ongoing implementation and adherence to numerous standard operating procedures and BMPs. Those conservation measures are described in the BA (OSMRE 2014b) and include updates to the best commercial and scientific information available on endangered species in the San Juan River Basin (AECOM 2013a,b; EPRI 2014; Miller 2014). Additional Conservation Measures were incorporated into the proposed action (OSMRE 2015) that minimize for Project effects on listed species.

Conservation Measures

OSMRE is the lead federal agency responsible for preparing the BA for the FCPP and NMEP as required the ESA. The BIA, as a key cooperating agency, has closely coordinated with OSMRE with the consultation in accordance with the requirements of the ESA. On August 8, 2014, OSMRE provided the US Fish and Wildlife Service New Mexico Ecological Service Field Office (NMESFO) the final BA for the project.

The BA evaluated the Proposed Action in sufficient detail to determine to what extent the Proposed Action may affect any ESA threatened, endangered, proposed or candidate species and designated or proposed critical habitat that may occur in the Action Area. In preparing this assessment, OSMRE used best scientific and commercial information available, pursuant to statutory requirements. However, since submission of the BA, OSMRE, BIA, and the USFWS had extensive conversations regarding potential effects the Proposed Action (without the Conservation Measures) could have on listed species and their critical habitats that occur in the Action Area. These conversations focused on the Colorado pikeminnow, the razorback sucker, flycatcher and cuckoo and their critical habitat. These discussions have allowed OSMRE and BIA to have a more comprehensive perspective of the measures necessary to help ameliorate those impacts. These conversations allowed OSMRE and BIA, working with the Project Proponents, to develop several voluntary conservation measures that they understand are critical to reducing the effects of the Proposed Action on listed species and critical habitats.

OSMRE amended (OSMRE, 2015) the Final BA with the following 11 Conservation Measures:

As the lead federal agency conducting consultation under Section 7 of ESA for FCPP/NMEP, and acting under the provisions of the Surface Mining Control & Reclamation Act, OSMRE will evaluate and consult with the Service on all discretionary OSMRE permitting actions within OSMRE's authority that have the potential to deposit mercury (Hg) in the San Juan River. OSMRE will conduct this evaluation every two years and consult with USFWS upon completion of the evaluation. In evaluating and consulting on such actions, if adverse Hg effects to the Colorado pikeminnow, or adverse modification of its critical habitat due to Hg deposition, are determined likely, OSMRE will initiate formal ESA consultation to reduce these likely effects; and will ensure implementation of any subsequently developed measures to offset Hg effects to this species.

1. As a key cooperating agency coordinating with OSMRE in the ESA consultation process, BIA will obligate funding in fiscal year 2015 for the purposes of a Razorback sucker Selenium Effects Study. This study is

- expected to assist with clarifying what level of selenium causes adverse impacts to razorback sucker in the San Juan Basin.
2. OSMRE will work with USEPA and the Project Proponents to minimize the effects of the Proposed Action on Colorado pikeminnow, razorback sucker, southwestern willow flycatcher, or yellow-billed cuckoo, by developing comprehensive guidelines and criteria for ESA review of future USEPA-issued NPDES permits for the Project.
 3. OSMRE will coordinate with USEPA and the Project Proponents to review the likelihood and pathways of effluent exposure, the concentrations of Hg and Se necessary to protect endangered species in suitable habitats, and results of the monitoring program funded in Conservation Measure 7 to identify such concentrations in their habitats, and coordinate an approach toward subsequent ESA review of future proposed NPDES permits for the Project, as described in RPM 5.
 4. Project Proponents will develop and implement a Pumping Plan to reduce the magnitude and types of entrainment of Colorado pikeminnow and razorback sucker. The Pumping Plan will optimize avoidance of entrainment of larvae and impingement of larger fishes through measures that are deemed feasible without altering the current operating configuration at the river pump station.
 - a. The Pumping Plan measures shall be developed with the oversight of OSMRE and the approval of the Service.
 - b. The final Pumping Plan shall be implemented within 2 years of issuance of a Record of Decision.
 5. Project Proponents will develop and implement a Non-native Species Escapement Prevention Plan, which will include the following measures to minimize: (a) the risk of nonnative species (plants, invertebrates, and fish) that inhabit Morgan Lake invading San Juan River; and (b) the introduction of additional nonnative species into Morgan Lake.
 - a. Project Proponents will develop and disseminate public education materials regarding the threat of non-native species targeted to recreational users of Morgan Lake. The materials will recommend practices to prevent the introduction of new nonnative species to Morgan Lake or the transfer of existing nonnative species from Morgan Lake to the San Juan River.
 - b. Project Proponents will install and operate a device designed to prevent the transfer of nonnative fish species from Morgan Lake to the San Juan River.
 6. Project Proponents will work with the Service to support the San Juan River Basin Recovery Implementation Program (SJRRIP) efforts to ensure that a fish passage is designed and constructed by the SJRRIP at the APS Weir by contributing funds for the fish passage, as outlined in Conservation Measure 7 below.
 7. As a Conservation Measure Project Proponents shall contribute to the survival and recovery of the Colorado pike minnow and razorback sucker by funding specific Recovery Actions identified in Table 1 (see below). The

Service, in coordination and collaboration with the SJRRIP, will determine the most appropriate method for implementing these Recovery Actions.

- a. Funding will be provided to the SJRRIP through the National Fish and Wildlife Foundation (NFWF) on an initial and annual basis every year that the Project remains in operation. Annual Funding will be adjusted according to the annual Consumer Price Index (CPI). Funding will contribute to both new and existing SJRRIP Recovery Actions.
- b. Funding through NFWF will be managed and administered by the SJRRIP Program Office according to the terms and conditions set forth in a contract with NFWF, including a condition that the SJRRIP provide reports on implementation of Recovery Actions.
 - i. Propagation of endangered fishes will contribute towards the offset of losses associated with the proposed action.
 - ii. Nonnative fish removal, combined with the measures in Conservation Measure 5, will reduce the adverse effects to Colorado pikeminnow and razorback sucker designated Critical Habitat.
 - iii. Protection, management and augmentation of fish habitat will contribute towards the offset of losses associated with the proposed action.
 - iv. Monitoring of fish and habitat is required to track implementation of the Conservation Measures and contribute scientific information to support adaptive management by the SJRRIP.
 - v. Modification of APS Weir with a fish passage will allow endangered fish increased access of up to 18 miles of fish habitat, including new portions of Colorado pikeminnow critical habitat.
 - vi. Monitoring of Hg and Se in endangered fish every 5 years is required to track implementation of the Funded Recovery Actions and will contribute scientific information to support adaptive management by the SJRRIP.
 - vii. Conducting Hg Studies in Colorado pikeminnow will assist the tracking of implementation of the Funded Recovery Actions and contribute scientific information to support adaptive management by the SJRRIP.
 - viii. Funding a USFWS senior biologist will facilitate Hg/Se reviews and contribute towards implementation of Recovery Actions.

Table 1. Recovery Actions to be funded by Project Proponents and implemented by the SJRRIP.
(* Annual costs subject to Consumer Price Index)

Funded Recovery Action	One-time Cost	Annual Cost*
Propagate Endangered fish		\$40,600
Remove Nonnative fish		\$50,361
Protect, Manage and Augment fish habitat (including flood plains)		\$153,045
Monitor fish habitat		\$103,463
Partial funding of fish passage at the APS weir	\$620,000	
Conduct Monitoring of Hg and Se in endangered fish or their surrogates		\$60,000
Conduct studies of Hg in Colorado pike minnow	\$600,000	
Contribute towards SJRRIP staff biologist to conduct these and other Recovery Actions		\$126,000
Conduct a Navajo Dam Temperature Modification Feasibility Study	\$100,000	
Totals	\$1,320,000	\$533,469

8. Project Proponents shall provide a Spill Contingency Countermeasures Plan which addresses potential Ash Pond Failure impacts on suitable habitat of Colorado pikeminnow, razorback sucker, southwestern willow flycatchers or yellow-billed cuckoos.
 - a. All necessary equipment, training, and materials will be made available for emergency response to a potential Ash Pond Failure.
 - b. A practice response table-top drill with appropriate authorities will be conducted every 10 years.
9. Project Proponents shall conduct standard protocol surveys for southwestern willow flycatchers and yellow-billed cuckoos.
 - a. Within at least 85 acres of the Deposition Area beginning in 2016 and continuing until 2042 or until the Project ceases operation, to monitor the effects of Hg and Se deposition to nesting flycatchers and cuckoos.
 - b. Presence/absence flycatcher and cuckoo surveys will be conducted within at least one optimal or suitable habitat (AECOM 2013c,d) on the Navajo Mine Lease Area during the spring migration period to monitor the potential effects of noise and disturbance to migrant flycatchers from 2016 until 2042 or until the Project ceases operation.
10. Project Proponents shall mitigate effects of endangered plants within the rights-of-way of transmission line maintenance activities through implementation of the Environmental Screening Program.
11. Project Proponents shall share data and report to the Service and OSMRE annually on implementation of the Conservation Measures and their implementing terms and conditions.

STATUS OF THE SPECIES (INCLUDING IN THE ACTION AREA)

COLORADO PIKEMINNOW



The Colorado pikeminnow is the largest cyprinid (member of the minnow family, Cyprinidae) native to North America and it evolved as the top predator in the Colorado River system. It is an elongated pike-like fish that once grew as large as 1.8 m (6 ft) in length and weighed nearly 45 kg (100 lbs) (Behnke and Benson 1983); such fish were estimated to be 45-55 years old (Osmundson et al. 1997). Today, Colorado pikeminnow rarely exceed 1 m (approximately 3 ft) in length or weigh more than 8 kg (18 lbs). The mouth of this species is large and nearly horizontal with long slender pharyngeal teeth (located in the throat), adapted for grasping and holding prey. The diet of Colorado pikeminnow longer than 80 to 100 mm (3 or 4 in.) consists almost entirely of other fishes (Vanicek and Kramer 1969). Adults are strongly counter-shaded with a dark, olive back, and a white belly. Young are silvery and usually have a dark, wedge-shaped spot at the base of the caudal fin.

Based on early fish collection records, archaeological finds, and other observations, the Colorado pikeminnow was once found throughout warm water reaches of the entire Colorado River Basin down to the Gulf of California, including reaches of the upper Colorado River and its major tributaries, the Green River and its major tributaries, the San Juan River and some of its tributaries, and the Gila River system in Arizona (Seethaler 1978, Platania 1990; Houston et al. 2010). Colorado pikeminnow apparently were never found in colder, headwater areas. Seethaler (1978) indicated that the species was abundant in suitable habitat throughout the entire Colorado River Basin prior to the 1850s. By the 1970s, they were extirpated from the entire lower basin (downstream of Glen Canyon Dam) and from portions of the upper basin as a result of major alterations to the riverine environment. Having lost approximately 75-80 percent of its former range, the Colorado pikeminnow was federally listed as an endangered species in 1967 (Service 1967, Miller 1961, Moyle 1976, Tyus 1991, Osmundson and Burnham 1998).

Critical habitat was designated for the Colorado pikeminnow in 1994 within the 100-year floodplain of the species' historical range in the following areas of the San Juan River Basin (59 FR 13374): San Juan County, New Mexico, and San Juan County, Utah, including the San Juan River from the New Mexico State Route 371 Bridge in Township 29 North, Range 13 West, section 17 (of the New Mexico Principal Meridian), to the full pool elevation at the mouth of Neskahai Canyon on the San Juan arm of Lake Powell in Township 41 South, Range 11 East, in section 26. The primary constituent elements (PCEs) of critical habitat are the same for both the Colorado pikeminnow and the razorback sucker.

The PCEs of Colorado pikeminnow critical habitat include:

Water: a quantity of water of sufficient quality (i.e., temperature, dissolved oxygen, lack of contaminants, turbidity, etc.) that is delivered to a specific location in accordance with a hydrologic regime that is required for the particular life stage for the species;

Physical habitat: areas of the Colorado River system that are inhabited or potentially habitable for spawning, feeding, rearing, as a nursery, or corridors between these areas, including oxbows, backwaters, and other areas in the 100-year floodplain which when inundated provide access to spawning, nursery, feeding, and rearing habitats; and,

Biological environment: adequate food supply and ecologically appropriate levels of predation and competition.

Colorado Pikeminnow Life History

The life history phases that appear to be most limiting for Colorado pikeminnow populations include spawning, egg hatching, development of larvae, and the first year of life. These phases of pikeminnow development are closely tied to specific habitat requirements. Natural spawning of pikeminnow is initiated on the descending limb of the annual hydrograph as water temperatures approach the range of 16 °C (60.8 °F) to 20 °C (68 °F) (Vanicek and Kramer 1969; Hamman 1981; Haynes et al. 1984; Tyus 1990; McAda and Kaeding 1991). However the temperatures when spawning is initiated varies by river, 20-23 °C (68-73 °F) in the Green River; 16-23 °C (61-68 °F) in the Yampa River (Bestgen et al. 1998); 18-22 °C (64-72 °F) in the Colorado River (McAda and Kaeding 1991); and 16-22 °C (61-72 °F) in the San Juan River. Spawning, both in the hatchery and under natural riverine conditions, generally occurs in a 2-month period between late June and late August. However, sustained high flows during wet years may suppress river temperatures and extend spawning into September (McAda and Kaeding 1991). Conversely, during low flow years, when the water warms earlier, spawning may commence in mid-June. On the San Juan River, based on the collection of larval fish from 1993 to 2013, spawning occurred between 23 May and 18 July (Farrington et al. 2013, 2014).

Temperature also has an effect on egg development and hatching success. In the laboratory, egg development was tested at five temperatures and hatching success was found to be highest at 20 °C (68 °F), and lower at 25 °C (77 °F). Mortality was 100 percent at 5, 10, 15, and 30 °C (41, 50, 59, and 86 °F). In addition, larval abnormalities were twice as high at 25 °C (77 °F) than at 20 °C (68 °F) (Marsh 1985). Experimental tests of temperature preference of yearling and adult

pikeminnow indicated that 25 °C (77 °F) was the most preferred temperature for both life phases (Bulkley et al. 1981; Black and Bulkley 1985a). Additional experiments indicated that optimum growth of yearlings also occurs at temperatures near 25 °C (77 °F) (Black and Bulkley 1985b).

Males become sexually mature earlier and at a smaller size than do females, though all are mature by about age 7 and 500 mm (20 in) in length (Vanicek and Kramer 1969; Seethaler 1978; Hamman 1981). Hatchery-reared males became sexually mature at four years of age and females at five years. Of 24 nine-year-old females, average fecundity was 77,400 eggs/female (range, 57,766 – 113,341) or 55,533 eggs/kg, and average fecundity of nine 10-year old females was 66,185 eggs/female (range, 11,977 – 91,040) or 45,451 eggs/kg (Hamman 1986). Valdez (2014) summarized a relationship between number of eggs produced and female Colorado pikeminnow body weight as $y = 39907.24 + 11.4117 * \text{Female Body Weight (g)}$. For Age 7 through Age 10 female Colorado pikeminnow the average number of eggs was 62,133/female.

Collections of Colorado pikeminnow larvae and young-of-year (YOY or Age 0) downstream of known spawning sites in the Green, Yampa, and San Juan Rivers demonstrate that downstream drift of larval pikeminnow occurs following hatching (Haynes et al. 1984; Nesler et al. 1988; Tyus 1990; Tyus and Haines 1991; Platania 1990; Ryden 2003a). Studies on the Green and Colorado rivers found that YOY used backwaters almost exclusively (Holden 2000). During their first year of life, Colorado pikeminnow prefer warm, turbid, relatively deep (averaging 0.4 m [1.3 ft]) backwater areas of zero velocity (Tyus and Haines 1991). After about 1 year, young are found rarely in such habitats, although juveniles and subadults are often located in large deep backwaters during spring runoff (Service, unpublished data; Osmundson and Burnham 1998).

Colorado pikeminnow often migrate considerable distances to spawn in the Green and Yampa Rivers (Miller et al. 1982; Archer et al. 1986; Tyus and McAda 1984; Tyus 1985; Tyus 1990), and similar movement has been noted in the main channel San Juan River. A fish captured and tagged in the San Juan arm of Lake Powell in April 1987, was recaptured in the San Juan River approximately 80 miles upstream in September 1987 (Platania 1990). Ryden and Ahlm (1996) reported that a pikeminnow captured at river mile (RM) 74.8 (between Bluff and Mexican Hat) made a 50 to 60 mile migration during the spawning season in 1994, before returning to within 0.4 miles of its original capture location. Although migratory behavior has been documented for adult Colorado pikeminnow in the San Juan River (Platania 1990, Ryden and Ahlm 1996), the majority of adults in the San Juan River appear to reside near the area in which they spawn (Ryden and Ahlm 1996; Miller and Ptacek 2000), in contrast to Colorado pikeminnow adults in the Green and Yampa Rivers. Ryden and Ahlm (1996) and Miller and Ptacek (2000) documented Colorado pikeminnow in the San Juan River aggregating at the mouth of the Mancos River prior to spawning, a behavior not documented in other rivers. Movements of juvenile Colorado pikeminnow in the San Juan River, upstream from spring to summer and back downstream over winter, may be associated with maximizing growth along longitudinal and seasonal temperature regimes (Durst and Franssen 2014).

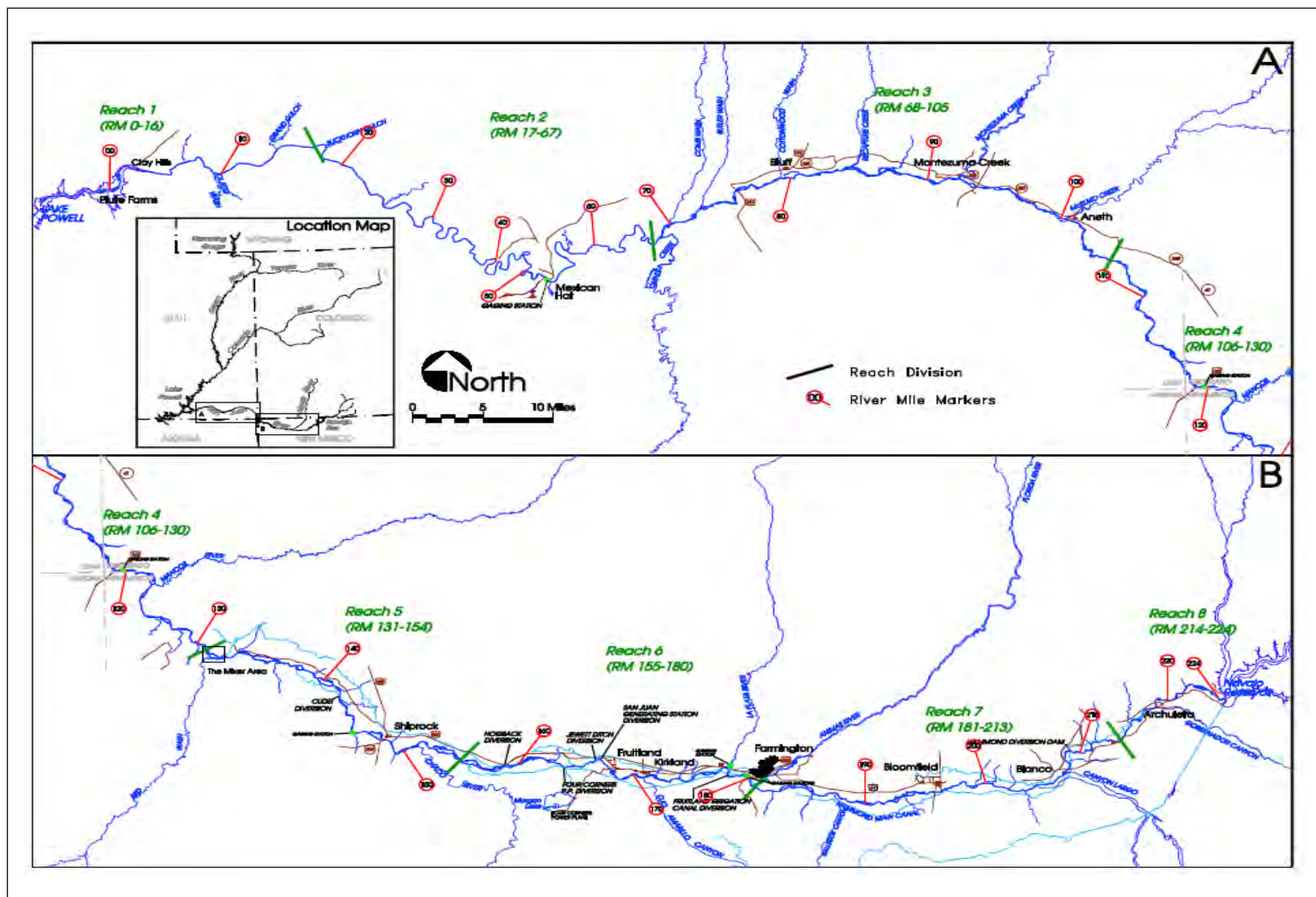


Figure 7. San Juan River location map indicating River Miles, River Reaches, and the Mixer Area.

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On the Green River, tributaries are an important habitat component for pikeminnow (Holden 2000). Both the Yampa River and White River were heavily used by Colorado pikeminnow subadults and adults, apparently as foraging areas (Tyus 1991). The tributaries were the primary area of residence to which the adults returned after spawning. Nearly all tributaries to the San Juan River no longer provide habitat for adults because they are dewatered or access is restricted (Holden 2000).

However, Colorado pikeminnow utilized the Animas River in the late 1800s, and this river or other perennial portions of tributaries could still provide suitable habitat (Zimmerman et al. 2005; Fresques et al. 2013). Five stocked Colorado pikeminnow were documented in the lower reaches of the Animas River in 2004 (Zimmerman et al. 2005). Since the installation of the selective fish passage structure at RM 166 in 2003, over 800 Colorado pikeminnow have passed upstream (SJRIP unpublished data), increasing the probability that the Animas River, 15 miles upstream, will once again be used by this species. Colorado pikeminnow aggregated at the mouth of the Mancos River prior to spawning in the early 1990s (Ryden and Ahlm 1996; Miller and Ptacek 2000). One individual was found almost 0.5 miles upstream in the Mancos River on two separate occasions (Ryden pers. obs.). Colorado pikeminnow were detected in Yellow Jacket Canyon (a tributary of McElmo Creek) each year from 2007 to 2010 (Fresques et al. 2013). All 11 pikeminnow (168-425 mm TL) detected in Yellow Jacket Canyon were thought to have originated from juvenile fish stocked in the mainstem San Juan River but only one was captured with a previously implanted PIT tag to confirm their origin (Fresques et al. 2013).

Very little information is available on the influence of turbidity on the endangered Colorado River fishes. Osmundson and Kaeding (1989) found that turbidity allows use of relatively shallow habitats, ostensibly by providing adults with cover; this allows foraging and resting in areas otherwise exposed to avian or terrestrial predators. Tyus and Haines (1991) found that young Colorado pikeminnow in the Green River preferred backwaters that were also turbid. Bestgen et al. (2006) found that in a laboratory setting, turbidity provided some protection to larval Colorado pikeminnow from predation by red shiner (*Cyprinella lutrensis*). Clear water conditions in shallow backwaters might expose larval and juvenile fish to predation from wading birds or non-native, sight-feeding, piscivorous fish. It is unknown whether the river was as frequently turbid historically as it is today. Currently, it is assumed that endemic fishes evolved under conditions of frequently elevated turbidity, particularly in association with high spring runoff. Therefore, the retention of seasonally appropriate turbidity is probably an important factor in maintaining the ability of Colorado pikeminnow to compete with or avoid predation by non-native fish or other predators that may not have evolved under similar conditions.

Colorado Pikeminnow Population Dynamics

Between 1991 and 1995, 19 (17 adult and 2 juvenile) wild Colorado pikeminnow were collected in the San Juan River by electrofishing between RM 142 (the former Cudei Diversion) and Four Corners at RM 119 (Ryden 2000a; Ryden and Ahlm 1996). The multi-threaded channel, habitat complexity, and mixture of substrate types in this area of the river appear to provide a diversity of habitats favorable to Colorado pikeminnow on a year-round basis (Holden and Masslich 1997). Estimates made during the seven-year research period between 1991 and 1997 suggested that there were fewer than 50 adult Colorado pikeminnow in a given year (Ryden 2000a).

Monitoring for adult Colorado pikeminnow occurs every year on the San Juan River. In 2013, 149 Colorado pikeminnow were collected during monitoring from RM 180-77 (Figure 7), the eighth consecutive year that more than 100 Colorado pikeminnow were caught in this reach (Schleicher 2014). However, only 7 of these fish were greater than 450 mm (18 in). In addition, 19 Colorado pikeminnow greater than 450 mm (18 in) were collected during the non-native fish removal trips in 2013 (Duran 2014). River wide population estimates for age-2+ pikeminnow that have been in the San Juan River at least one year was approximately 4,600 and 5,400 individuals in 2009 and 2010, respectively (Duran et al. 2011). However, because few adult Colorado pikeminnow were detected in the San Juan River, this population estimate largely consists of juveniles. Other Colorado pikeminnow abundance estimates exhibit substantial annual variation, likely due to the effects of short-term retention from recent stocking events, but no clear population trends were evident in the San Juan River Basin (Durst 2014, Figure 8).

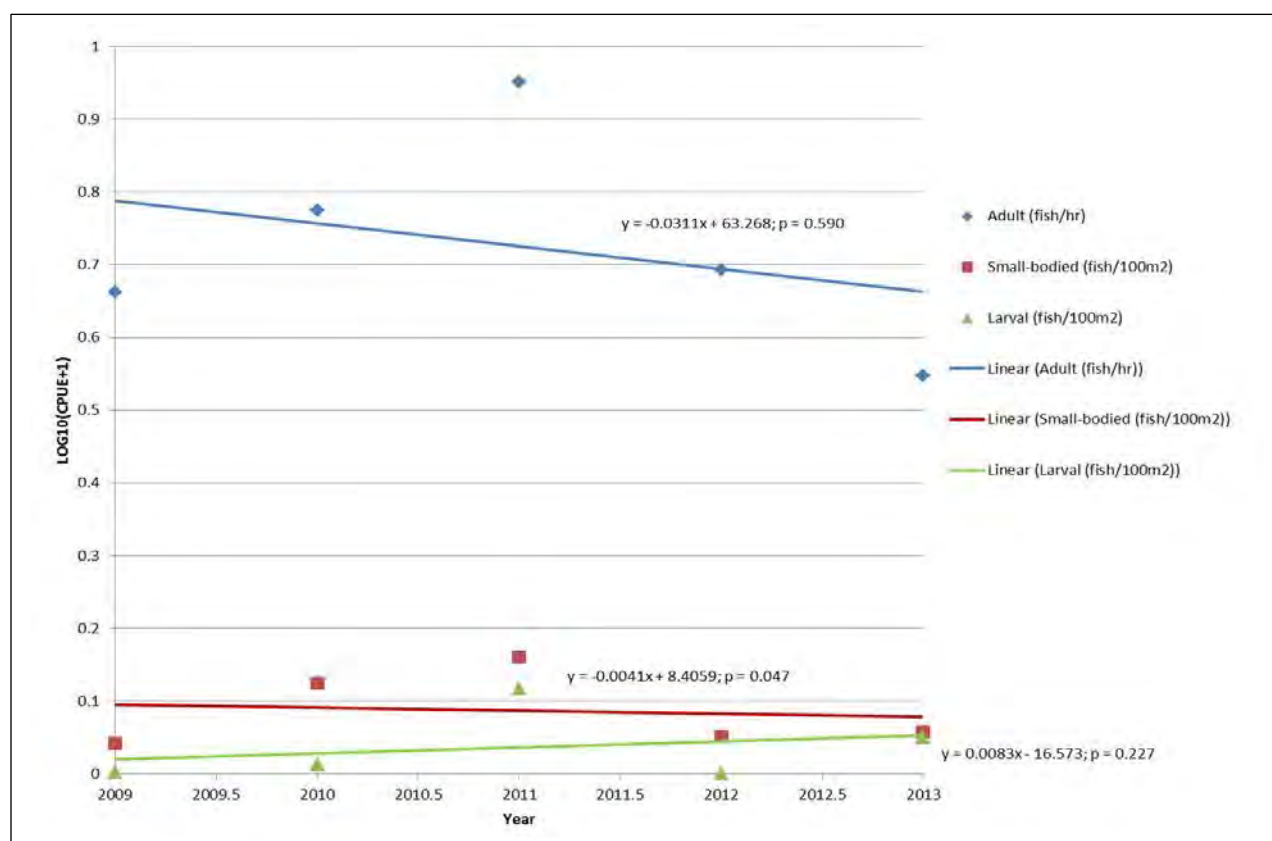


Figure 8. Summary of the recent catch (CPUE) of various life stages of Colorado pikeminnow and other small-bodied fish in the San Juan River (Durst 2014).

Successful Colorado pikeminnow reproduction was documented in the San Juan River in 1993, 1995, 1996, 2001, 2004, 2007, 2009-2011, and 2013 (Farrington et al. 2014). A total of 58 larval Colorado pikeminnow were collected since 1993 (Farrington et al. 2014); however, there has been little to no recruitment documented in the San Juan River. A total of 48 Age-1+ Colorado pikeminnow were collected in 2013; all presumably the result of augmentation efforts (Farrington et al. 2014). Since 1998, Colorado pikeminnow were collected during small-bodied monitoring every year except 2001-2003; however, YOY Colorado pikeminnow were stocked in each of these years prior to monitoring efforts so these fish were likely hatchery-reared (Gilbert

2014). Larval Colorado pikeminnow detections occurred in throughout the San Juan River from Reach 4 (RM 106-130) downstream to Reach 1 (RM 0-16) (Farrington et al. 2013, Farrington et al. 2014). Franssen et al. (2007) found that maintenance of a natural flow regime favored native fish reproduction and provided prey at the appropriate time for Age-1 Colorado pikeminnow.

Tissue samples from Colorado pikeminnow caught during research conducted under the Recovery Program have been analyzed as part of a basin-wide analysis of endangered fish genetics. The results of that analysis indicate that the San Juan River fish exhibit less genetic variability than the Green River and Colorado River populations, likely due to the small population size, but were very similar to pikeminnow from the Green, Colorado, and Yampa rivers (Morizot in litt. 1996). These data suggest that the San Juan population is probably not a separate stock (Holden and Masslich 1997; Houston et al. 2010).

Competition and Predation of Colorado Pikeminnow by Nonnative Fishes

Nearly 70 nonnative fish species have been introduced into the Colorado River Basin and at least 20 nonnative fish species live with endangered fishes in the San Juan River (Sublette et al. 1990; Maddux et al. 1993; USFWS 2002a,b; Propst and Gido 2004) and nonnative fish are predators, competitors, and vectors for parasites and diseases (Hawkins and Nessler 1991; Maddux et al. 1993; Bestgen 1997; Brandenburg and Gido 1999; Brooks et al. 2000; Tyus and Sanders 2000; Marsh et al. 2001; Drake and Bossenbroek 2004; Mueller 2005; Weber and Brown 2009; Martinez 2012; Ricciardi et al. 2013; Pigneur et al. 2014; USFWS 2002a,b, 2014). Nonnative fish in the San Juan River include striped bass (*Morone saxatilis*), walleye (*Sander vitreus*), channel catfish (*Ictalurus punctatus*), black bullhead (*Ameiurus melas*), yellow bullhead (*Ameiurus natalis*), largemouth bass (*Micropterus salmoides*), smallmouth bass (*Micropterus dolomieu*), green sunfish (*Lepomis cyanellus*), longear sunfish (*Lepomis megalotis*), bluegill (*Lepomis macrochirus*), white crappie (*Pomoxis annularis*), fathead minnow (*Pimephales promelas*), red shiner (*Cyprinella lutrensis*), sand shiner (*Notropis stramineus*), western mosquitofish (*Gambusia affinis*), common carp (*Cyprinus carpio*), white sucker (*Catostomus commersonii*) (as well as white sucker hybrids), gizzard shad (*Dorosoma cepedianum*), threadfin shad (*Dorosoma petenense*), grass carp (*Ctenopharyngodon spp.*), and plains killifish (*Fundulus zebrinus*) (Sublette et al. 1990; SJRRIP 1990; Ryden 2000a; Buntjer 2003; Propst and Gido 2004). Because of the extreme and persistent threat posed by nonnative species, their eradication and management is the first priority in the endangered fish recovery plans (USFWS 2002a,b, 2014).

Small-bodied, nonnative fishes are widespread, invasive, and are predatory of larval native fish in nursery backwaters, and low-velocity habitats, where they can affect survival and recruitment of Colorado pikeminnow (Haines and Tyus 1990; Muth and Nesler 1993; Bestgen 1997; McAda and Ryel 1999; Valdez et al. 1999). Adult red shiners are predators of larval native fish in backwaters of the upper basin (Ruppert et al. 1993). In laboratory experiments on behavioral interactions, Karp and Tyus (1990) observed that red shiner, fathead minnow, and green sunfish shared activity schedules and space with young pikeminnow and exhibited antagonistic behaviors to smaller Colorado pikeminnow. Young pikeminnow exhibit high spatial overlap in habitat use with red shiner, sand shiner (*Notropis stramineus*), and fathead minnow (*Pimephales*

promelas); Colorado pikeminnow may be at a competitive disadvantage in an environment that is resource limited.

Channel catfish (*Ictalurus punctatus*) have been identified as a threat to juvenile, subadult, and adult Colorado pikeminnow in the San Juan River. Channel catfish were first introduced in the upper Colorado River Basin in 1892 (Tyus and Nikirk 1990) and are now considered common to abundant throughout much of the upper Colorado River Basin (Tyus et al. 1982; Hawkins and Nessler 1991; Nelson et al. 1995; Duran et al. 2013; Gerig and Hines 2013). The species is one of the most prolific predators in the upper basin and is thought to have the greatest adverse effect on endangered fishes due to predation on juveniles and resource overlap with subadults and adults (Hawkins and Nesler 1991, Lentsch et al. 1996, Tyus and Saunders 1996). Adult channel catfish predation of stocked juvenile Colorado pikeminnow has been documented in the San Juan River (Jackson 2005). Stocked juvenile and adult Colorado pikeminnow that have preyed on channel catfish have died from choking on the pectoral spines (McAda 1983; Pimental et al. 1985; Quarterone 1995; Ryden and Smith 2002; Lapahie 2003).

Although mechanical removal (electrofishing, seining) of channel catfish began in 1995, intensive efforts covering limited portions of the San Juan River (10 trips/year) did not begin until 2001 (Davis 2003; indicated as “after” in Figure 9). Intensive removal efforts expanded to include nearly all critical habitats in the San Juan River starting in 2006. Mechanical removal has not yet led to a positive population response in Colorado pikeminnow, but attributing a population response to nonnative fish removal would be extremely difficult (Davis 2003; SWCA 2010).

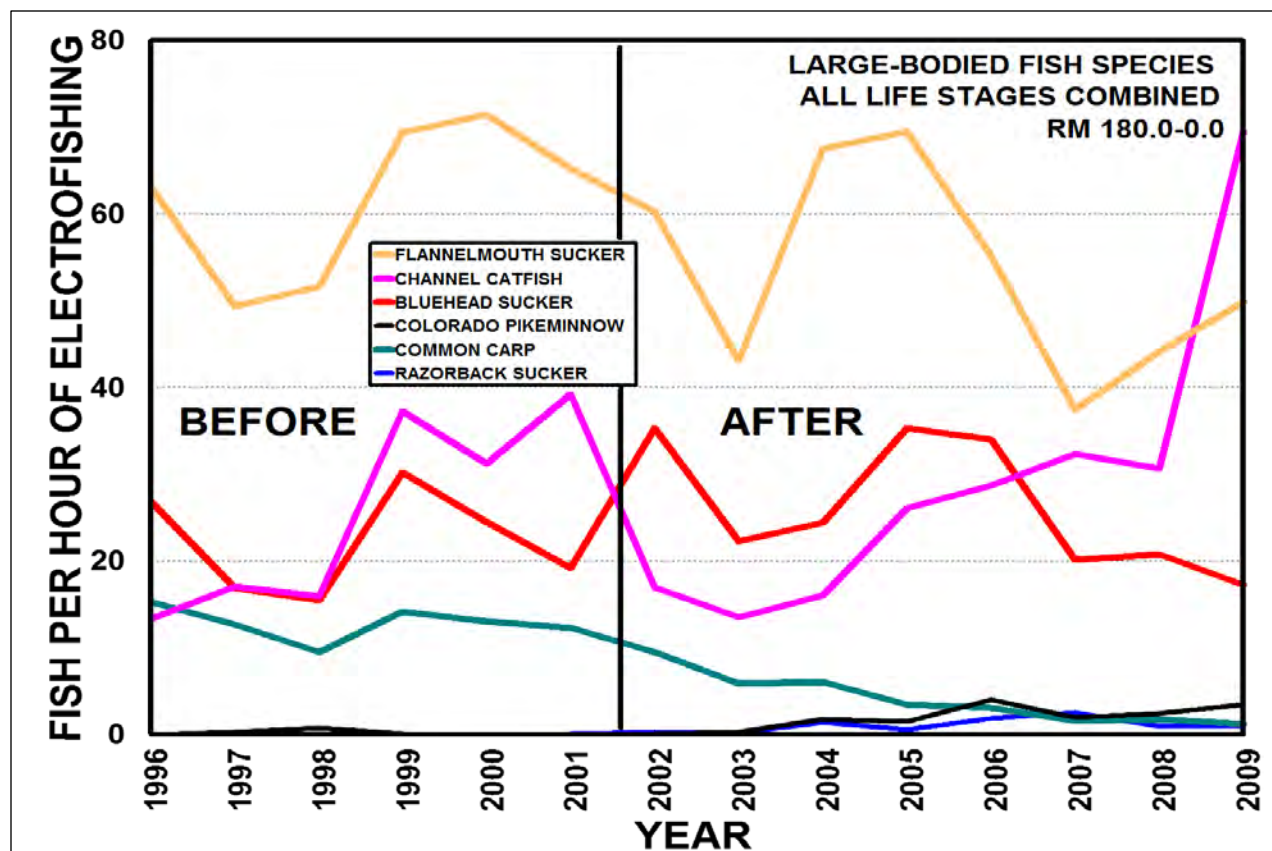


Figure 9. Summary of 14 years of catch (per hour of electrofishing) of various large-bodied fish species in the San Juan River (Ryden 2012) (See Figure 7 for River Miles (RM)).

Colorado Pikeminnow Status and Distribution

The Colorado pikeminnow was designated as endangered prior to enactment of the ESA. Construction and operation of main channel dams, nonnative fish, and local eradication of native minnows and suckers in the early 1960s were recognized as early threats (Miller 1961, Holden 1991). The Colorado Pikeminnow Recovery Plan (USFWS 2002a, 2014) summarize threats to this species as follows: stream regulation, habitat modification, competition with and predation by nonnative fish, and pesticides and pollutants.

Major declines in Colorado pikeminnow populations occurred in the lower Colorado River Basin during the dam-building era of the 1930s through the 1960s. Behnke and Benson (1983) summarized the decline of the natural ecosystem, pointing out that dams, impoundments, and water use practices drastically modified the river's natural hydrology and channel characteristics throughout the Colorado River Basin. Dams on the main channel fragmented the river ecosystem into a series of disjunctive segments, blocked native fish migrations, reduced water temperatures downstream of dams, created lake habitat, and provided conditions that allow competitive and predatory nonnative fishes to thrive both within the impounded reservoirs and in the modified river segments that connect them. The highly modified flow regime in the lower basin coupled with the introduction of non-native fishes decimated populations of native fish and led to the listing of the majority (7 of 10) of native, mainstem fishes as endangered (Mueller

2005). Historical, current range, and critical habitat for the Colorado Pikeminnow is provided below (Figure 10) (USFWS 2002a, 2014).

Colorado pikeminnow populations in the San Juan River are supported by stocking (or augmentation) with hatchery-reared fish to try to reestablish a sustainable population in this river. Approximately 3.2 million pikeminnow were stocked between 2002 and 2011 (Furr 2012). More Colorado pikeminnow (433) were caught during the large-bodied fish monitoring effort in 2010 than in any previous effort (Ryden 2012). In the 2012 monitoring event, 272 pikeminnow were captured (Schleicher and Ryden 2013) and over the last several years the SJRRIP has captured several hundred stocked pikeminnow of varying sizes (Furr 2012). Catch per unit effort (CPUE) of fish that had been in the river for one or more winters has an increasing trend since 2003, but this trend is mainly a reflection of Age 0+ fish (fish within their 1st year after birth) surviving to recapture at Age 1+ (fish that are 1 year old or older). The number of larger fish remains small, although the number of these larger fish continues to increase.

The increasing trend in catch-per-unit-effort (CPUE) is likely the result of augmentation. Schleicher and Ryden (2013) estimated that close to 1,000 pikeminnow > 300 mm TL may be in the river (based on capture of 22 individuals of this size). The observation of adult fish proves that some of the stocked fish are surviving. Between the large-bodied fish monitoring program and the more intensive non-native fish removal program 29 adults were captured in 2012, which substantially exceeds the total of 17 adults captured between 1991 and 1994.

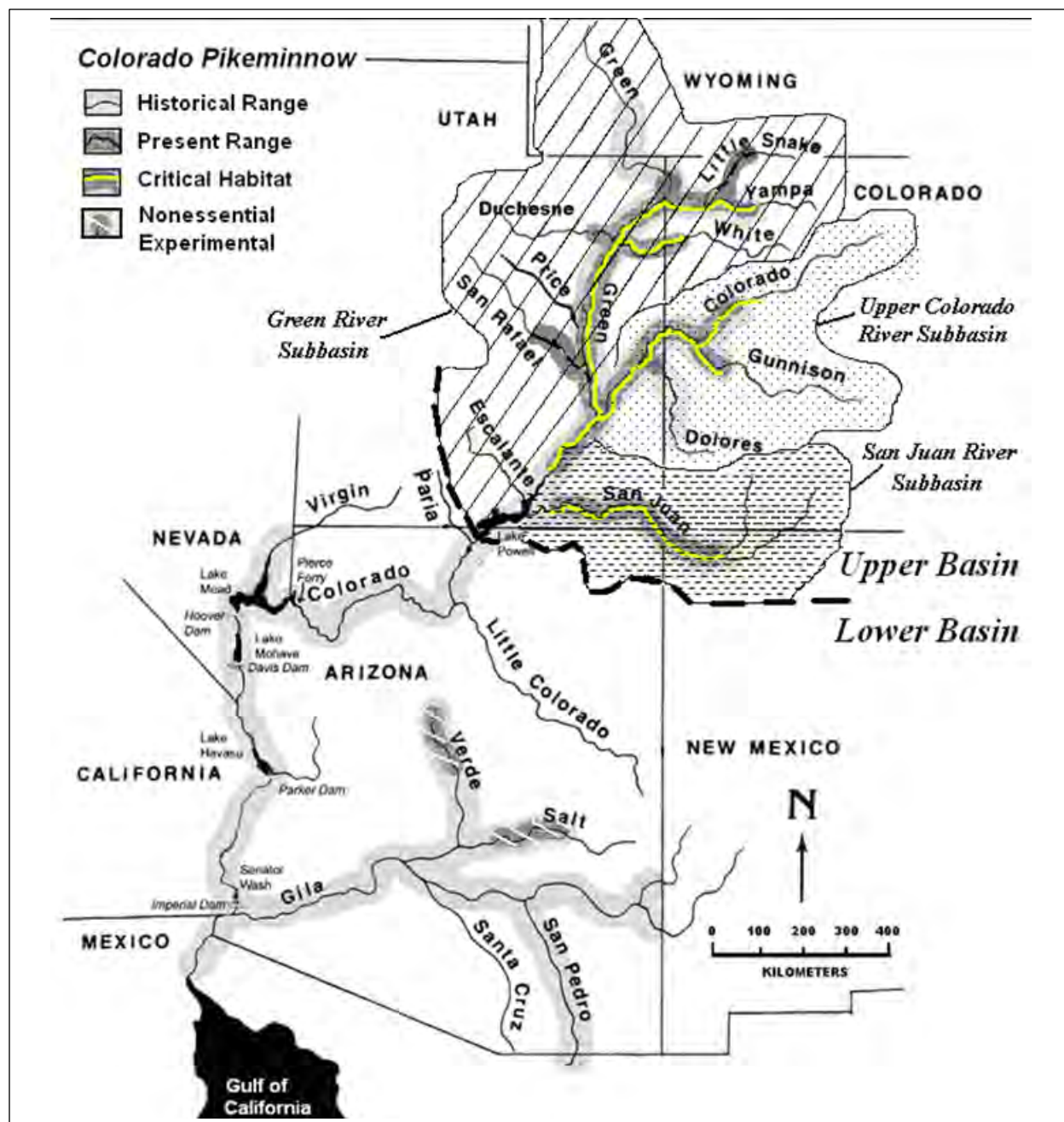


Figure 10. Historical, current range, and critical habitat distribution of Colorado pikeminnow.

Population estimates for Colorado pikeminnow were generated in 2010, using three complete river wide non-native fish removal passes made in 2010. Two separate models yielded the following population estimates: 5,418 (CI = 4,049-7,549 Model M(t)) and 5,466 (CI = 4,082-7,614; Model M(o)) (Duran et al. 2011). Only Age 2+ Colorado pikeminnow that had been in the river for at least one, over-winter period were used in this estimate, so the total number of Colorado pikeminnow could be higher than this estimate.

While the numbers of stocked subadult and adult Colorado pikeminnow may sometimes appear to be increasing, they are not yet a self-supporting wild population. Larval Colorado

pikeminnow collected over the last several years (in low numbers) give an indication that some reproduction is occurring in the wild, although not at levels sufficient to support recruitment. In spite of the positive trends in numbers of stocked fish retaining in the system, the species' long-term viability remains uncertain because of the relatively limited habitat available between Navajo Dam and Lake Powell, competition and predation from non-native fishes, water quality, and the physical changes associated with climate change that will continue to impact the San Juan River Basin. Without active recovery efforts, the Colorado pikeminnow population (as modeled) would be extirpated from the San Juan River Basin within 20-30 years (Miller 2014).

A total of 24 Colorado pikeminnow were collected in the San Juan arm of Lake Powell in 2011 and four were of adult size. All of the Colorado pikeminnow detected in Lake Powell were likely the result of stocking efforts in the San Juan River (Francis et al. 2013). These results indicate at least some of the fish stocked in the San Juan River are moving into the reservoir and surviving. Additional sampling is planned by the San Juan Recovery Implementation Program (SJRRIP) to determine the status of the species in Lake Powell.

The status of Colorado pikeminnow in other basins was summarized by Osmundson and White (2009, 2014) and the Service (USFWS 2014). In the upper Colorado River Basin, declines in Colorado pikeminnow populations occurred primarily after the 1960s, when the following dams were constructed: Glen Canyon Dam on the main channel Colorado River, Flaming Gorge Dam on the Green River, Navajo Dam on the San Juan River, and the Aspinall Unit dams on the Gunnison River. Some native fish populations in the upper basin have managed to persist, while others are nearly extirpated. River reaches where native fish have declined more slowly, more closely resemble pre-dam hydrologic regimes, where adequate habitat for all life phases still exists. The ability of the pikeminnow to withstand adverse impacts to its populations and its habitat is difficult to discern given the longevity of individuals and their scarcity within the San Juan River Basin. Younger life stages are considered the most vulnerable to predation, competition, the effects of toxic chemicals, and ongoing fish habitat degradation.

RAZORBACK SUCKER



Like all suckers (family Catostomidae, meaning “down mouth”), the razorback sucker has a ventral mouth with thick lips covered with papillae and no scales on its head. In general, suckers are bottom browsers, sucking up or scraping off small invertebrates, algae, and organic matter with their fleshy, protrusible lips (Moyle 1976). The razorback sucker is the only sucker with an abrupt sharp-edged dorsal keel behind its head. The keel becomes more massive with age. The head and keel are dark, the back is olive-colored, the sides are brownish or reddish, and the abdomen is yellowish white (Sublette et al. 1990). Adults often exceed 3 kg (6 lbs) in weight and 600 mm (2 ft) in length. Like Colorado pikeminnow, razorback suckers may live to be greater than 40 years.

Historically, razorback suckers were found in the main channel of the Colorado River and major tributaries in Arizona, California, Colorado, Nevada, New Mexico, Utah, Wyoming, and in Mexico (Ellis 1914; Minckley 1983; USFWS 2002b) (Figure 11). Bestgen (1990) reported that this species was once so numerous that it was commonly used as food by early settlers and that a commercially marketable quantity was caught in Arizona as recently as 1949. In the upper Colorado River Basin, razorback suckers were reported to be very abundant in the Green River near Green River, Utah, in the late 1800s (Jordan 1891). An account in Osmundson and Kaeding (1989) reported that residents living along the Colorado River near Clifton, Colorado, observed several thousand razorback suckers during spring runoff in the 1930s and early 1940s. Platania (1990) documented occurrence of razorback sucker in the main channel of the San Juan River in 1988. Two adult razorback suckers were also collected from an irrigation pond attached to the San Juan River by a canal in 1976 (Platania 1990). Razorback sucker likely occurred in the main channel as far upstream as Rosa, New Mexico (now inundated by Navajo Reservoir) (Ryden 1997).

The razorback sucker was designated as endangered under the ESA in 1991 (56 FR 54957), due to little evidence of natural recruitment and declining numbers of adult fish. Threats identified at the time included diversion and depletion of water, introduction of nonnative fishes, and construction and operation of dams. Recruitment of larval razorback suckers to juveniles and adults continues to be a problem.

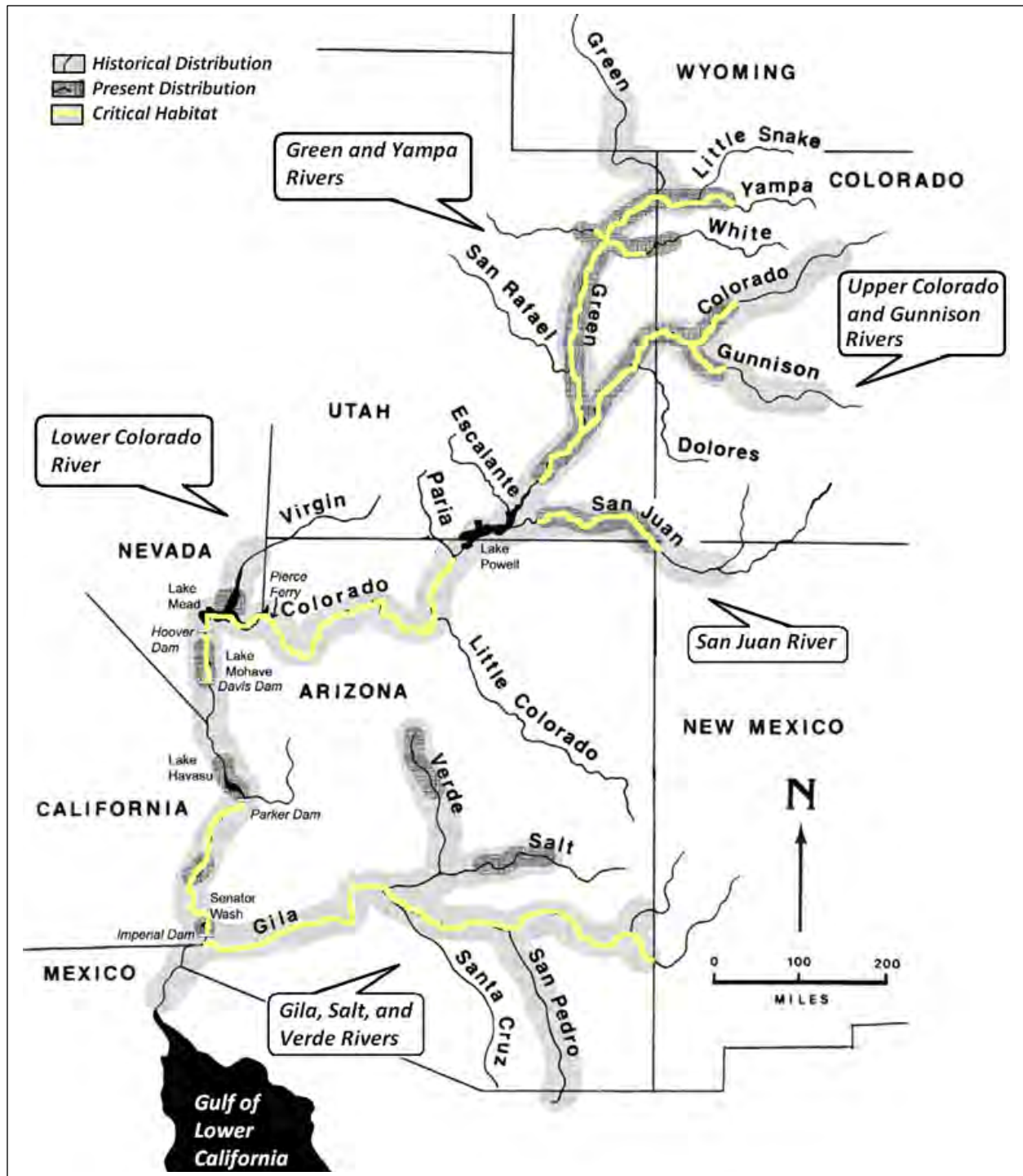


Figure 11. Historical, current range, and critical habitat distribution of razorback sucker.

Critical habitat was designated in 1994 within the 100-year flood plain of the razorback sucker historical range in the following areas of the San Juan River Basin (59 FR 13374): San Juan County, New Mexico, and San Juan County, Utah, including the San Juan River from the Hogback Diversion in Township 29 North, Range 16 West, in section 9 to the full pool elevation at the mouth of Neskahai Canyon on the San Juan arm of Lake Powell in Township 41 South,

Range 11 East, in section 26. The primary constituent elements of critical habitat are the same as those described earlier for Colorado pikeminnow.

The PCEs of razorback sucker critical habitat include:

1. Water: a quantity of water of sufficient quality (i.e., temperature, dissolved oxygen, lack of contaminants, turbidity, etc.) that is delivered to a specific location in accordance with a hydrologic regime that is required for the particular life stage for the species;
2. Physical habitat: areas of the Colorado River system that are inhabited or potentially habitable for spawning, feeding, rearing, as a nursery, or corridors between these areas, including oxbows, backwaters, and other areas in the 100-year floodplain which when inundated provide access to spawning, nursery, feeding, and rearing habitats; and,
3. Biological environment: adequate food supply and ecologically appropriate levels of predation and competition.

Razorback Sucker Life History

McAda and Wydoski (1980) and Tyus (1987) reported springtime aggregations of razorback suckers in off-channel habitats and tributaries; such aggregations are believed to be associated with reproductive activities. Tyus and Karp (1990) and Osmundson and Kaeding (1991) reported off-channel habitats to be much warmer than the main channel river and that razorback suckers presumably moved to these areas for feeding, resting, sexual maturation, spawning, and other activities associated with their reproductive cycle.

While razorback suckers have never been directly observed spawning in turbid riverine environments within the upper Colorado River Basin, ripe males and females have been captured in the Yampa, Green, Colorado, and San Juan rivers (Valdez et al. 1982, McAda and Wydoski 1980, Tyus 1987, Osmundson and Kaeding 1989, Tyus and Karp 1989, Tyus and Karp 1990, Osmundson and Kaeding 1991, Platania 1990, Ryden 2000b, Jackson 2003, Ryden 2005). Because of the relatively steep gradient in the San Juan River and lack of a wide floodplain, razorback sucker likely spawn in low velocity, turbid, main channel habitats. Based on captures of larval fish, razorback suckers have expanded their spawning range upstream over time (Farrington et al. 2014).

Sexually mature razorback suckers are generally collected on the ascending limb of the hydrograph from mid-April through June and are associated with coarse gravel substrates. Both sexes mature as early as Age-4 (McAda and Wydoski 1980). Fecundity, based on ovarian egg counts, ranged from highs of 75,000-144,000 eggs (Minckley 1983) while McAda and Wydoski (1980) reported an average fecundity (N=10) of 46,740 eggs/fish (27,614–76,576). During spawning, several males (often 3) attend each female and no nest is built. The adhesive eggs briefly drift and hatch at the bottom of the substrate (Sublette et al. 1990). In laboratory experiments, the percentage of egg hatch was greatest at 20 °C (68 °F) and all embryos died at incubation temperatures of 5, 10, and 30 °C (41, 50, and 86 °F) (Marsh 1985). Bestgen (2008) found that growth of early life stages was positively related to water temperature and that fastest

growth occurred at 25.5°C (79.9°F). Average weight of razorback suckers reared in 25.5°C (79.9°F) water was about four times that of those in 16.5°C (61.7°F) (Bestgen 2008).

Larval or juvenile razorback suckers are rarely encountered in the wild, therefore, their habitat requirements in the wild are not well characterized. However, it is assumed that low-velocity backwaters and side channels are important for YOY and juveniles, as it is to the early life stages of most riverine fish. Prior to construction of large dams on the main channel and the suppression of spring peak flows, low velocity, off-channel habitats (seasonally flooded bottomlands and shorelines) were commonly available throughout the upper Colorado River Basin (Tyus and Karp 1989, Osmundson and Kaeding 1991).

Reduction in spring peak flows eliminates or reduces the frequency of inundation of off-channel habitats and floodplain habitats. The absence of these seasonally flooded riparian habitats are believed to be a limiting factor in the successful recruitment of razorback suckers in other upper Colorado River tributaries (Tyus and Karp 1989, Osmundson and Kaeding 1991). Wydoski and Wick (1998) identified loss of floodplain habitats that provide adequate zooplankton densities for larval food as one of the most important factors limiting razorback sucker recruitment; low zooplankton densities in the main channel result in starvation of larval razorback suckers. Maintaining low velocity habitats is important for the survival of larval razorback suckers.

Outside of the spawning season, adult razorback suckers occupy a variety of shoreline and main channel habitats including slow runs, shallow to deep pools, backwaters, eddies, and other relatively slow velocity areas associated with sand substrates (Tyus 1987, Tyus and Karp 1989, Osmundson and Kaeding 1989, Valdez and Masslich 1989, Osmundson and Kaeding 1991, Tyus and Karp 1990). Their diet consists primarily of algae, plant debris, and aquatic insect larvae (Sublette et al. 1990).

Razorback Sucker Population Dynamics

Because wild razorback sucker a long-lived fish, are rarely encountered it is difficult to determine natural fluctuations in their population. Currently, wild razorback sucker are rare throughout their historic range and extremely rare in the main channel of the San Juan River, although over 130,000 hatchery-reared razorback sucker have been stocked there since the mid-1990s (Furr 2014). While wild-produced larval razorback sucker have been collected every year since 1998 (Farrington et al. 2014), there is limited evidence indicating natural recruitment to any population of razorback sucker in the Colorado River Basin (Bestgen 1990, Platania 1990, Platania et al. 1991, Tyus 1987, McCarthy and Minckley 1987, Osmundson and Kaeding 1989, Modde et al. 1996). However, Age-0 razorback suckers in the juvenile ontogenetic stage are regularly captured during larval fish monitoring (Farrington et al. 2014). In 2003 two juvenile (Age-2) razorback sucker, 249 and 270 mm (9.8 and 10.6 in.), thought to be wild-produced from stocked fish, were collected in the lower San Juan River (RM 35.7 and 4.8) (Ryden 2004a) and at least four wild juvenile razorback sucker were collected downstream of RM 37.4 in 2004 (Golden and Holden 2006) indicating limited recruitment may be rarely occurring.

Competition with and Predation of Razorback Suckers

Many species of nonnative fishes are predators, competitors, and vectors of parasites and diseases (Tyus et al. 1982, Lentsch et al. 1996, Pacey and Marsh 1999, Marsh et al. 2001). Many researchers believe that nonnative species are a major cause for the lack of recruitment and that nonnative fish are the most important biological threat to the razorback sucker (e.g., McAda and Wydoski 1980, Minckley 1983, Tyus 1987, USFWS 1991, 1998, 2002b, Muth et al. 2000). There are reports of predation of razorback sucker eggs and larvae by common carp, channel catfish, smallmouth bass, largemouth bass, bluegill, green sunfish, and red-ear sunfish (Jones and Sumner 1954, Marsh and Langhorst 1988, Langhorst 1989).

Marsh and Langhorst (1988) found higher growth rates in larval razorback sucker in the absence of predators in Lake Mohave, and Marsh and Brooks (1989) reported that channel catfish and flathead catfish were major predators of stocked razorback sucker in the Gila River. Juvenile razorback sucker (average total length [TL] 171 mm [6.7 in.]) stocked in isolated coves along the Colorado River in California, suffered extensive predation by channel catfish and largemouth bass (Langhorst 1989).

Carpenter and Mueller (2008) tested nine non-native species of fish that co-occur with razorback sucker and found that seven species consumed significant numbers of larval razorback suckers. The seven species consumed an average of 54 – 99 percent of the razorback sucker larvae even though alternative food was available (Carpenter and Mueller 2008). Lentsch et al. (1996) identified six species of nonnative fishes in the upper Colorado River Basin as threats to razorback sucker: red shiner, common carp, sand shiner, fathead minnow, channel catfish, and green sunfish. Smaller fish, such as adult red shiner, are known predators of larval native fish (Ruppert et al. 1993). Large predators, such as walleye, northern pike (*Esox lucius*), and striped bass, also pose a threat to subadult and adult razorback sucker (Tyus and Beard 1990).

Razorback Sucker Status and Distribution

A marked decline in populations of razorback suckers can be attributed to construction of dams and reservoirs, introduction of nonnative fishes, and removal of large quantities of water from the Colorado River Basin (USFWS 1991, 1994). Dams on the main channel of the Colorado River and its major tributaries have fragmented populations and blocked migration routes. Dams also have drastically altered flows, water temperatures, and channel geomorphology. These changes have modified habitats in many areas so that they are no longer suitable for breeding, feeding, sheltering, or nursery areas. Major changes in species composition have occurred due to the introduction of nonnative fishes, many of which have thrived due to human-induced changes to the natural riverine system. Habitat has been significantly degraded to a point where it impairs the essential life history functions of razorback sucker, such as reproduction and recruitment into the adult population.

Currently, the largest numbers of wild adult razorback sucker remaining in the Colorado River Basin is in Lake Mohave. Estimates of the wild stock in Lake Mohave have fallen precipitously in recent years from 60,000 in 1991, 25,000 in 1993 (Marsh 1993, Holden 1994), to fewer than 3,000 in 2001 (Marsh et al. 2003). A repatriation program began in Lake Mohave in 1991, and repatriated fish have apparently begun to contribute to larval cohorts (Turner et al. 2007). Until

recently, efforts to introduce young razorback sucker into Lake Mohave have failed because of predation by nonnative species (Minckley et al. 1991, Clarkson et al. 1993, Burke 1994, Marsh et al. 2003). Razorback suckers elsewhere in the Colorado River Basin have not maintained a secure, self-sustaining wild population or have been extirpated (Marsh et al. 2003).

In the upper Colorado River Basin, above Glen Canyon Dam, razorback suckers are found in limited numbers in both lentic (lake-like) and riverine environments. Lanigan and Tyus (1989) estimated a population of 948 adults (95% CI: 758-1,138) in the upper Green River. Eight years later, the population was estimated at 524 adults (95% CI: 351-696) and the population was characterized as stable or declining slowly with some evidence of recruitment (Modde et al. 1996). They attributed this recruitment to unusually high spring flows during 1983-1986 that inundated portions of the floodplain used as nurseries by young. In the Colorado River, most razorback suckers occur in the Grand Valley area near Grand Junction, Colorado; however, they are increasingly rare. Osmundson and Kaeding (1991) reported that the number of razorback sucker captures in the Grand Junction area has declined dramatically since 1974. Between 1984 and 1990, intensive collecting effort captured only 12 individuals in the Grand Valley (Osmundson and Kaeding 1991). The wild population of razorback sucker is considered extirpated from the Gunnison River (Burdick and Bonar 1997). While the role of Lake Powell in the recovery of razorback sucker is unclear, 75 individuals were detected in the San Juan arm of Lake Powell in 2011 (Francis et al. 2013).

Scientifically documented records of wild razorback sucker adults in the San Juan River are limited to two fish captured in a riverside pond near Bluff, Utah in 1976, and one fish captured in the river in 1988, also near Bluff (Platania 1990). In 1976, large numbers of razorback suckers were anecdotally reported from a drained pond near Bluff, Utah, but no specimens were preserved to verify species. During the 7-year research period (1991-1997) of the San Juan River Recovery Implementation Program (SJRRIP), no wild razorback suckers were observed (Holden 1999). Hatchery-reared razorback suckers, especially those greater than 350 mm (13.8 in.), introduced into the San Juan River in the 1990s have survived and reproduced, as evidenced by recapture data and collection of larval fish (Farrington et al. 2014, Schleicher 2014). River wide razorback sucker population estimates of 268 in October 2000 (Ryden 2001) have since grown to 1,200 in October 2004 (Ryden 2005b), and to about 2,000 and 3,000 in 2009 and 2010, respectively (Duran et al. 2011). Additional mark-recapture data indicates increasing razorback sucker abundance estimates (Durst 2014) (Figure 12). However, since there is little to no documented recruitment in the San Juan River, this population increase should be attributed almost entirely to augmentation with hatchery-reared razorback suckers.

The razorback sucker recovery goals identified streamflow regulation, habitat modification, predation by nonnative fish species, and pesticides and pollutants as primary threats to the species (USFWS 2002b). Within the upper Colorado River Basin, recovery efforts include the capture and removal of razorback suckers from all known locations for genetic analyses and development of brood stocks. In the short term, augmentation (stocking) may be the only means to prevent the extirpation of razorback sucker in the upper Colorado River Basin. However, in the long term it is expected that natural reproduction and recruitment will occur. Genetics management and augmentation plans have been implemented for razorback sucker (Crist and Ryden 2003, Ryden 2003).

At the time of listing, few razorback suckers remained in the San Juan River. Since the initiation of the SJRRIP, razorback sucker numbers have increased, due to augmentation. The long-term population viability remains uncertain because of the relatively limited or degraded habitat available to razorback sucker between Navajo Dam and Lake Powell, competition and predation from nonnative fishes, degraded water quality, and the uncertainty surrounding the changes that climate change will bring to the San Juan basin.

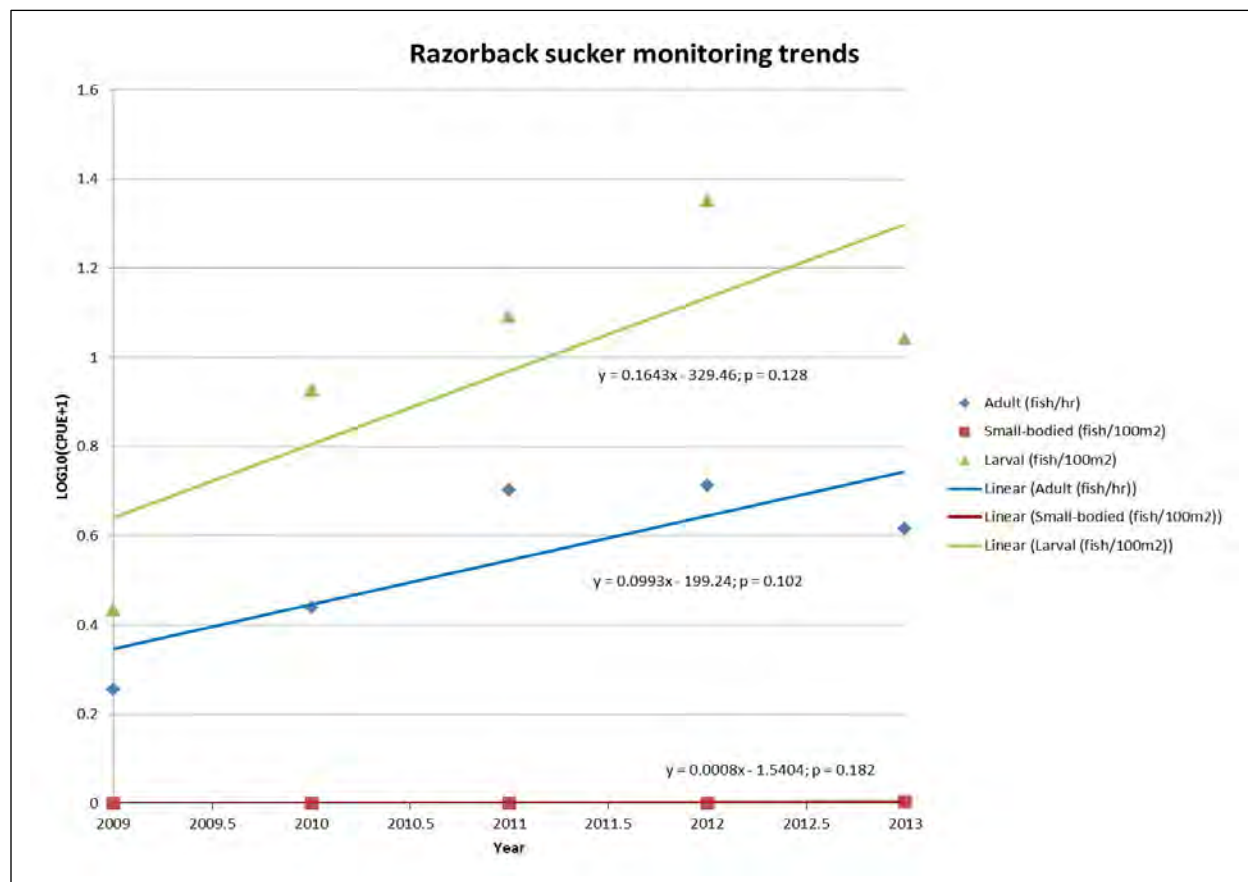


Figure 12. Summary of the recent catch (CPUE) of various life stages of razorback sucker and various small-bodied fish in the San Juan River (Durst 2014).

ENDANGERED FISHES PROPAGATION AND AUGMENTATION

Because of these extremely low numbers of wild Colorado pikeminnow and poor recruitment into the population, a stocking program was initiated to augment fish stocks in the San Juan River. Experimental stocking of 100,000 YOY Colorado pikeminnow upstream of Shiprock, New Mexico was conducted in November 1996 to test habitat suitability and quality for young life stages (Lentsch et al. 1996). Monitoring in late 1996 and 1997 found these fish scattered in suitable habitats from just below the Shiprock site to the inflow of Lake Powell. During the fall of 1997, the fish stocked in 1996 were caught in relatively high numbers and exhibited good growth and survival rates (Holden and Masslich 1997). In August 1997, an additional 100,000 YOY Colorado pikeminnow were stocked in the river. In October 1997, the YOY stocked two

months previously were found distributed below stocking sites and in relatively large numbers nearly ten miles above the Shiprock stocking location. On average, the 1997 stocked fish were smaller than those stocked in 1996 and were able to move about the river to find suitable habitats (Holden and Masslich 1997). Because of the initial success of the stocked fish, Colorado pikeminnow have been stocked every year since 1996. Approximately 3.2 million pikeminnow have been stocked between 2002 and 2011 (Furr 2012).

Between 1994-2007, a total of 54,472 hatchery and pond raised razorback suckers were stocked into the San Juan River (Ryden 2008c). From 1994 through 2012, 130,473 razorback suckers were stocked. Between 2009 and 2012, the number released has ranged from 8,418 to 28,485, with an average of 17,889 razorback suckers released per year (Furr 2013). Razorback suckers that have been stocked in the river for six or more overwinter periods have been collected every year since 2001 (Ryden 2008c). Larval razorback suckers have been collected each year since 1998, indicating that the stocked fish are successfully spawning in the San Juan River (Brandenburg and Farrington 2008). The number of endangered fishes stocked in the San Juan River is reported annually (see <http://www.fws.gov/southwest/sjrip/>).

The status of razorback sucker critical habitat in the San Juan River Basin is described in the environmental baseline of this BO.

SOUTHWESTERN WILLOW FLYCATCHER



The flycatcher is a small grayish-green passerine bird measuring approximately 5.75 in (146 mm) in height. It has a grayish-green back and wings, whitish throat, light gray-olive breast, and pale yellowish belly. Two white wing bars are visible in adults, while juveniles have buffy wing bars. The eye ring is faint or absent. The upper mandible is dark, and the lower is light yellow grading to black at the tip. The song is a sneezy “fitz-bew” or a “fit-a-bew” and the call is a repeated “whitt” (Howell and Webb 1995).

The flycatcher is one of four currently recognized willow flycatcher subspecies (Phillips 1948, Unitt 1987, Browning 1993). It is a neotropical migrant that breeds in the southwestern U.S.A. and migrates to Mexico, Central

America, and possibly northern South America during the non-breeding season (Phillips 1948, Stiles and Skutch 1989, Peterson 1990, Ridgely and Tudor 1994, Howell and Webb 1995). The historic breeding range of the flycatcher included southern California, Arizona, New Mexico, western Texas, southwestern Colorado, southern Utah, extreme southern Nevada, and extreme northwestern Mexico (Sonora and Baja) (Unitt 1987).

The flycatcher was listed as endangered in 1995 (60 FR 10694; USFWS 1995) without critical habitat designation. Critical habitat was designated for the flycatcher on July 22, 1997 along 599 river miles in Arizona, California, and New Mexico (USFWS 1997a). A correction notice was later published in the Federal Register on August 20, 1997 (USFWS 1997b). In May 2001, citing a faulty economic analysis, the 10th Circuit Court of Appeals vacated the designation of critical habitat and instructed the Service to issue a new flycatcher critical habitat designation. On October 19, 2005, critical habitat was re-designated on approximately 48,896 ha (120,824 acres) or 1,186 km (737 mi) within Arizona, California, Nevada, New Mexico and Utah (USFWS 2005). On July 13, 2010, the Service agreed to revise critical habitat for the flycatcher; while the 2005 critical habitat designation remained in place. On January 3, 2013, a final rule to designate revised critical habitat was published in the Federal Register (USFWS 2013) for the flycatcher on approximately 1,975 stream kilometers (1,227 stream miles) on a combination of Federal, State, tribal, and private lands in California, Nevada, Utah, Colorado, Arizona, and in New Mexico.

The specific physical or biological features required for the flycatcher from studies of its habitat, ecology, and life history was described by the Service (USFWS 2011c). In general, the physical or biological features of critical habitat for nesting flycatchers are found in the riparian areas within the 100-year floodplain or flood-prone areas. Flycatchers use riparian habitat for feeding, sheltering, and cover while breeding, migrating, and dispersing. It is important to recognize that flycatcher habitat is ephemeral in its presence, and its distribution is dynamic in nature because riparian vegetation is prone to periodic disturbance (such as flooding). The PCEs of critical habitat for flycatcher (USFWS 2013) include:

1. Primary Constituent Element 1— Riparian vegetation. Riparian habitat in a dynamic river or lakeside, natural or manmade successional environment (for nesting, foraging, migration, dispersal, and shelter) that is comprised of trees and shrubs (that can include Trees and shrubs that include Gooddings willow (*Salix gooddingii*), coyote willow (*S. exigua*), Geyers willow (*S. geyerana*), arroyo willow (*S. lasiolepis*), red willow (*S. laevigata*), yewleaf willow (*S. taxifolia*), pacific willow (*S. lasiandra*), boxelder (*Acer negundo*), tamarisk (*Tamarix ramosissima*; also known as salt cedar), Russian olive (*Elaeagnus angustifolia*), buttonbush (*Cephalanthus occidentalis*), cottonwood (*Populus fremontii*), stinging nettle (*Urtica dioica*), alder (*Alnus* spp.), velvet ash (*Fraxinus velutina*), poison hemlock (*Conium maculatum*), blackberry (*Rubus ursinus*), seep willow (*Baccharis salicifolia*, *B. glutinosa*), oak (*Quercus agrifolia*, *Q. chrysolepis*), rose (*Rosa californica*, *R. arizonica*, *R. multiflora*), sycamore (*Platanus wrightii*), false indigo (*Amorpha californica*), Pacific poison ivy (*Toxicodendron diversilobum*), grape (*Vitis arizonica*), Virginia creeper (*Parthenocissus quinquefolia*), Siberian elm (*Ulmus pumila*), and walnut (*Juglans hindsii*).
2. PCE 1 and some combination of:
 - a. Dense riparian vegetation with thickets of trees and shrubs that can range in height from about 2 meters (m) to 30 m (about 6 to 98 feet (ft)). Lower-stature thickets (2 to 4 m or 6 to 13 ft tall) are found at higher elevation riparian forests and tall-stature thickets are found at middle and lower-elevation riparian forests; and/or
 - b. Areas of dense riparian foliage at least from the ground level up to approximately 4 m (13 ft) above ground or dense foliage only at the shrub or tree level as a low, dense canopy; and/or
 - c. Sites for nesting that contain a dense (about 50 percent to 100 percent) tree or shrub (or both) canopy (the amount of cover provided by tree and shrub branches measured from the ground); and/or
 - d. Dense patches of riparian forests that are interspersed with small openings of open water or marsh or areas with shorter and sparser vegetation that creates a variety of habitat that is not uniformly dense. Patch size may be as small as 0.1 hectares (ha) (0.25 acres (acres)) or as large as 70 ha (175 acres); and
3. Primary Constituent Element 2— Insect prey populations. A variety of insect prey populations found within or adjacent to riparian floodplains or moist environments, which can include: flying ants, wasps, and bees (Hymenoptera); dragonflies (Odonata); flies (Diptera); true bugs (Hemiptera); beetles (Coleoptera); butterflies, moths, and caterpillars (Lepidoptera); and cicada (Homoptera).

The PCEs of flycatcher critical focused on the end result of all the components that culminate in the development of flycatcher breeding habitat (USFWS 2013). The Service (USFWS 2005)

described those components (e.g., broad floodplain, surface water, fine sediments, hydrologic regime, channel-floodplain connectivity, elevated groundwater, etc.) in detail in the supporting text for the PCEs (69 FR 60712–60715). All the PCEs of critical habitat for the flycatcher are found in the riparian ecosystem within the 100-year floodplain or flood prone area (USFWS 2013).

Flycatcher critical habitat (27 mi (43.5 km)) occurs along the northern bank of San Juan River upstream of Chinle Creek in San Juan County, Utah. This reach of the San Juan River is part of the San Juan Management Unit in the Upper Colorado Recovery Unit (USFWS 2002c). The goal for recovery of flycatchers in the Upper Colorado Recovery Unit, San Juan Management Unit, is 25 territories (USFWS 2002, p.84). In 2002, flycatchers were known to breed at only four sites in the San Juan Management Unit, with only three flycatcher territories (less than one percent of the rangewide total) documented (USFWS 2002c). All occupied sites occurred in native (willow) habitats between 1,400 to 2,420 m elevation (USFWS 2002c). The specific river reaches within the San Juan Management Unit, where recovery efforts were considered essential to meet recovery goals included the Los Pinos River, in Colorado; and the San Juan River (north bank) in Utah (USFWS 2002c). The San Juan River near Shiprock, New Mexico, from Malpais Arroyo, one mile upstream to one mile downstream, was identified as a river segment that could contribute substantially to recovery, but was not considered essential (USFWS 2002c, 2013)

Flycatcher Life History

The flycatcher breeds in dense riparian habitat from sea level in California to approximately 8,500 ft elevation in Arizona and southwestern Colorado. Historical eggs/nest collections and species descriptions throughout its range describe widespread use of willow (*Salix* spp.) for nesting (Phillips 1948, Phillips et al. 1964, Hubbard 1987, Unitt 1987). Currently, flycatchers primarily use Geyer's willow, coyote willow, Goodding's willow, boxelder, saltcedar, Russian olive, and live oak for nesting. Other plant species less commonly used for nesting include buttonbush, black twinberry (*Lonicera involucrata*), cottonwood, white, blackberry, and stinging nettle. Saltcedar is an important component of nesting and foraging habitat in Arizona and other parts of the species' range. During 2001 in Arizona 323 of the 404 (80 percent) known flycatcher nests (in 346 territories) were in saltcedar (Smith et al. 2002). Four habitat types have been described for the flycatcher: monotypic willow, monotypic exotic, native broadleaf dominated, and mixed native/exotic (Sogge et al. 1997).

Throughout their range, the generalized breeding chronology of flycatchers begins with the arrival at breeding grounds in late April and May (Sogge and Tibbitts 1992; Sogge et al. 1993; Muiznieks et al. 1994; Sogge and Tibbitts 1994; Maynard 1995; Sferra et al. 1995, 1997; USFWS 2002; Sogge et al. 2010). Nesting and egg laying may begin as early as late May, but more often starts in early to mid-June. Flycatchers typically lay three to four eggs per clutch (range = 1 to 5). Eggs are laid at one-day intervals and are incubated by the female for approximately 12 days (Bent 1960, Walkinshaw 1966, McCabe 1991). Chicks can be present in nests from mid-June through early August and will typically fledge approximately 12 to 13 days after hatching (King 1955, Harrison 1979), from late June through mid-August. Young will remain in the natal area for up to 15 days (Brown 1988a,b; Sogge and Tibbitts 1992; Muiznieks et al. 1994; Maynard 1995). Adults depart from breeding territories as early as mid-August, but

may stay until mid-September in later nesting efforts. Fledglings likely leave the breeding areas a week or two after adults.

Typically, one brood is raised per year, but birds have been documented raising two broods during one season and re-nesting after a failure (Whitfield 1990, Sogge and Tibbitts 1992, Sogge et al. 1993, Sogge and Tibbitts 1994, Muiznieks et al. 1994, Whitfield 1994, Whitfield and Strong 1995). The entire breeding cycle, from egg laying to fledging, is approximately 28 days. Each stage of the breeding cycle represents a greater energy investment in the nesting effort by the flycatcher pair and may influence their fidelity to the nest site or their susceptibility to quickly abandon if the conditions in the selected breeding habitat become adverse, decadent, or result in nest failure.

Flycatcher Population Dynamics, Status, and Distribution

Since the mid-1900s, populations of southwestern willow flycatcher have declined rapidly (USFWS 2002c). The historical breeding range of southwestern willow flycatcher included southern California, southern Nevada, southern Utah, Arizona, New Mexico, western Texas, southwestern Colorado, and extreme northwestern Mexico. The flycatcher's current range is similar to the historical range, but the quantity of suitable habitat within that range is much reduced from historical levels. There are currently 288 known flycatcher breeding sites in California, Nevada, Arizona, Utah, New Mexico, and Colorado (all sites from 1993 – 2007 where a resident flycatcher has been detected) holding an estimated 1,299 territories (Durst et al. 2008) (Table 3). Currently, rangewide population stability is believed to be largely dependent on the presence of four large populations (Cliff/Gila Valley, New Mexico; Roosevelt Lake, Arizona; San Pedro/Gila River confluence, Arizona; middle Rio Grande, New Mexico) where approximately 50 percent of the 1,299 territories currently exist. Therefore, the result of catastrophic events or losses of significant populations in either size or location could greatly change the status and survival of the species. Conversely, expansion into new habitats or discovery of other populations will improve the known stability and status of the flycatcher.

Since 1998, surveys for flycatcher have been completed in association with various mining, power generation, and energy transmission projects, and recently around Morgan Lake and the DFADA (OSMRE 2014b). Flycatchers have been detected sporadically near Morgan Lake and the San Juan River; however, no confirmed nesting locations of this species have been reported. In 2012, Site-specific flycatcher surveys were conducted along corridors near APS and PNM transmission lines, ROWs, and switchyards (Marron 2012a,b; AECOM 2013d). Flycatcher habitat was considered marginal on the Navajo Mine lease and therefore, flycatcher protocol surveys ceased in 1995 (OSMRE 2014b). The Navajo Nation Department of Fish and Wildlife (NNDFW) reported a male flycatcher making territorial displays near the Hogback in 2014, but protocol surveys were not completed (OSMRE 2014b).

We reviewed all available flycatcher survey reports from 1994 to 2013 conducted at all locations within the San Juan River Basin in New Mexico. Of the 143 areas surveyed in suitable habitats along the San Juan, Animas, and La Plata Rivers, flycatchers were documented 127 times, or about 88.9 percent in the 143 areas surveyed. However, the vast majority of these flycatchers were migrants and even fewer exhibited territorial behavior. Only five nesting pairs of

flycatchers have been documented nesting at two locations (Shiprock, New Mexico, and below the Navajo Reservoir Dam) along the San Juan River in 1997-1998 (USFWS 2002; BOR 2006; BA, p. 6-3). The average annual flycatcher-nesting rate from survey results in suitable habitat along the San Juan River was (5 nesting pairs in 20 years of surveys) or 1.25 percent per year, over 20 years.

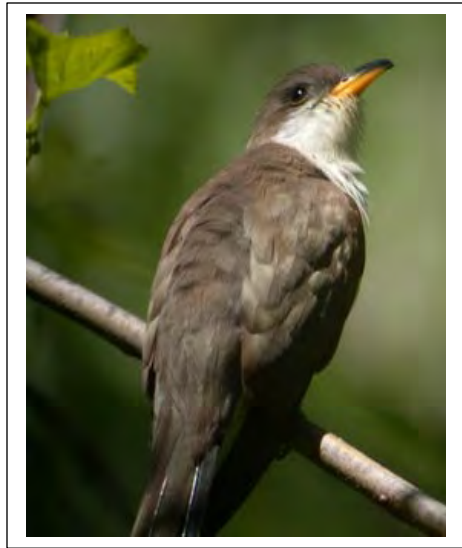
Riparian habitat occurs along the San Juan River along with water, wetlands, native willows, salt cedar and Russian olive for nesting substrate. Several agencies have or are conducting restoration efforts to improve riparian habitat conditions there. According to the NNDFW (2014), “there are likely patches of riparian habitat suitable for breeding in the San Juan River Deposition Area, or habitats that may become suitable for breeding during the life of the project.” Therefore, we assume the San Juan River currently supports (AECOM 2013) and in the future will continue to support suitable nesting habitat for flycatchers.

Table 2. Rangewide population status for the southwestern willow flycatcher based on 1993 to 2007 survey data for Arizona, California, Colorado, New Mexico, Nevada, Utah, and Texas. (There is no recent survey data or other records to know the current status and distribution within the state of Texas.) (Durst et al. 2008).

State	Number of sites with territories as of 2007	Percentage of sites with territories as of 2007	Number of territories as of 2007	Percentage of total territories as of 2007
Arizona	124	43.1 %	459	35.3 %
California	96	33.3 %	172	13.2 %
Colorado	11	3.8 %	66	5.1 %
Nevada	13	4.5 %	76	5.9 %
New Mexico	41	14.2 %	519	40.0 %
Utah	3	1.0 %	7	0.5%
Total	288	100 %	1299	100 %

Total territory numbers recorded are based upon the most recent year’s survey information from that site between 1993 and 2007.

YELLOW-BILLED CUCKOO



Western yellow-billed cuckoo (cuckoo) is a medium-sized bird about 12 inches (30 cm) in length and weighing about 2 ounces (57 grams [g]). Morphologically, cuckoos throughout the western continental United States and Mexico are generally larger, with significantly longer wings, longer tails, and longer and deeper bills compare to their eastern counterparts (Franzreb and Laymon 1993). The species has a slender, long-tailed profile, with a fairly stout and slightly down-curved bill, which is blue-black with yellow on the basal half of the lower mandible. Plumage is grayish-brown above and white below, with rufous primary flight feathers. The tail feathers are boldly patterned with large white spots on a black background on the underside of the tail. The legs are short and bluish-gray, and adults have a narrow, yellow eye ring. Juveniles

resemble adults, except the tail patterning is less distinct, and the lower bill may have little or no yellow. Males and females differ slightly. Males tend to have a slightly larger bill and the white in the tail tends to form oval spots, whereas in females the white spots tend to be connected and less distinct (USFWS 2011b).

On October 3, 2013, the Western U.S. Distinct Population Segment (DPS) of yellow-billed cuckoo was listed as a threatened species under the ESA (USFWS 2014). The area for the western DPS of yellow-billed cuckoo is west of the crest of the Rocky Mountains. Critical habitat is proposed along the San Juan River where flycatcher critical habitat is designated on the north shore near Chinle Wash, in Utah (USFWS 2013c).

Cuckoo Life History

The breeding range of the entire yellow-billed cuckoo species formerly included most of North America from southeastern and western Canada (southern Ontario and Quebec and southwestern British Columbia) to the Greater Antilles and northern Mexico (AOU 1957, AOU 1983, AOU 1998). Western populations of cuckoos breed in dense riparian woodlands, primarily of cottonwood, willow, and mesquite (*Prosopis* spp.), along riparian corridors in otherwise arid areas (Laymon and Halterman 1989, Hughes 1999). Dense undergrowth may be an important factor in selection of nest sites. Narrow bands of riparian woodland can contribute to the overall extent of suitable habitat. Adjacent habitat on terraces or in the upland (such as mesquite) can enhance the value of these narrow bands of riparian woodland.

In the Lower Colorado River this species occupies riparian areas that have higher canopies, denser cover in the upper layers of the canopy, and sparser shrub layers when compared to unoccupied sites. Although this species is generally associated with breeding and nesting in large wooded riparian areas dominated by cottonwood trees, they have been documented nesting in salt cedar between Albuquerque and Elephant Butte Reservoir and along the Pecos River in southeastern New Mexico.

Throughout the cuckoo's range, a large majority of nests are placed in willow trees, but alder (*Alnus* spp.), cottonwood, mesquite, walnut (*Juglans* spp.), box elder, sycamore, netleaf hackberry (*Celtis laevigata* var. *reticulata*), soapberry (*Sapindus saponaria*), and tamarisk are also used (Laymon 1980, Hughes 1999, Corman and Magill 2000, Corman and Wise-Gervais 2005, Holmes et al. 2008).

Cuckoos reach their breeding range later than most other migratory breeders, often in June (Rosenberg et al. 1982). They construct an unkempt stick nest on a horizontal limb in a tree or large shrub. Nest height ranges from 4 ft to (rarely) 100 ft, but most are typically below 30 ft (Hughes 1999). The incubation period for cuckoo is 9 to 11 days, and young leave the nest at 7 to 9 days old. Nesting usually occurs between late June and late July, but can begin as early as late May and continue until late September (Hughes 1999).

The cuckoo primarily breeds in riparian habitat along low-gradient (surface slope less than 3 percent) rivers and streams, and in open riverine valleys that provide wide floodplain conditions (greater than 325 ft [100 m]). In the southwest, it can also breed in narrower reaches of riparian habitat. The moist conditions that support riparian plant communities that provide cuckoo habitat typically exist in lower elevation, broad floodplains, as well as where rivers and streams enter impoundments.

The optimal size of habitat patches for the species are generally greater than 200 ac (81 ha) and have dense canopy closure and high foliage volume of willows and cottonwoods (Laymon and Halterman 1989) and thus provide adequate space for foraging and nesting. Tamarisk, a nonnative tree species, may be a component of the habitat, especially in Arizona and New Mexico. Sites with a monoculture of tamarisk are unsuitable habitat for the species. The association of breeding with large tracts of suitable riparian habitat is likely related to home range size. Individual home ranges during the breeding season average over 100 ac (40 ha), and home ranges up to 500 ac (202 ha) have been recorded (Laymon and Halterman 1987, Halterman 2009, Sechrist et al. 2009, McNeil et al. 2011, McNeil et al. 2012).

In addition to the dense nesting grove, western yellow-billed cuckoos need adequate foraging areas near the nest. Foraging areas can be less dense or patchy with lower levels of canopy cover and often have a high proportion of cottonwoods in the canopy. Optimal breeding habitat contains groves with dense canopy closure and well-foliaged branches for nest building with nearby foraging areas consisting of a mixture of cottonwoods, willows, or mesquite with a high volume of healthy foliage (USFWS 2010e).

Cuckoos forage primarily by gleaning insects from vegetation, but they may also capture flying insects or small vertebrates such as tree frogs and lizards (Hughes 1999). They specialize on relatively large invertebrate prey, including caterpillars (*Lepidoptera* sp.), katydids (*Tettigoniidae* sp.), cicadas (*Cicadidae* sp.), and grasshoppers (*Caelifera* sp.) (Laymon et al. 1997). Minor prey includes beetles (*Coleoptera* sp.), dragonflies (*Odonata* sp.), praying mantis (*Mantidae* sp.), flies (*Diptera* sp.), spiders (*Araneae* sp.), butterflies (*Lepidoptera* sp.), caddis flies (*Trichoptera* sp.), crickets (*Gryllidae* sp.), wild berries, and bird eggs and young (Laymon et al. 1997, Hughes 1999). Prey species composition varies geographically. Their breeding season may be timed to

coincide with outbreaks of insect species, particularly tent caterpillars (Hughes 1999, USFWS 2001a) or cicadas (Johnson et al. 2007, Halterman 2009).

Cuckoos spend the winter in South America, east of the Andes, primarily south of the Amazon Basin in southern Brazil, Paraguay, Uruguay, eastern Bolivia, and northern Argentina (Ehrlich et al. 1992, AOU 1998, Johnson et al. 2008b). The species as a whole winters in woody vegetation bordering fresh water in the lowlands to 1,500 m (4,921 ft), including dense scrub, deciduous broadleaf forest, gallery forest, secondary forest, subhumid and scrub forest, and arid and semiarid forest edges (Hughes 1999). Wintering habitat of the cuckoo is poorly known.

Cuckoo Population Dynamics, Status, and Distribution

Since 1980, statewide surveys from New Mexico, Arizona, and California indicate an overall estimated 52 percent decline with numbers too low to establish trends from Idaho, Montana, Utah, Nevada, and Colorado. Trend information is also lacking from west Texas and Mexico. Yellow-billed cuckoo has been extirpated as a breeding bird in Washington, Oregon, and British Columbia (USFWS 2011b). Comparisons of historic and current information suggest that the western yellow-billed cuckoo's range and population numbers have declined substantially across much of the western U.S. over the past 50 years.

Although the overall population size of this species remains large, western populations in many areas have decreased dramatically. Major declines among western populations in the 20th century are attributed to habitat loss and fragmentation. Although once considered a common nester in Arizona river bottoms, fewer than 50 pairs were estimated present in the state in the early 1990s. The greatest declines have been in California, from an estimated 15,000 pairs in the late 19th century to a few dozen pairs by the mid-1980s (New Mexico Partners in Flight 2014).

Based on historic accounts, the species was widespread and locally common in California and Arizona, locally common in a few river reaches in New Mexico, locally common in Oregon and Washington, generally local and uncommon in scattered drainages of the arid and semiarid portions of western Colorado, western Wyoming, Idaho, Nevada, and Utah, and probably uncommon and local in British Columbia (USFWS 2011b). The largest remaining breeding areas are in southern and central California, Arizona, along the Rio Grande in New Mexico, and in northwestern Mexico (USFWS 2010e). The current breeding population is low, with estimates of approximately 350 to 495 pairs north of the Mexican border and another 330 to 530 pairs in Mexico for a total of 680 to 1,025 breeding pairs (USFWS 2010e).

In New Mexico, the species was historically rare statewide, but common in riparian areas along the Pecos River and Rio Grande, as well as uncommon to common locally along portions of the Gila, San Francisco, and San Juan Rivers. A review on the status of the species in New Mexico concluded that the species would likely decline in the future due to loss of riparian woodlands (USFWS 2011b). In the eastern third of the state, non-native salt cedar has provided habitat for approximately 1,000 pairs of yellow-billed cuckoos in historically unforested areas (USFWS 2011b). Few cuckoo surveys have been conducted on the San Juan River (Reclamation 2006; OSMRE 2014b; USFWS 2013, 2014).

No habitat capable of supporting cuckoo is present within the Navajo Mine Lease Area or Pinabete Permit Area due to lack of riparian woodland habitats and perennial water resources (BNCC 2012b). Some marginally suitable habitat for yellow-billed cuckoo occurs in the FCPP Lease Area along the riparian vegetation around Morgan Lake and within the salt cedar vegetation within the DFADA (AECOM 2013d). Along the PNM transmission line ROWs, areas identified as potentially capable of supporting yellow-billed cuckoo habitat were identified near the Rio Puerco, San Juan River, and at Morgan Lake. Each of these areas were considered to be marginal habitat as it occurs immediately adjacent to area affected by noise and disturbance and consisted of a dense, low-growing Russian olive trees or salt cedar. After timbering, these areas lack the overstory structure that cuckoo usually prefers. Suitable habitat along the San Juan River and Morgan Lake were subject to protocol surveys in June and July 2012 (Marron 2012b). No yellow-billed cuckoos were identified during those surveys.

However, cuckoos have been documented as occurring along the San Juan River from Navajo Reservoir to the Arizona state line (New Mexico Partners in Flight 2014). Staff from the BLM, Farmington Field Office, have documented this species at five of their San Juan River parcels during 2002 and 2003 surveys between the Hogback and Bloomfield, New Mexico. The closest potential habitat for this species was documented along the San Juan River (Ecosphere 2011). Approximately 6,726 acres of potentially suitable cuckoo habitat was identified within the Deposition Area (AECOM 2013b, 2014).

ENVIRONMENTAL BASELINE

Under section 7(a)(2) of the ESA, when considering the effects of the action on federally listed species, the Service is required to take into consideration the environmental baseline. Regulations implementing the ESA (50 CFR 402.02) define environmental baseline as the past and present impacts of all Federal, State, or private actions and other human activities in the action area; the anticipated impacts of all proposed Federal actions in the action area that have already undergone formal or early section 7 consultation; and the impact of State and private actions that are contemporaneous with the consultation in process. All projects previously built or consulted on, and those State, Tribal, or private projects presently being built or considered that deplete water from the San Juan River basin are in the Environmental Baseline for this proposed action. The environmental baseline does not include the effects of the action under review, only actions that have occurred previously.

Federally authorized (or unauthorized) Hg-emitting activities were difficult to categorize as either part of the environmental baseline or part of cumulative effects. Therefore, we aggregated those into the environmental baseline. Numerous activities, natural sources, and legacy sources have emitted Hg in the past or currently and some of that Hg has variously deposited in the San Juan River Basin over time (EPRI 2014). Since the surface area of water is low in the San Juan River Basin, almost all Hg deposition falls on land, primarily as elemental or ionic mercury. The deposited Hg either evades back to the atmosphere or sequesters to soil. Over time, when overland flow takes place, soil is eroded from the catchment surface and carries adsorbed Hg (e.g., Hg ions; EPRI 2014) with it to the river. About 0.1 percent of ionic deposited in the watershed enters surface waters (EPRI 2014). Because of the relatively large amount of Hg deposited to San Juan River Basin soils from local, regional and global sources, Hg in water and fish are slow to respond to changes in Hg deposition, including reductions (EPRI 2014). Thus, Hg emission and deposition in the San Juan River Basin that may have occurred in the past, and may continue to affect the listed species and critical habitat today, or will affect the listed species and habitat in the future are considered as part of the environmental baseline.

The EPRI (2014) model predicts gradually rising Hg concentrations in water and fish tissue because the watershed has not yet reached equilibrium with the rate of atmospheric deposition the watershed has been receiving. Modeled reductions in Hg emissions (with concordant changes in Hg deposition, transport, methylation, and bioaccumulation) also never exceeded a 0.2 percent reduction in Colorado pikeminnow tissue burdens within the 85-year model simulation period (EPRI 2014). Therefore, except for Hg deposition associated with the proposed action, we characterize Hg deposition from past and current activities and Hg deposition from non-USA sources (e.g., East Asia) in the San Juan River Basin all as part of the environmental baseline (and do not separate it further into cumulative effects). In preparing this BO, we evaluated the direct and indirect effects of the proposed action and added those effects to the environmental baseline (see 50 CFR 402.02).

FACTORS AFFECTING LISTED SPECIES AND CRITICAL HABITAT IN ACTION AREA

The San Juan River is a tributary to the Colorado River and drains a basin of approximately 25,000 mi² (65,000 km²) located in Colorado, New Mexico, Utah, and Arizona (BOR 2002).

From its origins in the San Juan Mountains of southwestern Colorado (at an elevation exceeding 13,943 ft; 4,250 m), the river flows westward through New Mexico, Colorado, and into Lake Powell, Utah. The majority of water that feeds the 345 mi (570 km) of river is from the mountains of Colorado. From a water resources perspective, the area of influence for the proposed action begins at the inflow areas of Navajo Reservoir and extends west from Navajo Dam approximately 224 mi (359 km) along the San Juan River to Lake Powell. Reclamation operates and maintains Navajo Dam (BOR 2002). Navajo Dam regulates river flows, provides flood control, and contributes to recreational and fishery activities (BOR 2002). The major perennial tributaries below Navajo Dam are the Animas, La Plata, and Mancos Rivers, and McElmo Creek (Figure 6). In addition, numerous ephemeral arroyos and washes that contribute little flow but large sediment loads to the San Juan River occur. The Chaco River is an intermittent tributary to the San Juan River that passes just to the west of Navajo Mine and FCPP.

Reclamation (BOR 2006) described, in its Final Environmental Impact Statement for Navajo Reservoir Operations, changes in biodiversity associated with the historical San Juan River that occurred after installation of Navajo Dam (1957-1962). The reservoir physically altered the San Juan River and surrounding terrain and modified the pattern and quality of flows downstream (Holden 1999; BOR 2002, 2006, 2008; USFWS 2006). Similar to rivers downstream of other dam operations in the southwestern United States, the San Juan River below the dam became clearer due to sediment retention, and downstream water became colder, because water was released from deep in the reservoir. All species of plants and animals that existed along the river channel were affected to varying degrees (BOR 2006?). The disruption of natural patterns of flow caused changes to the vegetation along the riverbanks by altering the previously established conditions under which the plants reproduced and survived. Compounding these changes has been the intentional and non-intentional introduction of non-native species of fish that compete with and prey on native species (BOR 2002).

Platania and Young (1989) summarized historic fish collections in the San Juan River drainage that indicate that Colorado pikeminnow once inhabited reaches above what is now Navajo Dam and Reservoir near Rosa, New Mexico (now inundated by Navajo Reservoir). The creation of Lake Powell and Navajo Reservoir resulted in the direct loss of approximately 161 km (100 mi) of San Juan River habitat for the Colorado pikeminnow and razorback sucker (Holden 2000). Since closure of Navajo Dam in 1963, the accompanying fish eradication program, physical changes associated with the dam, and barriers to movement, wild Colorado pikeminnow have been eliminated from the upper San Juan River upstream of Navajo Dam. In addition to the changes caused to the river by dam operations, there were changes to how nearby lands were used (BOR 2002). Irrigation water provided by Navajo Dam contributed to large agricultural developments in this arid region (Abell 1990; Blanchard et al. 1993; Thomas et al. 2008).

Navajo Reservoir stores water for the Navajo Indian Irrigation Project (NIIP), the Hammond Irrigation Project, and various municipal and industrial uses making it possible to nearly double the amount of irrigation in the basin. At present, the NIIP diverts an annual average of approximately 160,000 AFY from the reservoir for irrigation south of Farmington (BOR 2002). In the future, the use of San Juan River water is expected to approximately double (BOR 2002). These demands will further affect the river and the native species dependent on the river both

directly, through flow diversions, and indirectly, through changes in water quality, as a result of the transportation of sediment, metals, salts, pesticides, and nutrients from irrigated lands through seepage and return flows (Blanchard et al. 1993; BOR 2002; Thomas et al. 2008). In addition to the effects of Navajo Dam and Reservoir, over the last century the San Juan River has been diverted for a variety of uses, resulting in a variety of return flows to the river, including variously-treated municipal wastewater, industrial wastewater, and urban and natural stormwater runoff and seepage (Abell 1990; BIA 1999; USFWS 2009).

Although there are impacts to the river ecosystem from dam construction itself, dams have many impacts that continue after the structure is complete. Dams affect the physical, chemical, and biological components of a stream ecosystem (Williams and Wolman 1984; USFWS 1998, 2002; Collier et al. 2000; Mueller and Marsh 2002). Some of these effects include a change in water temperature, a reduction in lateral channel migration, channel scouring, blockage of fish passage, transformation of riverine habitat into lake habitat, channel narrowing, changes in the riparian community, diminished peak flows, changes in the timing of high and low flows, and a loss of connectivity between the river and its flood plain (e.g., Sherrard and Erskine 1991; Power et al. 1996; Kondolf 1997; Collier et al. 2000; Polzin and Rood 2000; Shields et al. 2000). Of these, changes in water temperature, water depletions, blockage of fish passage, transformation of riverine habitat, changes in the timing and magnitude of high and low flows, and changes in channel morphology, and water quality are discussed in greater detail below. The conditions below, plus nonnative species predation and competition adversely affect both endangered fishes and their critical habitat in the San Juan River.

Water temperature

Below Navajo Dam, summer water temperatures are colder and winter water temperatures are warmer than the pre-dam condition. The first 10 km (6.2 mi) below the dam have substantially reduced suspended sediment concentrations, resulting in the clearest water of any reach (Miller and Ptacek 2000). Colorado pikeminnow are currently found from near the confluence of the Animas River downstream to Lake Powell, although temperatures in the upper reach of this area may be colder than the species prefers (Durst and Franssen 2014).

The cold water released from Navajo Reservoir limits the potential spawning habitat of the endangered fishes in the San Juan River (Holden 1999; Cutler 2006; Lamarra 2007). Prior to dam construction, water temperatures at Archuleta (approximately 10 km [6.1 mi] below the dam) were above the threshold spawning temperature of 20° C (68° F) for approximately two months (Holden 1999). Based on cumulative degree-days, spawning could have occurred at Archuleta by July 11 each year prior to dam closure (Lamarra 2007). Since dam construction, water temperature at that site is rarely over 15° C (59° F) and is too cold for successful Colorado pikeminnow spawning (Holden 1999, Cutler 2006, Lamarra 2007). The threshold temperatures for spawning at Shiprock (approximately 125 km [78 mi] below the dam) occur about two weeks later on average than prior to dam construction (Holden 1999, Lamarra 2007). Spawning is unlikely to occur from Navajo Dam to the confluence of the Animas River (approximately 72 km [45 mi] below the dam) and may also be delayed for two weeks or more from the confluence with the Animas River down to Shiprock, New Mexico (Lamarra 2007).

Water temperatures near Shiprock before the construction of Navajo Dam were above 20° C (68° F) from approximately mid-June until mid-September (Holden 1999). Projected temperatures at Shiprock from 1993-1996 were above 20° C (68° F) for more than one month (August) (Holden 1999). Because fish are cold-blooded, their metabolism and growth are dependent upon water temperature. The amount of food eaten, assimilation efficiency, and time to sexual maturity are largely governed by water temperature (Lagler et al. 1977). Cold water typically decreases food consumption, assimilation efficiency, and growth rate, and increases the time to sexual maturity (Lagler et al. 1977).

Development time of Colorado pikeminnow and razorback sucker embryos is inversely related to temperature, and survival is reduced at temperatures that depart from 20° C (68°F) (Bulkley et al. 1981, Hamman 1982, Bestgen 2008). Marsh (1985) found that for razorback suckers, time to peak hatch was nine days at 15°C (59°F) and 3.5 days at 25°C (77°F) and that the percent of eggs hatched was highest at 20°C (68°F). Bestgen (2008) found that fastest growth of razorback sucker occurred at 25.5°C (77.9°F). Fast larval growth may be linked to higher survival rates because the faster the larval fish grow, the less time they are highly susceptible to predation.

All Colorado pikeminnow eggs tested died at incubation temperatures of 15°C (59°F) or lower, and survival and hatching success were maximized near 20° C (68° F) (Marsh 1985). Bestgen and Williams (1994) found a relatively wide range of acceptable incubation temperatures above 18°C (64.4 °F). In addition, Bestgen et al. (2006) found that early hatching Colorado pikeminnow larvae in the Green River were almost twice the size of late hatching ones because they had more time to grow.

Because the combination of a suitable spawning bar (an area of sediment-free cobbles) and suitable temperatures occur low on the San Juan River at the Mixer, there is a greater chance that larval fish will drift into Lake Powell and be lost from the population. Dudley and Platania (2000) found that drifting larval Colorado pikeminnow would be transported from the Mixer Area to Lake Powell in as little as three days. For those larval fish not carried into Lake Powell, a delay in spawning (which reduces the amount of time YOY have to grow before winter) and overall colder water temperatures (resulting in slower growth) could lead to smaller, less fit YOY and reduce survival. There is speculation that the large volume of cold water in the upper Green River may be a major reason why larval Colorado pikeminnow drift so far downstream (Holden 2000). The same pattern may also occur on the San Juan River.

Cold water released from Navajo Dam has affected razorback sucker and Colorado pikeminnow in a number of ways. Water temperatures that were once suitable for spawning for Colorado pikeminnow near Archuleta are no longer suitable, and, if spawning were to occur near Shiprock, it would be delayed by approximately two weeks compared to pre-dam conditions and thereby desyncing the phenology of their emergence during periods of appropriate food resources. A delay in spawning reduces the amount of time that larval fish have to grow before winter, and colder temperatures reduce growth rate, increasing the amount of time that the larval fish are highly susceptible to predation.

Blockage of fish passage

Like other major dams on the Colorado River and its tributaries, Navajo Dam blocked all fish passage. While native fish once could move unimpeded from the San Juan River into the Colorado River and its tributaries, they are now confined to a relatively short reach of 362 km (225 mi) between Lake Powell and Navajo Dam. Razorback sucker and Colorado pikeminnow that may have been trapped above the reservoir have all died or were killed during treatment with rotenone (Olson 1962, Holden 1999). In addition to the major dams, the diversion structures constructed in the San Juan River have also created barriers to fish passage.

Dams have fragmented razorback sucker and Colorado pikeminnow habitat throughout the Colorado River system. Within the San Juan River, fish passage was once impeded by five instream structures. One of these structures has been removed, two have been equipped with fish passage structures, and two remain as impediments to fish passage for part of the year depending on flow. However, no remaining structures are complete barriers within critical habitat.

The five identified diversion structures (Cudei, Hogback, FCPP, SJGS [PNM weir], and Fruitland Irrigation Canal diversions) between Farmington, New Mexico, and the Utah state line were barriers to fish passage at certain flows. When radio telemetry studies were initiated on the San Juan River in 1991, only one radio-tagged Colorado pikeminnow was recorded moving upstream past one of the diversions. In 1995, an adult Colorado pikeminnow moved above the Cudei Diversion and then returned back downstream (Miller and Ptacek 2000). Other native fish had been found to move either upstream or downstream over all five of the weirs (Buntjer and Brooks 1997, Ryden 2000a). In 2001, Cudei Diversion (RM 142) was removed from the river and Hogback Diversion (previously an earth and gravel berm structure), which had to be rebuilt every year, was made into a permanent structure with non-selective fish passage. It is likely that Colorado pikeminnow, razorback sucker, and other native fishes can negotiate the ladder. The removal of Cudei Diversion and installation of the fish ladder at Hogback Diversion improved access for native fishes over a 24.5 mi (39.4 km) reach of river.

Until 2003, the PNM Weir (RM 166) was also a barrier to fish passage. Because of funding and technical assistance from the SJRRIP and operation and maintenance by the Navajo Nation, the PNM selective fish ladder was completed and has been operational since 2003. This has allowed passage past that structure by Colorado pikeminnow and razorback suckers. From 2003 – 2007, 65,596 native fish used the passage including 27 Colorado pikeminnow and 21 razorback suckers (LaPahie 2007 in litt). However, the FCPP Diversion at RM 163.3 can act as a fish barrier when the control gate for the structure is closed (Masslich and Holden 1996). Above the PNM weir, at the Fruitland Irrigation Canal Diversion (RM 178.5), model results suggest that the rock dam structure does not significantly hinder fish passage, expect perhaps at very high discharges (8,000 cubic feet per second [cfs] and greater) (Stamp and Golden 2005).

Colorado pikeminnow and razorback sucker can potentially navigate from Lake Powell, past the Animas River, and up to Hammond Diversion Dam, a total of approximately 338 km (210 mi).

An additional passage barrier exists where the San Juan River enters Lake Powell (Schleicher and Ryden 2013). When Lake Powell is not full, the San Juan River has changed course and enters Lake Powell over a sandstone ledge and creates an approximately 30-foot-high waterfall,

which prevents fish from moving upstream into the San Juan River. This barrier is not absolute as the waterfall is occasionally inundated by Lake Powell level fluctuations during wetter periods (approximately one in ten years, on average), temporarily allowing fish access. Pikeminnow and razorback sucker that pass over this waterfall cannot return to the San Juan River to contribute to the population. Additionally, larval fish could be transported from the Mixer Area to Lake Powell in as little as 3 days (Dudley and Platania 2000). Surveys conducted in 2011 in the San Juan arm of Lake Powell documented both Colorado pikeminnow and razorback sucker (Schleicher and Ryden 2013). Razorback sucker are able to reproduce within the lake, but Colorado pikeminnow likely cannot. Razorback sucker tagged on the San Juan River have been documented in the upper Colorado River, indicating that some exchange of individuals from the San Juan River to the upper Colorado River through Lake Powell can occur.

Water Diversion and Withdrawal

As discussed previously, natural flow regimes are essential to the ecological integrity of large western rivers (USFWS 1998) and for the maintenance or restoration of native aquatic communities (Lytle and Poff 2004, Propst and Gido 2004, Propst et al. 2008). The flow regime works in concert with the geomorphology of the basin to establish and maintain the physical, chemical, and biological components of a stream ecosystem (Williams and Wolman 1984, Allan 1995, USFWS 1998, Collier et al. 2000, Mueller and Marsh 2002). Depletions play a major role in limiting the amount of water available as potential fish habitat as well as for achieving the Flow Recommendations (Holden 1999; BOR 2006).

Significant depletions and redistribution of flows of the San Juan River have occurred because of other major water development projects, including the NIIP and the San Juan-Chama Project. At the current level of development, average annual flows at Bluff, Utah, already have been depleted by 30 percent (Holden 1999). By comparison, the Green and Colorado Rivers have been depleted approximately 20 percent (at Green River) and 32 percent (at Cisco), respectively (Holden 1999). These depletions have likely contributed to the decline in Colorado pikeminnow and razorback sucker populations (USFWS 1994, 1998). To the extent that water is exported out of the basin (San Juan-Chama Project) or consumptively used (e.g., evaporation from fields, irrigation canals, reservoir surface) it is not available to maintain flows within the river. Water depletion projects, including Project diversions that were in existence prior to November 1, 1992, are considered to be historic depletions because they occurred before the initiation of the SJRRIP. The depletions associated with the FCPP and Navajo Mine are considered historic depletions as diversion and consumptive use associated with Permit 2838 have been part of the basin depletions since the 1960s. However, the effects of those depletions are fully considered in this consultation. Projects that began after this date are considered new projects. On May 21, 1999 the Service determined through section 7 consultation that new depletions of 100 af or less, up to a cumulative total of 3,000 AFY, would not: 1) limit the provision of flows identified for the recovery of the Colorado pikeminnow and razorback sucker, 2) be likely to jeopardize the endangered fish species, or 3) result in the destruction or adverse modification of their critical habitat. Consequently, any new depletions under 100 AFY, up to a cumulative total of 3,000 AFY, may be incorporated under the 1999 BO but would still require ESA consultation.

Consultations contributing to the baseline depletions used reoperation of Navajo Reservoir in accordance with the Flow Recommendations as part of their section 7 compliance. Some of these projects have been completed (e.g., PNM Water Contract with Jicarilla Apache Nation), some are partially complete (e.g., NIIP), and some have not been fully implemented (e.g., Animas-La Plata Project).

As discussed under “Changes in the Timing and Magnitude of Flow” it is anticipated that climate change will create additional depletions to the San Juan River. The magnitude and timing of the depletions cannot be predicted with certainty at this time. Several studies project a decrease in stream flow from eight to 45 percent depending on the model used, the time frame, and the methods (Christensen and Lettenmeier 2006, Hoerling 2007, Seager et al. 2007, Udall 2007, Ray et al. 2008). Although the San Juan River was not modeled independent of the entire Colorado River basin in these studies, based on the projections of the IPCC (in Christensen et al. 2007) for warmer temperatures and an increase in the frequency of hot extremes and heat waves, it is reasonable to expect that there will be a decrease in stream flow in the future.

Transformation of Riverine Habitat into Lake Habitat

Lake Powell inundated the lower 54 miles of the San Juan River and Navajo Reservoir inundated about 27 miles. This inundation reduced the total amount of available habitat by over 30 percent and reduced the amount of endangered fish habitat in the lower end of the river (USFWS 2002a, 2006). Lake Powell is also home to several nonnative predators and competitors. In years when the falls are inundated, these fish may travel up the San Juan and prey upon and compete with endangered fishes.

Flow Changes

Prior to the construction of Navajo Dam, mean monthly flows in the San Juan River ranged from less than 50 cfs during the late summer/early fall to nearly 20,000 cfs in May (USFWS 2006). Spring peak flows of more than 15,000 cfs occurred 25 percent of the time, and the highest peak flow recorded was 52,000 cfs. Construction of the dam decreased peak discharges by more than half and elevated base flows by 168 percent on average. The USFWS (2006) estimated that average annual flows in the San Juan River at Bluff, Utah, had been depleted by 30 percent, and that these depletions likely contributed to the decline in Colorado pikeminnow and razorback sucker populations. The Navajo Reservoir BO cited total New Mexico diversions of 617,128 af/yr and total basin diversions of 854,376 af/yr.

Surface water drawn from the San Juan River into Morgan Lake for use at the FCPP is obtained according to water rights for 51,600 af/yr diversion, 39,000 af/yr consumptive held by BBNMC under New Mexico Office of the State Engineer Permit 2838. No changes to the water rights or water use would occur under the Proposed Action, and the ability to draw as much water as the rights allow for the Project life is maintained. However, future operations at FCPP are expected to have reduced quantity of both diversions and consumptive use from historical operations (see above).

Flow Recommendations were developed through the SJRRIP during the 1990s to better support populations of native fish, including the Colorado pikeminnow and razorback sucker (Holden 1999). Navajo Dam has been operated to meet these flow recommendations since they were published and completed an EIS in support of these modified operations in 2006 (BOR 2006) the USFWS issued a BO for those operations (USFWS 2006). The BO indicates that the reoperation of the dam provides native fish with the proper cues at the proper times to trigger spawning and appropriate habitat at the appropriate time to support young fish. Therefore, the operation of Navajo Dam and the water rights considered would not adversely affect listed species, provided sufficient progress is made toward endangered fish recovery.

Channel Morphology

The timing and magnitude of flows and the amount of sediment input into the system influences channel form and morphology, which creates habitat for fish and other aquatic organisms. The channel of the San Juan River has narrowed considerably since the 1930s because of upland habitat degradation and erosion (Holden 1999) and may also be associated with climate changes. These changes to the active river channel have been exacerbated by the reduction of high spring peak flows following the closure of Navajo Dam. The lack of flood flows has allowed nonnative riparian vegetation, such as tamarisk and Russian olive, to encroach on the river channel. These nonnative plants are very resistant to erosion, resulting in channel narrowing and a subsequent increase in water velocity. Narrow channels have few backwater habitats or active secondary channels that are important for some life stages of the endangered fishes. Narrowing of the channel increases water velocity and decreases the amount of low-velocity habitat important to young Colorado pikeminnow and razorback sucker (USFWS 2006).

Channel complexity increased between 1960 and 1988 to near historical levels, due in part to a number of wet years and despite the closure of Navajo Dam near the beginning of this period. Channel narrowing appears to have stopped or been substantially reduced by 1988 (Holder 1999), which may be due in part to higher flows implemented in 1992 to mimic natural flows. The amount of backwater habitat decreased since 1992, relative to the period prior to 1991, but this may have been due to an unusually large amount of backwater habitat prior to 1991 as a result of several wet years. The amount of other low-velocity habitats did not change significantly after 1992 (Holden 1999) and channel complexity has remained stable (USFWS 2006).

Navajo Dam's operations have been modified to include flows that may continue to support geomorphic processes, the formation of backwaters, and promote channel complexity. However, because of the various droughts in the basin, not all of the flow recommendation targets have been met in recent years. The last time all of the flow targets were met was in 2005. The goal of 10,000 cfs for 5 or more days has not been met since 2005, with the exception of 4 days of high flows that were provided in 2008. The last time the target number of days of flow of 8,000 and 5,000 cfs were met was in 2008. The 2,500-cfs flow target has been met consistently since 2003 (BOR 2012).

Water of Sufficient Quality

Water quality is of concern in the San Juan River Basin with many water bodies, including the San Juan River, being impaired for one or more factors, including metals, sediment, salinity, temperature, fecal matter, and dissolved oxygen (USFWS 2006). Land uses within the basin contribute metals, salts, fossil fuel residuals (e.g., polycyclic aromatic hydrocarbons (PAHs)), and pesticides to the San Juan River and its tributaries. The USEPA (1979), Abell (1994), and Reclamation (2002) and Thomas et al. (1998, 1999) conducted comprehensive contaminants reviews of the San Juan River Basin water quality and identified irrigation and mineral extraction, processing, and utilization as major sources of pollution.

Fish consumption advisories for mercury in fish tissue have been issued for Navajo Reservoir and other smaller reservoirs in the basin (NMED 2012; fishadvisoryonline.epa.gov/Advisories.aspx). The Nature Conservancy (2013) along with others, reported that aquatic integrity of the San Juan River Basin was generally fair. A summary of their ranking of aquatic integrity based partially on water quality is in Figure 13.

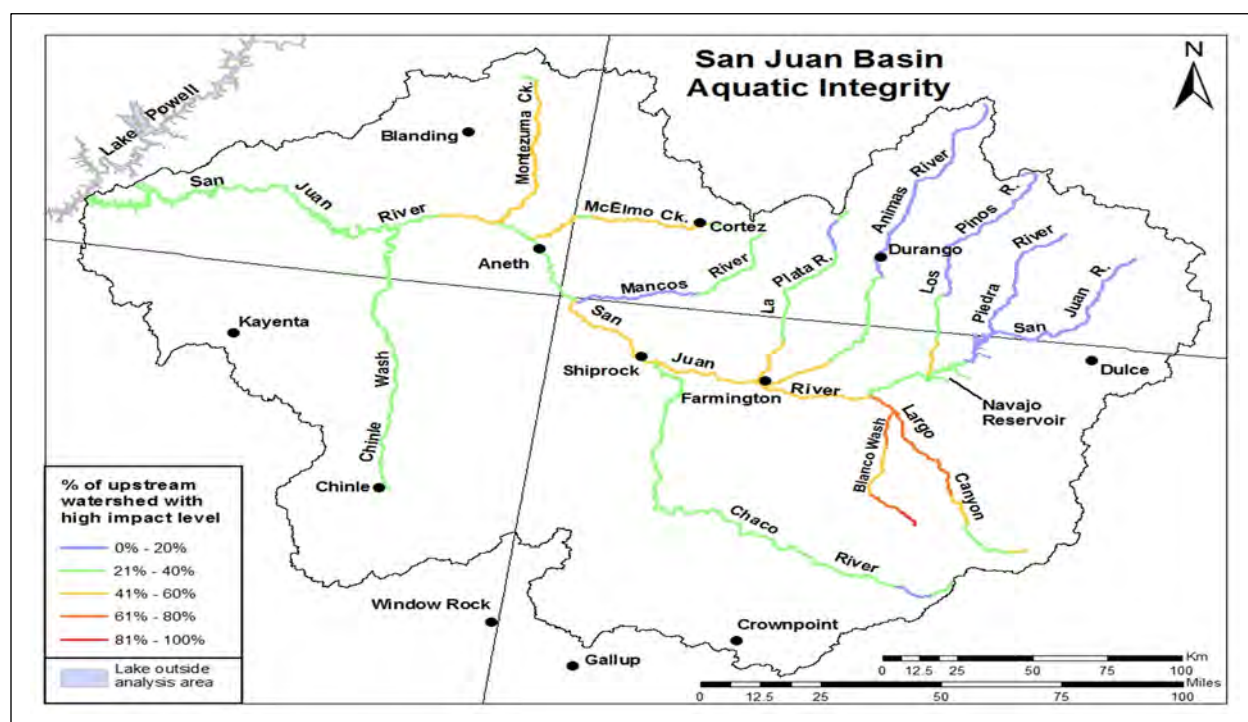


Figure 13. San Juan River Basin aquatic integrity ranking by the Nature Conservancy (2013).

Service (USFWS 2011a, 2012c) reviews of threats to endangered fishes identify potential contaminants, including pesticides and other pollutants as potentially affecting Colorado pikeminnow and razorback sucker critical habitat. Pesticide concentrations generally were low and varied seasonally and across land uses (Blanchard et al. 1993; Thomas et al. 1998, 1999). Thomas et al. (1998, 1999); Simpson and Lusk (1999); Hinck et al. (2006); Osmundson and Lusk (2011); AECOM 2013; and EPRI (2014) identified mercury or selenium as moderately elevated contaminants of concern in biota and fish tissues collected from the San Juan River Basin.

The concentrations of Hg and Se in fish and wildlife tissues are the most relevant to the understanding of effects to endangered fishes or birds (Hamilton and Lemly; USEPA 2014). We used tissue and dietary concentrations as the foundation of our effects analysis below, rather than focusing entirely upon Hg and Se concentrations in air or water. However, Hg and Se in water are discussed as they are part of the PCEs of critical habitat (“water of sufficient quality”). Concentrations of Hg and Se in different type of tissues (e.g., muscle, whole body, eggs) are relevant to different types and magnitudes of physiological effects. We begin with a discussion of various conversions of Hg and Se concentrations in one type of tissue to other types of tissues provided below.

Conversion of Hg or Se in Fish or Wildlife Tissues from a Dry Weight to a Wet Weight Basis

Biologists and chemists often measure, quantitate, and interpret environmental contaminants (e.g., Hg, Se, pesticides, etc.) in fish and wildlife tissues (Keith 1996). Because the main component of fish tissue is water, the moisture content of fish tissues is often determined from samples that are analyzed for environmental contaminants. Samples are weighed fresh, oven or freeze dried and weighed again. Moisture content as a percent is calculated from the wet and dry weights of the samples. Thereafter, contaminant data in fish tissues can be reported in either dry weight (DW) or wet weight (WW) concentrations and are so indicated in this BO. Using Equation (1), DW concentrations of contaminants in fish and wildlife tissues were converted into WW concentrations using Equation 1 (or solved for DW to convert to WW concentrations):

$$WW = DW \times [1 - (\text{percent sample moisture}/100)] \quad \text{Equation (1)}$$

Conversion of Hg in Fish Muscle Tissue to Hg in Whole Body Fish

Since Hg accumulates in fish muscle, rather than fat, skin, or organs, the manner in which fish samples are analyzed may affect the reported concentrations (USEPA 2000). Using whole fish samples will generally give a reduced Hg concentration, relative to muscle tissues (fillets), due to a dilution effect from lower concentrations in non-fillet portions of the fish (Peterson et al. 2005). Sampling of fish to determine Hg concentration is a routine part of many environmental studies and traditionally requires that numerous fish be killed to acquire sufficient tissue volume for analysis (Baker et al. 2004). Methods of Hg detection in fish tissue have improved over time (Cizdzel et al. 2002). As regulatory authorities are reluctant to permit destructive sampling of numerous rare or endangered fish species, there was a need for wide-scale application of nonlethal techniques that could reliably measure Hg concentrations in fish muscle over time (Waddell and May 1995; Baker et al. 2004; Osmundson et al. 2010).

Several studies have reported relationships between concentrations of Hg or Se measured in biopsied muscle plugs (and fillets) collected from fish and concentrations in similar whole body fish (Waddell and May 1995; Buhl and Hamilton 2000; Osmundson et al. 2000; Baker et al. 2004; Hamilton et al. 2005; Peterson et al. 2005; GEI Inc. et al. 2008; Osmundson and Skorupa 2011; USEPA 2004, 2014). After review, we used the following equations to extrapolate between Hg or Se in muscle (MP), in egg/ovary (EO) tissues, or in whole body (WB) fish.

Colorado Pikeminnow Tissue Conversions:

$$\text{WB Hg WW} = 10^{(-0.2387 + (0.9048 * \text{Log}_{10}(\text{MP Hg WW})))} \quad \text{Equation (2)}$$

(Source: Peterson et al. 2005 for Northern Pikeminnow (*Ptychocheilus oregonensis*))

$$\text{EO Se DW} = \exp(0.8150 + (0.9384 * \text{Ln}(\text{MP Se DW}))) \quad \text{Equation (3)}$$

(Osmundson and Skorupa 2011 for prespawn Roundtail Chub (*Gila robusta*))

$$\text{EO Se DW} = 2.04 * (\text{MP Se DW}) \quad \text{Alternate Equation (4)}$$

(USEPA 2014 for all Roundtail Chub)

$$\text{EO Se DW} = -3.412 + (5.049 * (\text{MP Se DW})) \quad \text{Alternate Equation (5)}$$

(Buhl and Hamilton 2000 for Colorado pikeminnow)

Razorback Sucker Tissue Conversions:

$$\text{WB Hg WW} = 10^{(-0.3203 + (0.9048 * \text{Log}_{10}(\text{MP Hg WW})))} \quad \text{Equation (6)}$$

(Source: Peterson et al. 2005 for White Sucker)

$$\text{EO Se DW} = -1.51 + (2.66 * (\text{MP Se DW})) \quad \text{Equation (7)}$$

(Hamilton et al. 2005 for Razorback sucker)

$$\text{EO Se DW} = 1.12 * (\text{MP Se DW}) \quad \text{Alternate Equation (8)}$$

(USEPA 2014 for Razorback sucker)

Conversions Used For All Fishes:

$$\text{WB Se DW} = \exp(0.1331 + (0.8937 * \text{Ln}(\text{MP Se DW}))) \quad \text{Equation (9)}$$

(Source: USEPA 2004 for all fishes)

$$\text{Percent Egg/Early Life Stage Survival} = 100 * (0.8981 - (0.011 * (\text{EO Se DW}))) \quad \text{Equation (10)}$$

(Source: derived for this BO, see below and Lusk 2015)

$$\text{Dietary selenium toxicity to larval fish} = \frac{e^{(10.0768 + (-7.5758) * \text{Ln}(\text{dietary Se DW}))}}{e^{(10.0768 + (-7.5758) * \text{Ln}(\text{dietary Se DW}))} + 1} * 100 \quad \text{Equation (11)}$$

(Source: derived for this BO, see below and Lusk 2015)

Mercury

Once atmospheric Hg is deposited to land or water, it can be converted into a biologically available form, methylmercury (MeHg), through a methylation process by bacteria mostly in wetlands and anoxic conditions (USEPA 1997, Lorey 2001, Wiener et al. 2007; EPRI 2014). The biological uptake of Hg is also exceedingly complex, but generally, MeHg enters an aquatic

food chain involving plants, zooplankton and benthos, herbivorous fish, and then carnivorous fish (Potter et al. 1975, Grieb et al. 1990, EPA 1997, UNEP 2002). Uptake of MeHg by aquatic organisms is both more rapid and more extensive than uptake of inorganic Hg (Biesinger et al. 1982, EPA 1997), and uptake of MeHg differs from inorganic Hg. Toxicologically, MeHg bioaccumulates in food chains, and particularly in aquatic food chains, meaning that organisms exposed to MeHg in their food can build up concentrations that are many times higher than ambient concentrations in the environment. Atmospheric Hg deposition, and subsequent overland transport, is the predominant pathway delivering Hg to aquatic systems and into fish tissues (Downs et al. 1998; Cocca 2001; Bullock 2005; USEPA 2005; Engstrom 2007; Harris et al. 2007), including into the endangered fish tissues of the San Juan River Basin (EPRI 2014).

Current Hg Deposition in the San Juan River Basin

Sather et al. (2013) measured the atmospheric deposition of Hg at various stations within San Juan River Basin. Sather et al. (2013) reported Hg deposition at Mesa Verde National Park to range from 14.6 to 19.2 Hg g/m², which comports with modeled estimates of EPRI (2014) of ~20.3 Hg g/m². Sather et al. (2013) described the regional data pattern of Hg deposition recorded at five other sites within the San Juan River Basin and found them strongly correlated suggesting that many locations within the basin are similarly impacted by the same regional/natural/global Hg emission sources. Results of the National Atmospheric Deposition Program - Mercury Deposition Network show total mercury concentrations in dry deposition and/or precipitation at Mesa Verde National Park in the San Juan River Basin are among the highest measured in the United States (Weidner 2007; Sather et al. 2013). Weidner (2007) identified a majority high deposition samples measured at Mesa Verde National Park have trajectories that trace back to within 50 km of the FCPP and SJGS, which supports the theory that air masses passing from near these coal-fired power plants are contributing to Hg deposition in the San Juan River Basin. Sather et al. (2013) also used back trajectory analysis and reported fewer air masses passing near the FCPP during 2009 to 2011.

The USEPA (through contractor ISC, International 2008) reported that in 2001, 712 kilograms (kg) (~1,569 lbs) per year of Hg were deposited into the San Juan River Basin. Sources of that Hg deposition in the basin were attributed to the global pool of Hg (95.8 percent), followed by other sources (1.8 percent), the SJGS (1.8 percent), FCPP (1.0 percent), and Mexico (0.6 percent). Recently, two local coal-fired power plants (SJGS and FCPP) have reduced their Hg emissions approximately 66 percent, while other sources have or are likely to increase (EPRI 2014, p 9-7) (OSMRE 2014a,b). Deposition of Hg into the San Juan River Basin currently ranges from 13.9 to 16.5 ug/m² at various locations within the basin (Figure 14). Source contributions to Hg Deposition at Shiprock, New Mexico, is approximately 16.5 ug/m²-yr, with 78 percent coming from the global pool, 15 percent coming from sources in China, 2 percent coming from other sources in the USA, and up to 5 percent coming from the three local coal-fired power plants (SJGS, FCPP, and NGS) combined (EPRI 2014).

The EPRI (2014) model predicts gradually rising Hg concentrations in water and fish tissue because the San Juan River Basin has not yet reached equilibrium with the rate of atmospheric Hg deposition the Basin will continue to receive in the foreseeable future. Modeled reductions in Hg emissions (with concordant changes in Hg deposition, transport, methylation, and

bioaccumulation) never exceed a 0.2 percent reduction in adult Colorado pikeminnow tissue burdens within the 85-year model simulation period (EPRI 2014).

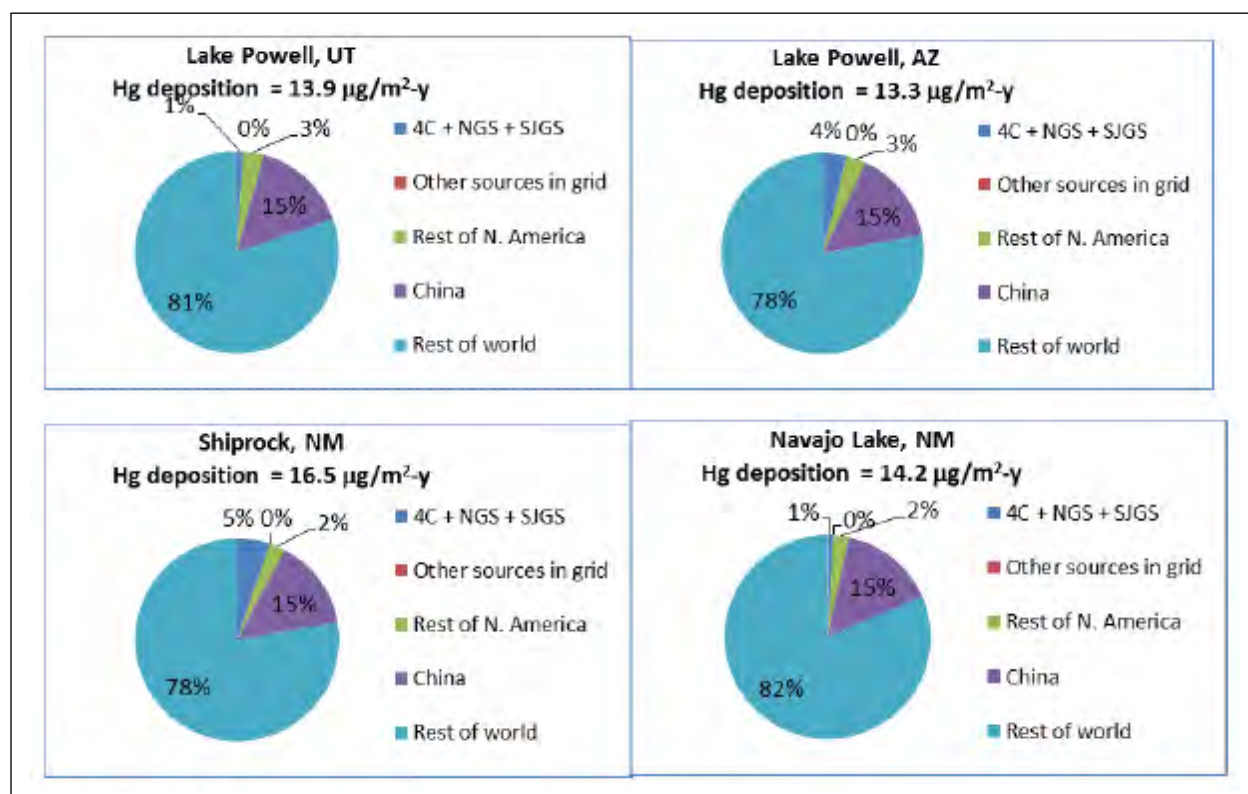


Figure 14. Source contributions to current Hg deposition at selected location in the San Juan River Basin and at Glen Canyon Dam at Lake Powell in Arizona (EPRI 2014).

Mercury Concentrations in Surface Waters, Sediments, and Invertebrates

The available in-stream Hg concentration data were of questionable integrity for the San Juan River Basin during the time period of this study (EPRI 2014). A search of the literature, the USEPA STORET database, and the USGS NWIS database resulted in data that were either unverifiable, unreasonably high, or non-existent (EPRI 2014). Additionally, because the San Juan River Basin is so large, Hg loading endpoints were based on flow, other water quality data at various USGS gages: (potentially Archuleta, Farmington, Shiprock, Bluff near Mexican Hat, UT) and fish tissue data (EPRI 2014). Using modeling, EPRI (2014) estimated Hg concentrations ranging from 0.0005 to 0.012 $\mu\text{g}/\text{L}$ in San Juan River Basin (Figure 15). Using an alternative modeling approach, AECOM (2014) estimated that maximum Hg (as HgCl) concentration in water at 0.4 $\mu\text{g}/\text{L}$.

The average Hg concentration in (converted) whole body Colorado pikeminnow greater than 400 mm in TL was 0.26 mg/kg WW (n=5; 0.2 to 0.4 mg/kg WW). Using the Bioaccumulation Factors (BAFs) for trophic level 4 fish of 3,530 (described in the BA, OSMRE 2014b) or 53,000 (described by USEPA 1997, 2002) we back calculate the total Hg concentration of (0.07 $\mu\text{g}/\text{L}$ using OSMRE 2014b BAF) or 0.005 $\mu\text{g}/\text{L}$ (using USEPA 1997, 2002 BAF) and therefore, find

the EPRI (2014) model estimated total Hg concentration in water is reasonable and would be approximated by the orange-colored line category (~5 ng/L) in Figure 15.

AECOM (2014) estimated a maximum Hg concentration of 0.02 mg/kg DW in San Juan River Basin sediment. Nydick (2008) reported Hg concentrations in sediment collected from the Los Pinos River Basin (in Colorado) ranging from less than 0.010 to 0.08 mg/kg DW. Nydick and Wright (2008) also collected sediment cores from several lake bottoms in southwestern Colorado to demonstrate a clear increase in mercury deposition in the 1960s and 1970s and then some lakes sediment Hg declined in the 1990s. Nydick (2008) attributed that decline partly to reduced erosion and sedimentation rates as Hg concentrations appeared relatively stable in the 1990s.

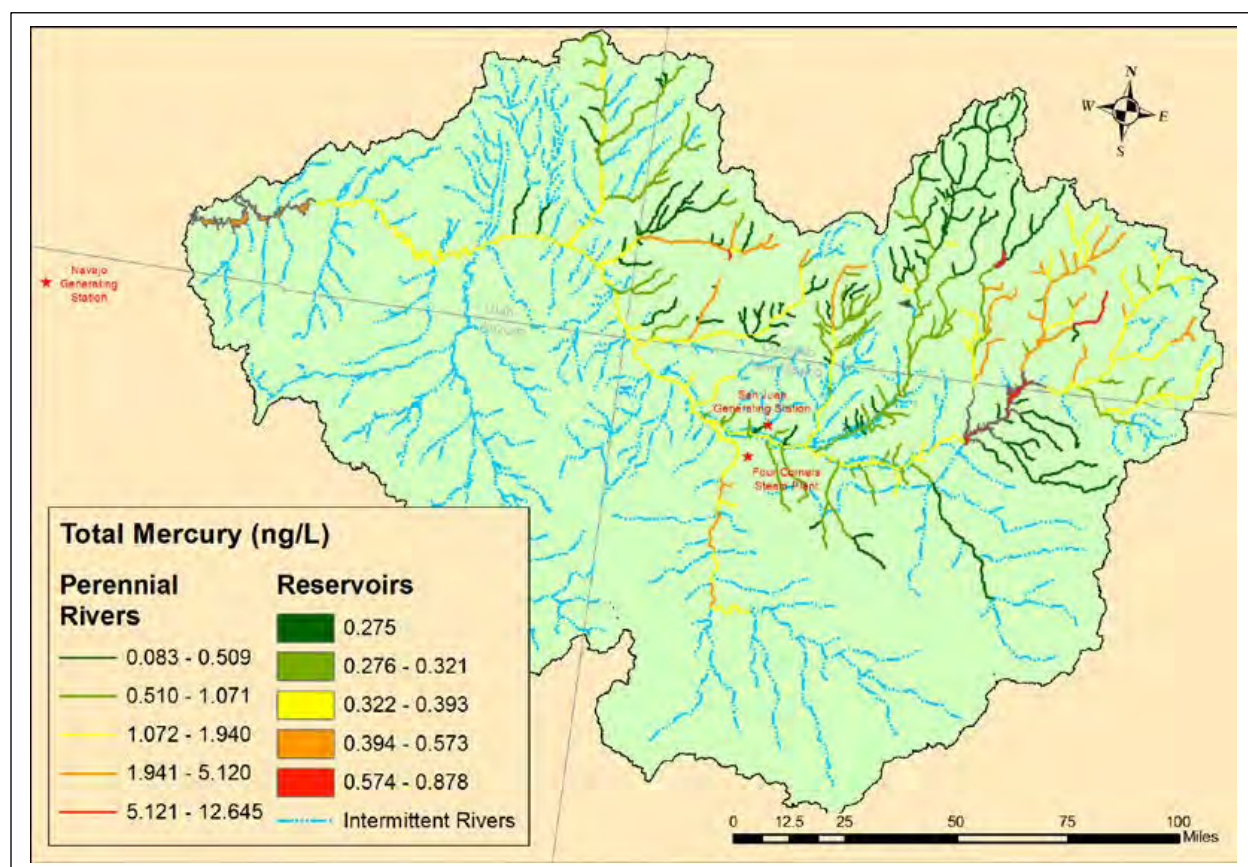


Figure 15. Estimated total Hg concentrations in San Juan River Basin waters (EPRI 2014).

Simpson and Lusk (1999) reported a geometric mean Hg concentration 0.06 mg/kg DW in 86 invertebrate samples collected in the San Juan River Basin. AECOM (2014) using similar data reported a maximum concentration in benthic and aquatic invertebrates ranging from 0.03 to 0.04 mg/kg DW. Invertebrates accumulate and partition Hg in tissues similar to the trends exhibited by fish (Fowler 1978; Riisgard and Famme 1986; Saouter et al. 1991; Saouter et al. 1993). This wide variation of Hg content in invertebrates is most likely a function of different feeding strategies (and trophic levels) and different environmental exposures.

Mercury concentrations in Endangered Fish and Listed Birds

Osmundson and Lusk (2011) reported on the collection, locations, methods, chemical analyses, laboratory quality assurance and quality control, and interpretation of Hg and Se in Colorado pikeminnow from Upper Colorado River Basins, including from the San Juan River during 2008-2009. Similarly, the collection, analysis of Se, and results for razorback sucker from the San Juan River were also evaluated from 2008-2009. The Hg and Se in Colorado pikeminnow muscle tissues collected from the San Juan, Green, Upper Colorado, White, and Yampa Rivers are summarized in Table 4. Mercury and Se in Razorback sucker muscle tissues collected from the San Juan River are also provided in Table 4. As piscivorous fish size is strongly related to Hg levels (Hope 2003; Peterson et al. 2007), we assumed that the lower average Hg concentrations in Colorado pikeminnow from San Juan River were related to the small sizes of the fish collected (Osmundson and Lusk 2011).

Table 3. Average and range of mercury (Hg mg/kg WW) and selenium (Se mg/kg WW) in Colorado pikeminnow and Razorback sucker muscle tissues from San Juan River and from other Upper Colorado River Basins 2008-2009 (Osmundson and Lusk 2011).

River Basin and Species	Average Hg in Muscle Tissue (min - max)	Average Se in Muscle Tissue (min - max)
San Juan River Colorado pikeminnow > 400 mm TL	0.37 (0.31 - 0.43)	0.8 (0.6 – 0.9)
San Juan River Razorback sucker > 400 mm TL	0.12 (0.04 – 0.24)	0.8 (0.4 – 1.4)
Middle Green River Colorado pikeminnow	0.77 (0.68 - 0.87)	1.0 (0.9 – 1.1)
Upper Colorado River Colorado pikeminnow	0.60 (0.31 – 1.04)	1.9 (0.9 – 2.2)
White River Colorado pikeminnow	0.95 (0.43 – 1.83)	0.9 (0.6 – 1.2)
Yampa River Colorado pikeminnow	0.49 (0.44 – 0.53)	0.6 (0.4 – 0.7)

Estimation of Hg in Muscle Tissue and Whole Body fish by Age and Size (Total Length)

Although there was variation in Hg in Colorado pikeminnow muscle tissues collected from different rivers within the Upper Colorado River Basin, based on Peterson et al. (2005, 2007) we assumed that the majority of the variation was strongly related to pikeminnow size. We used all Colorado pikeminnow Hg in muscle tissue data from all the Upper Colorado River Basins to describe the relationship between Hg in (converted) whole body by total length (TL) using a sigmoidal (fitted) model (Figure 16). The equation for the sigmoidal model of Colorado pikeminnow whole body Hg (mg/kg WW) by their size (TL in millimeters (mm)) is:

$$\text{WB Hg WW} = e^{(-6.5 + 5.6/(1+10^{((226.5 - \text{TL}) * 0.00415)))} \quad \text{Equation (11)}$$

(Sources: Miller 2014, Attachment A; ERM 2014a; Osmundson and Lusk 2011)

Therefore, Hg concentrations (Hg mg/kg WW) in Colorado pikeminnow whole body and muscle tissue expected in the San Juan River Basin by their size (in increments), are provided in Table 4. Actual Hg concentrations in muscle tissues collected from Colorado pikeminnow are equivalent (Osmundson and Lusk 2011).

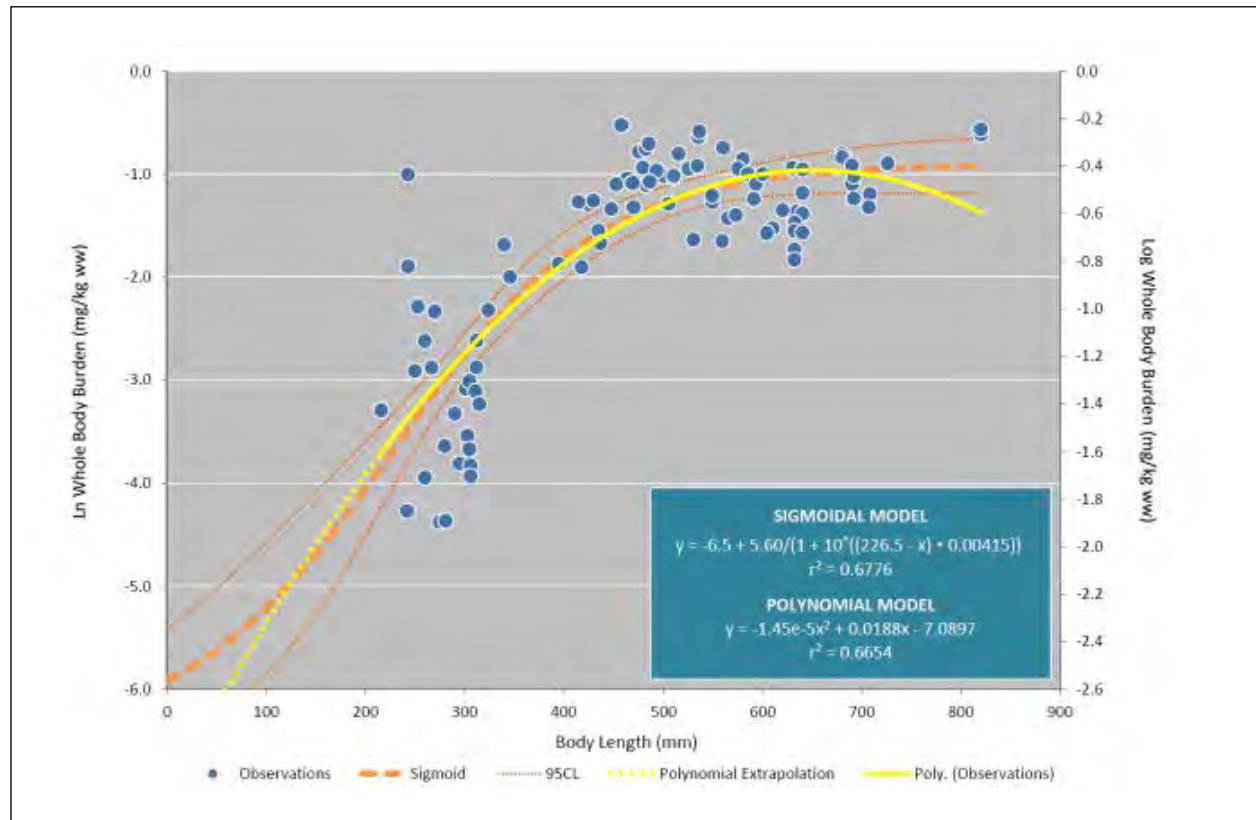


Figure 16. Relationship of Colorado pikeminnow total length and whole body Hg (mg/kg WW). (Source: ERM 2014).

Table 4. Modeled Mercury (Hg mg/kg WW) in Muscle and Whole Body (WB) in San Juan River Colorado Pikeminnow (CPM) by Total Length (TL in mm) using Equations 2 and 11.

CPM TL >	50	150	200	250	300	350	400	450	550	650	750	850	950
Muscle (mg/kg WW)	0.006	0.011	0.028	0.038	0.08	0.16	0.26	0.35	0.52	0.61	0.65	0.67	0.68
WB Hg mg/kg WW	0.004	0.01	0.02	0.03	0.06	0.11	0.17	0.22	0.32	0.37	0.39	0.40	0.40

EPRI (2014) also spatially modeled the current, whole body Hg concentrations (mg/kg WW) in smaller (less than 400 mm TL; Figure 17) and larger (greater than 400 mm TL; Figure 18) Colorado pikeminnows and in larger razorback suckers (greater than 400 mm TL) in the San Juan River Basin. Hg concentrations in Colorado pikeminnow muscle tissues were used to calibrate the EPRI (2014) modeled concentrations.

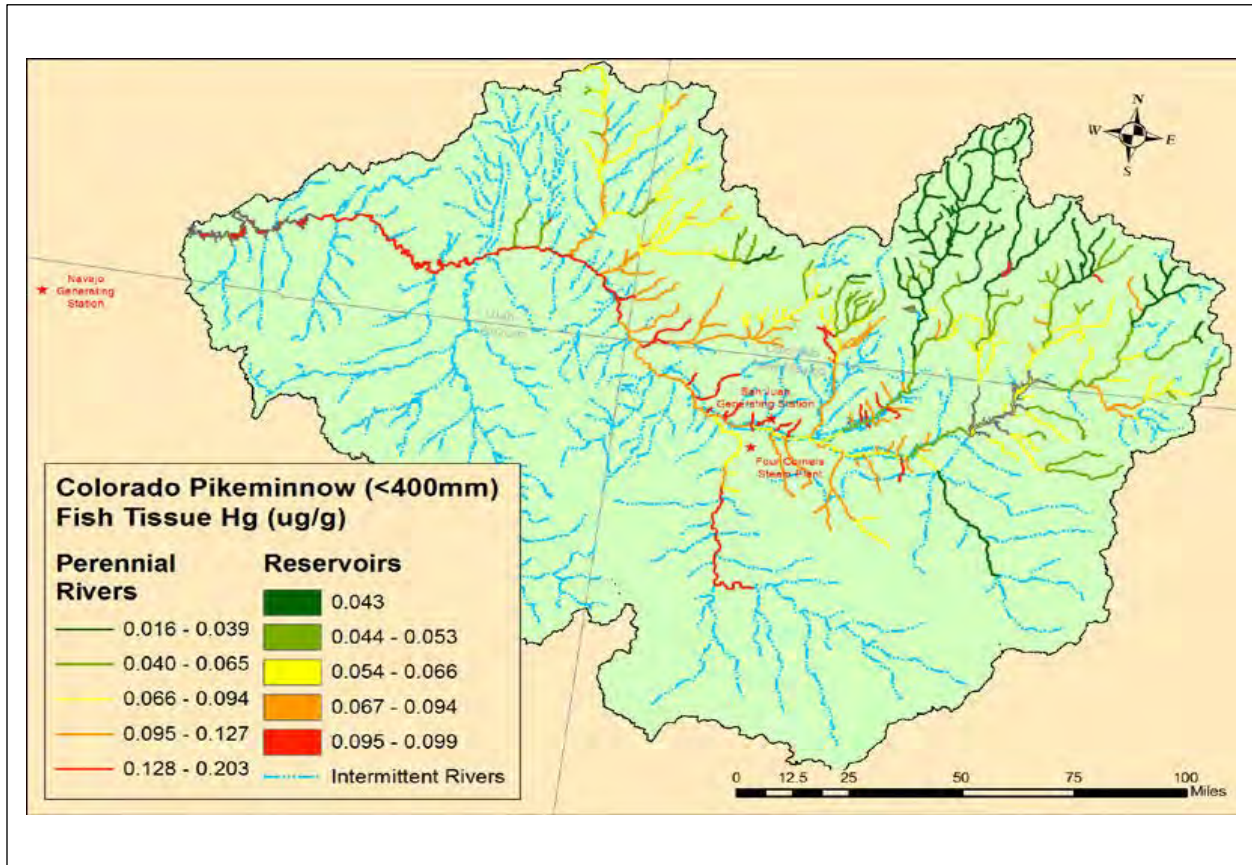


Figure 17. Current Hg concentrations (mg/kg WW) in small whole body Colorado pikeminnow in the action area as modeled by EPRI (2014) (Note change in color scale in Figures 17 and 18).

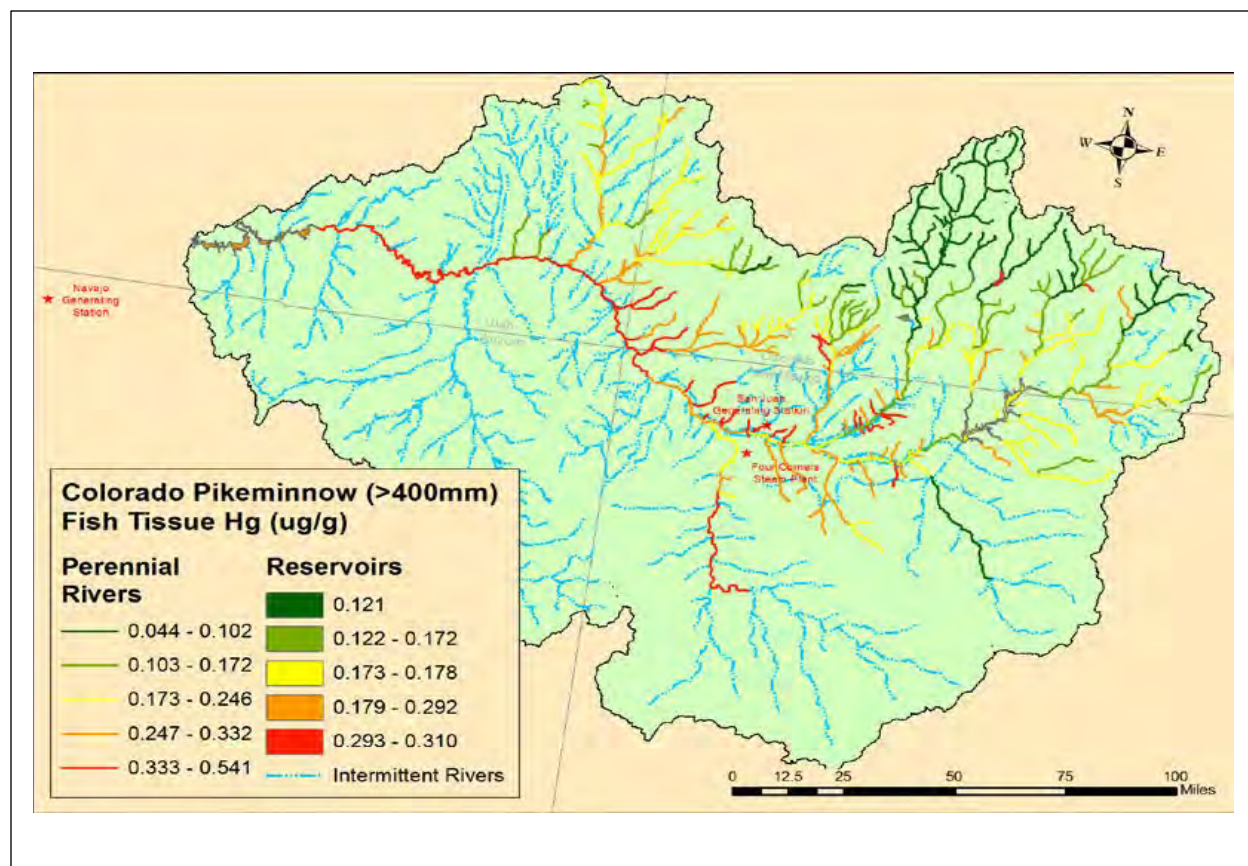


Figure 18. Current Hg concentrations (mg/kg WW) in large whole body Colorado pikeminnow in the action area as modeled by EPRI (2014) (Note change in color scale in Figures 17-18).

Estimation of Hg in Whole Body Colorado Pikeminnow by Size and Over Time

EPRI (2014; including subcontractors ENVIRON and Systech Water Resources, Inc.) assessed the trace metal atmospheric emissions and their aquatic impacts in the San Juan River Basin. Three coal-fired power plants, FCPP, the Navajo Generating Station (NGS), and San Juan Generating Station (SJGS), are located in the San Juan River Basin. EPRI (2014) specifically modeled their Hg deposition within the San Juan River Basin. EPRI's (2014) modeling study tracked the contributions of Hg and Se (and arsenic) emissions from the three coal-fired power plants as well as other sources to model the atmospheric Hg deposition in the basin, near the facilities, as well as model their long-term impact on Hg and Se in surface water and fish tissue. EPRI's (2014) modeling assessment was critical to the understanding of Hg and Se cycling in the San Juan River Basin, and the results are summarized here, but it and all assumptions and uncertainty associated with this analysis is incorporated here by reference.

EPRI (2014) used a meteorological model to produce five years of meteorological outputs to drive the regional/local-scale air quality modeling at a four km horizontal grid resolution. The five-year period was modeled to address inter-annual variability of meteorological fields, such as winds, temperature, and precipitation that affect the deposition of atmospheric pollutants. The worldwide emissions, chemical transformations, dispersion and wet and dry deposition of atmospheric Hg was simulated using an advanced multi-scale modeling system comprising the

GEOS-Chem model (applied globally), the continental CMAQ model (applied over the United States) and regional/local CMAQ-APT model (applied over the approximate extent of the San Juan River Basin). Atmospheric model simulations were conducted over the basin for each of the five years of meteorology for four emissions scenarios: a baseline scenario reflecting the status quo, a 2016 scenario (reflecting a post-MATS scenario), a 2050 case with a lower bound on China Hg emissions and a 2050 case with a higher bound on China Hg emissions. Model simulations included the tagging of Hg from various worldwide source categories to identify relative contributions of these categories.

For the baseline scenario (that is, the current conditions), the FCPP contributions to total Hg deposition near the facility ranged from 2 percent to a maximum of 28 percent southeast of the FCPP. Over the remainder of the San Juan River Basin, FCPP contributions are less than 2 percent. Baseline contributions of Hg emissions from sources outside the United States to Hg deposition in the San Juan River Basin range from 70 percent to 98 percent. Hg emissions from China contribute from 13 to 16 percent to Hg deposition in the San Juan River Basin in the post-2016 baseline (i.e., the baseline 2050 scenario with a medium estimate of China Hg emissions). In the high estimate of China emissions scenario, the range of China Hg contributions to total Hg deposition ranged from 16 percent to 19 percent. In the low estimate of China emissions scenario, in which emissions of elemental Hg decrease compared to the baseline case, the China Hg contributions to Hg deposition in the San Juan River Basin range from 9 percent to 12 percent, a reduction of about 4 percent compared to the medium China, post-2016 scenario.

Hg deposition contributions were calculated at selected receptor locations: including Lake Powell below the San Juan River in Utah, the San Juan River at Shiprock, New Mexico, and Navajo Lake near the Colorado-New Mexico border. At the Lake Powell location, the three coal-fired power plants contribute about 4 percent to total Hg deposition in the baseline case, and other North American emissions contribute about 3 percent. The remainder is attributed to sources outside North America, with 15 percent coming from China sources and 78 percent from the global pool. At the Shiprock location, contributions to Hg deposition from China Hg emissions and other sources outside North America were the similar. The contribution of the three coal-fired power plants is about 5 percent, while Hg emissions from the rest of North America contribute about 2 percent.

The wet and dry Hg deposition predictions from the atmospheric modeling in various model scenarios were linked with the watershed modeling system through various temporal and spatial transformations. The results of the tagged Hg and Se simulations were used to construct past, present and future deposition records for the watershed scenarios from 1990 to 2074. The model WARMF (Watershed Analysis Risk Management Framework) was used by EPRI (2014) to simulate Hg and Se concentrations in each catchment, river segment, and reservoir in the San Juan River Basin for each day of the simulation period. By combining other outputs of WARMF, the model provided useful information about the origin of pollutants to augment the understanding of the watershed system and assisted development of management alternatives.

The atmospheric model was linked to the WARMF model that provided Hg and Se inputs to the San Juan River Basin including atmospheric deposition, mineral weathering, irrigation, inflow from the land, inflow from upstream rivers and reservoirs, point sources, production by chemical

reaction, and re-suspension from riverbeds (EPRI 2014). Outputs of Hg and Se by outflow to surface water via surface or subsurface flow, settling to the river/reservoir beds, diversion, and decay by chemical reactions were also estimated by EPRI (2104

EPRI (2014) used a plume-in-grid approach to represent the behavior of reactive plumes in the atmosphere from point and other sources as well as meteorology as model inputs to produce spatially detailed atmospheric deposition to the land surface of the San Juan River Basin as an output. The atmospheric model to watershed analysis linkage was upgraded to allow the atmospheric output to be modeled as deposition amounts within grid points rather than concentrations and deposition velocities. The grid cells are lined up with the WARMF catchment and lake boundaries to determine the area of overlap. Deposition to each river catchment and at area lakes was calculated with an area-weighted average.

For EPRI (2014) modeled Hg deposition in the San Juan River Basin, the effect of high and low Chinese emissions clearly had the largest impact, altering the deposition by 3.5 and 5 percent respectively. The removal of FCPP had a clear but lesser effect, reducing Hg deposition by 0.68 percent before 2014 and about 0.35 percent after 2016 (after 3 units are shut down, with 2 units remaining active and emitting approximately 102 lbs Hg/year). WARMF scenario simulations generated time series outputs of fish tissue Hg concentrations and water column concentrations for Se and a wide range of chemical species. Examples of these output time series plotted and depicted in Figures 5, 17 through 20, and 22, for locations within the San Juan River Basin. AECOM (2014) identified pathways of Hg and Se accumulation in endangered fish, listed birds and their prey (Figure 21).

EPRI (2014) generated daily average Hg concentrations in large (>400 mm TL) and small (<400 mm TL) Colorado pikeminnow with large seasonal variations, including maximum Hg accumulation during fall and winter at several locations within the San Juan River Basin (Figure 22). For this BO, we averaged the maximum annual Hg concentrations in whole fish from model runs from two locations on the San Juan River (above Lake Powell in Utah and near Shiprock, New Mexico) in order to characterize annual Hg concentrations in endangered fish over time. The Hg concentrations in whole body Colorado pikeminnow by different sizes and over time are summarized in Tables 5 and 6. We used information on annual Hg accumulation in whole body Colorado pikeminnow by size and time to estimate age- and size-specific Hg body burdens that are associated with adverse effects, based on toxicological studies, and to compare EPRI's different APS scenarios over time (Figure 23; Table 7). Note that because Colorado pikeminnow were collected and analyzed in 2009 (Osmundson and Lusk 201) the year 2009 established the baseline to which additional Hg deposition accumulation in fish tissue was added or compared.

Estimation of the Type and Magnitude of Effects based on Hg in Whole Body Fish

Colorado pikeminnow and razorback sucker would be exposed to Hg deposition from the rest of the world, particularly sources in China and the global pool of Hg (as well as the proposed action by FCPP) through Hg deposition, runoff into downstream aquatic habitats, and subsequent bioaccumulation through the food chain. Mercury bioaccumulates in endangered fish in the San Juan River and is a potent neurotoxin that affects their fitness and reproductive health (Crump and Trudeau 2009). Once Hg enters the body, it poses the highest threats of toxicity because it

can be absorbed into living tissues and blood. Once in the blood it crosses into the brain and accumulates, there is no known way to be expelled from the brain (Gonzalez et al. 2005).

The accumulation of Hg from water occurs via the gill membranes as well as through ingestion (Beckvar 1996; USEPA 1997). MeHg is eventually transferred from the gills to muscle and other tissues where it is retained for long periods of time (Julshamn et al. 1982; Riisgård and Hansen 1990). Probably less than 10 percent of the Hg in fish tissue residues is obtained by direct (gill) uptake from water (Francesconi and Lenanton 1992; Spry and Wiener 1991). Hg taken up with food initially accumulates in the tissues of the posterior intestine of fish (Boudou et al. 1991). Hg ingested in food is transferred from the intestine to other organs including muscle tissues (Boudou et al. 1991). MeHg has been reported to constitute from 70 to 95 percent of the total mercury in skeletal muscle in fish (Huckabee et al. 1979; EPA 1985; Riisgård and Famme 1988; Greib et al. 1990; Spry and Wiener 1991). MeHg accounted for almost all of the Hg in muscle tissue in a wide variety of both freshwater and saltwater fish (Bloom 1992).

Hg in fish tissues can be transferred to ovary and eggs (Beckvar 1996; Wiener and Spry 1996; McKim et al. 1976). Exposure of the parent population to Hg concentrations of 0.03 to 2.93 ug/l in the laboratory resulted in Hg concentrations as high as 2 mg/kg in their embryos (McKim et al. 1976). Other studies reported a maternal burden transfer to eggs ranging from 0.2 to 36 percent (Hammerschmidt et al. 1999; Hammerschmidt and Sandheinrich 2005; Alvarez et al. 2006; Nye et al. 2007). Hatching success and embryonic survival in fish are inversely correlated with Hg concentrations in the egg (Whitney 1991; Dillon et al. 2010; ERM 2014b). Without additional information about the maternal transfer rate of Hg from the adult female to Colorado pikeminnow eggs, we assumed a transfer of 0.2 percent of the adult female whole body burden Hg concentration. Total mercury concentrations in eggs of several species of adult fish from Swedish lakes are much lower than concentrations in other tissues (Lindqvist 1991). Fish (including eggs and larvae) continue take up Hg from the water column and their prey (McKim et al. 1976; Pentreath 1976a; 1976b).

The toxicity of Hg to aquatic organisms is affected by both abiotic and biotic factors including the form of Hg (inorganic versus organic), environmental conditions (e.g., temperature, salinity, and pH), the sensitivity of individual species and life history stages, and the tolerance of individual organisms. Toxicological effects include neurological damage, reproductive impairment, growth inhibition, developmental abnormalities, mortality, and altered behavioral responses (Beckvar 1996, Beckvar et al. 2005, Dillon et al. 2010, ERM 2010a,b). Wiener and Spry (1996) concluded that neurotoxicity seems to be the most probable chronic response of wild adult fishes to Hg exposure, based on observed effects such as incoordination, inability to feed, diminished responsiveness, abnormal movements, lethargy, and brain lesions. In laboratory studies, reproductive endpoints are generally more sensitive than growth or survival, with embryos and the early developmental stages being the most sensitive (Hansen 1989).

Beckvar et al. (2005) reviewed 10 Hg residue-effects publications for fish to identify whole body tissue concentrations of Hg that were of concern to fish. Laboratory dosing studies with fish indicate that ecologically relevant methylmercury exposures can cause significant behavioral, physiological, reproductive, histological changes as well as mortality. Beckvar et al. (2005) associated adverse effects to survival, growth, reproduction, and behavior with whole body Hg

concentrations and recommended that greater than 0.2 mg/kg WW Hg. Beckvar et al. (2005) noted that attempts to derive protective tissue residues for fish continue to be hampered by a paucity of high quality, toxicological studies specifically designed to link residues and biological effects and encouraged investigators to conduct studies designed specifically to produce technically sound residue-effect information.

Dillon et al. (2010) reviewed 11 laboratory toxicity studies involving fish. The test endpoints were distilled to a control-normalized response and extrapolated to a percent injury for both early life stages of fish, and juveniles and adult fish. Recently ERM (2014a,b) reviewed 14 Hg residue-effects publications, selected dose-responsive data, and calculated control-normalized response for different life stages of fish and types of injury (e.g., reproductive injury, behavioral injury, and survivorship injury).

A comparison of the types of injury identified by Dillon et al. (2010) and by ERM (2014a,b) is also provided in Figure 23. Using Dillon et al (2010), there is comparatively more injury estimated for adults, but the type of injury to adults is not readily identified as to mortality, behavioral injury, or injury to growth. Using ERM (2014a,b), there is comparatively more injury estimated for early life stages and less expected mortality for subadults and adults, but the type of injury to subadults and adults is readily identified as to mortality (survivorship injury), behavioral injury, or reproductive injury. Therefore, we used the effects relationships described by ERM (2014a,b) to estimate the type and magnitude of adverse effects associated with whole body Hg in modeled Colorado pikeminnow and razorback suckers in the San Juan River Basin.

Based on these studies we used:

- a. The ERM (2014a, b) injury relationships to estimate magnitude and type of adverse effects to eggs, early life stages, subadult and adult Colorado pikeminnows in the San Juan River based on EPRI (2014) modeled whole body Hg concentrations over time and estimated in eggs as well as estimated mortality associated with behavioral injury (Table 7, Table 8).
- b. ERM (2014a, b) estimate the type and magnitude of adverse effects associated with whole body Hg in modeled Colorado pikeminnow and razorback suckers in the San Juan River Basin.

We found that ERM (2014a, b) description of behavioral injury associated with Hg whole body was particularly important. The brain and central nervous system are very sensitive to Hg (ATSDR 1999; USEPA 2001, 2005; Krey et al. 2014). The effects of Hg on the nervous system are primarily the consequence of the reaction of Hg with sulfur atoms of brain proteins, enzymes, and other macromolecules, which detrimentally affects a fish brain's normal function (Rabenstein 1978, Eccles and Annau 1987, Wiener and Spry 1996, ATSDR 1999, Clarkson and Magos 2006, Crump and Trudeau 2009; Berg et al. 2010). MeHg in the brain causes death of cells of the central nervous system (Rabenstein 1978). Because nervous system cells are replenished only during an organism's development, cell death by MeHg in fish may result in permanent brain damage. Thus, nerve cell damage is irreversible and cumulative (Rabenstein 1978, Eccles and Annau 1987, Clarkson and Magos 2006, Crump and Trudeau 2009).

In five studies, trout, striped bass, and walleye were fed methylmercury, and after accumulation and observations for effect, both muscle and brain tissues were analyzed (Scherer et al. 1975, McKim et al. 1976, Niimi and Kisson 1994, Mason et al. 2000, Cizdziel et al. 2003). Berntssen et al. (2003) identified lesions and impairment of locomotor and feeding activity of Atlantic salmon when brain concentrations were measured at 0.68 mg/kg WW. Using the muscle-to-brain ratio of 0.9, the concentration of Hg in muscle would be approximately 0.75 mg/kg WW, whole body concentration would be 0.45 mg/kg WW, would be associated with brain injuries. MeHg is lipid soluble, allowing rapid penetration of the blood-brain barrier (Feltier et al. 1972, Giblin and Massaro 1973; McKim et al. 1976; Olson et al. 1978; Beijer and Jernelov 1979). Injury to the central nervous system results from accumulation of Hg in the cerebellum and cerebral cortex where it binds tightly to sulfhydryl groups resulting in pathological changes (Sastri and Sharma 1980). Inside the cell, Hg inhibits protein synthesis/RNA synthesis and affects other brain proteins (Yoshino et al. 1966; Chang et al. 1972; Basu et al. 2014).

Furthermore, recent studies have clearly indicated adverse effects of Hg on fish migration and spawning behavior (Basu et al. 2014). Fish have likely provided the most evidence of Hg toxicant-associated neurochemical change (Basu et al. 2014). Many researchers (Fjed et al. 1998; Tanan et al. 2006; Crump and Trudeau 2009; Berg et al. 2010; Farina et al. 2010; Mela et al. 2010; Richetti et al. 2010; and Le Page et al. 2011; Xu et al. 2012) outline associations between Hg exposures and neurochemical changes in fish brains, and also make linkages to adverse effects on fish behavior, endocrine function, visual systems, and reproduction.

Numerous studies have reported on the behavioral effects of mercury exposure to fish. A study by Webber and Haines (2003) provides quantitative estimates of behavioral effects in golden shiner exposed to dietary MeHg at concentrations of 0.012 (control), 0.455, and 0.959 mg/kg mercury under standard laboratory conditions for 90 days. At the end of the exposure period, whole body fish tissue mercury concentrations were 0.041 (control), 0.230, and 0.536 mg/kg WW. No mortality or effects on growth were observed at any dose. Predator-avoidance behavior to a model belted kingfisher was evaluated for multiple behavioral responses. The authors reported statistically significant behavioral impairment for shoal vertical dispersal, time to return to pre-exposure activity, and greater shoal area after return to pre-exposure activity levels for fish with 0.54 mg/kg WW whole body fish tissue Hg concentrations. The authors referred to these responses as hyperactive responses, which can make the prey more easily detected and more easily fatigued. Hyperactive behavioral responses from Hg exposure to fish have also been observed in rainbow trout and largemouth bass (Hartmann 1978; Morgan 1979). Fjeld et al. (1998) reported impaired feeding efficiencies and reduced competitive abilities in 13-day old graylings fed a diet containing MeHg. The resulting whole body concentrations ranged from 0.09 to 3.8 mg/kg WW for the lowest and highest exposure groups. The authors reported statistically significant behavioral effects at concentrations of 0.27 mg/kg WW and higher.

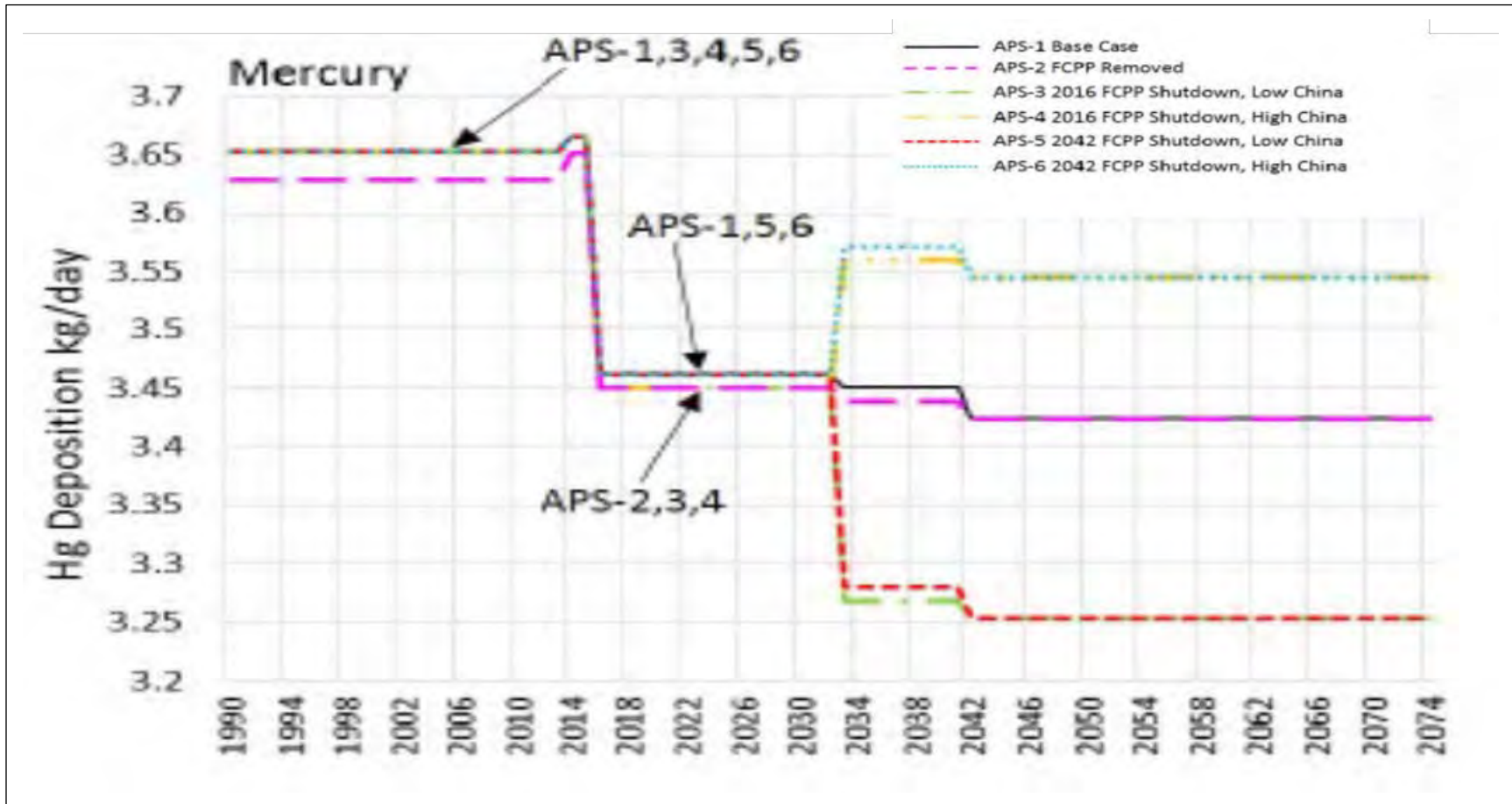


Figure 19. Average atmospheric Hg deposition in the San Juan River Basin over time for various scenarios including with or without the FCPP and low, medium, and high Hg deposition amounts from sources in China (EPRI 2014). (Scenario APS-1; baseline with FCPP operating until 2042 and medium China Hg deposition. Scenario APS-2; baseline with medium China Hg deposition and all FCPP Hg deposition removed. Scenario APS-3; FCPP shutdown in 2016 and low China Hg deposition. Scenario APS-4; FCPP shutdown in 2016 and high China Hg deposition. Scenario APS-5; FCPP shutdown in 2042 and low China Hg deposition. Scenario APS-6; FCPP shutdown in 2042 and high China Hg deposition.).

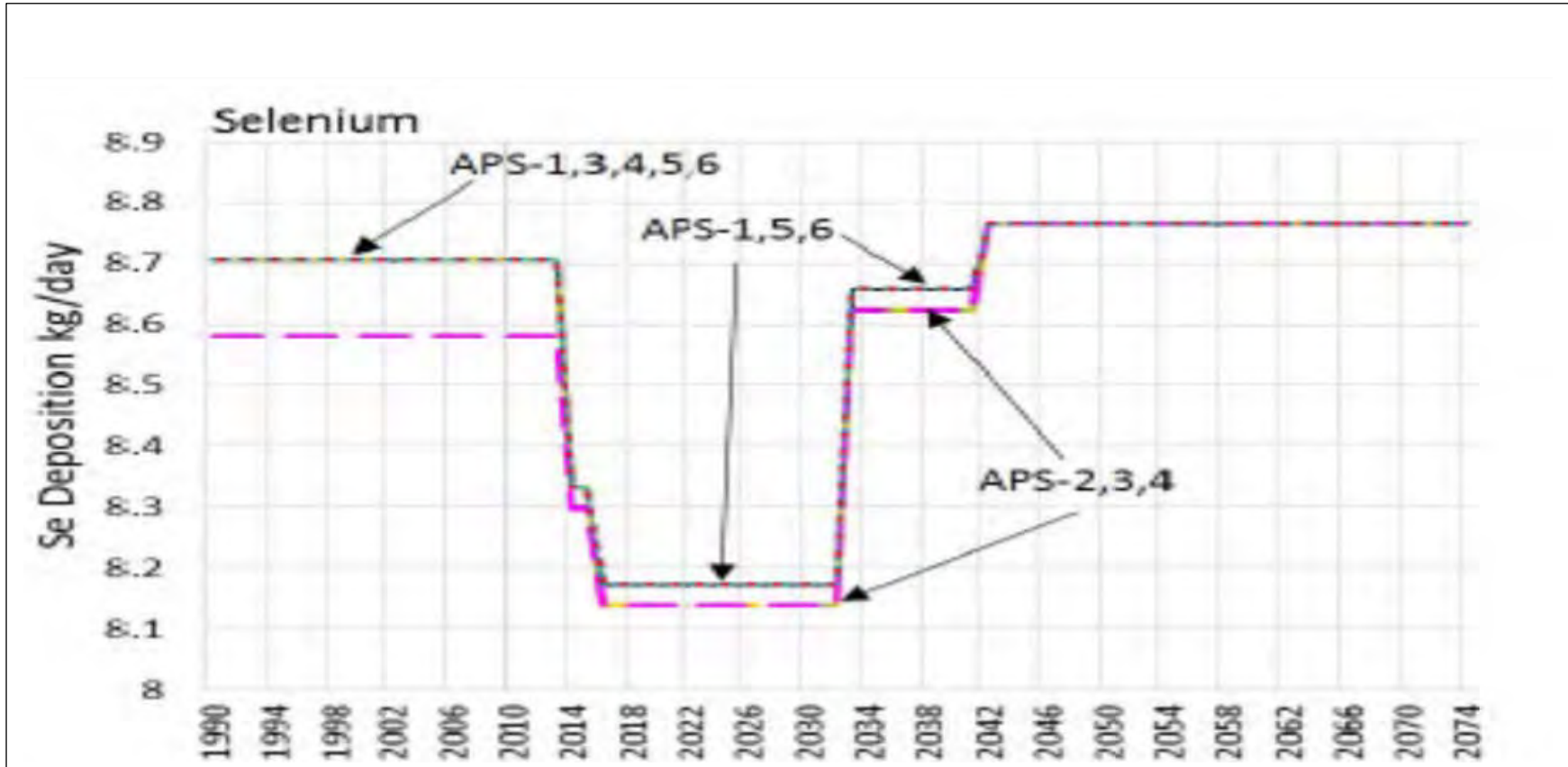


Figure 20. Average atmospheric Se deposition (kg/day) in the San Juan River Basin over time for various scenarios including with or without the FCPP and with low, medium, and high Se deposition amounts from sources in China (EPRI 2014). (Scenario APS-1; baseline with FCPP operating until 2042 and medium China Se deposition. Scenario APS-2; baseline with medium China Se deposition and all FCPP Se deposition removed. Scenario APS-3; FCPP shutdown in 2016 and low China Se deposition. Scenario APS-4; FCPP shutdown in 2016 and high China Se deposition. Scenario APS-5; FCPP shutdown in 2042 and low China Se deposition. Scenario APS-6; FCPP shutdown in 2042 and high China Se deposition. However, note that Se deposition from China was always assumed low and therefore did not change by scenario). (See footnotes in Figure 19 for description of lines).

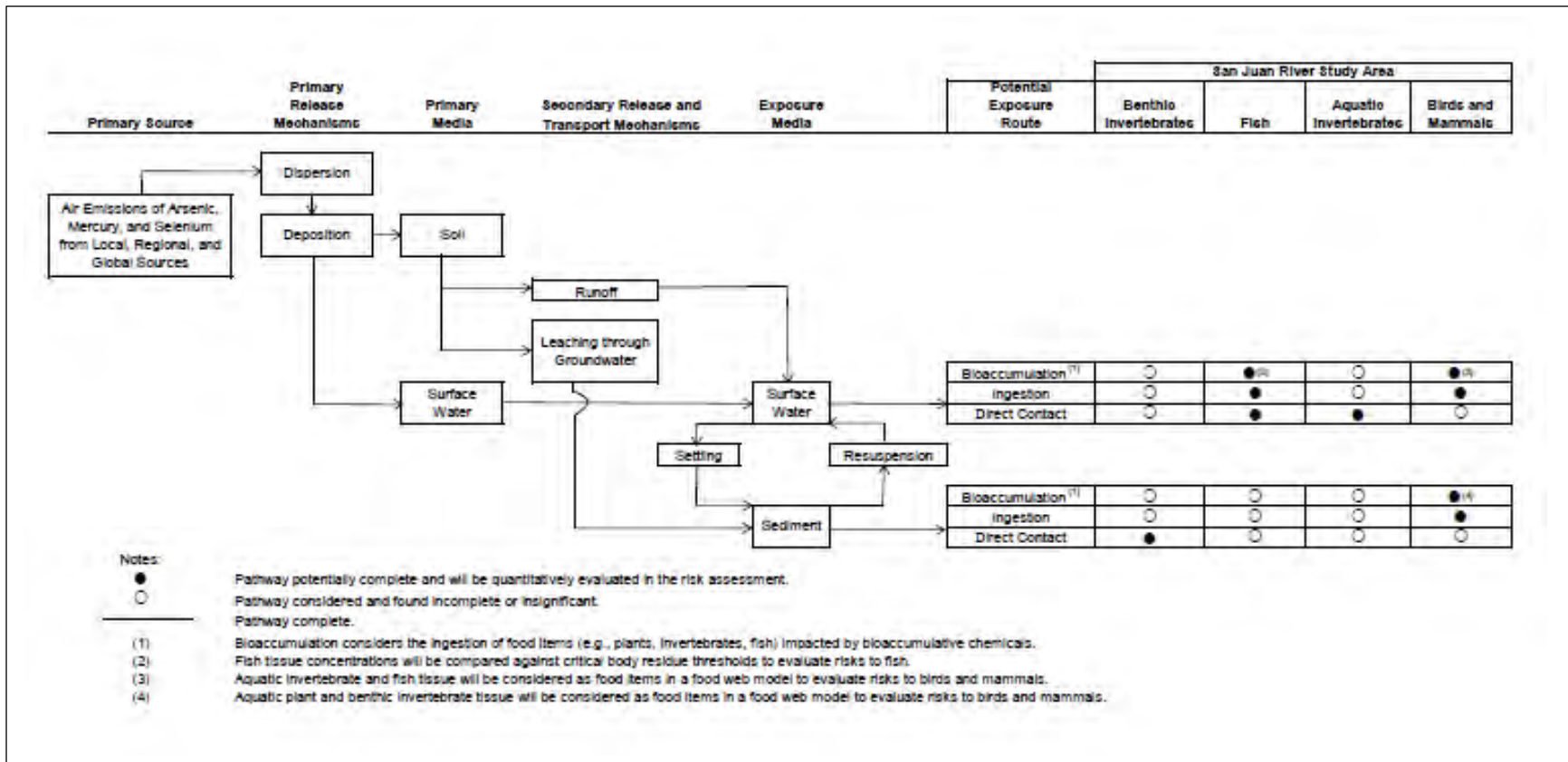


Figure 21. Conceptual exposure model for Hg and Se in the San Juan River Basin and ecological risk assessment (AECOMM 2013).

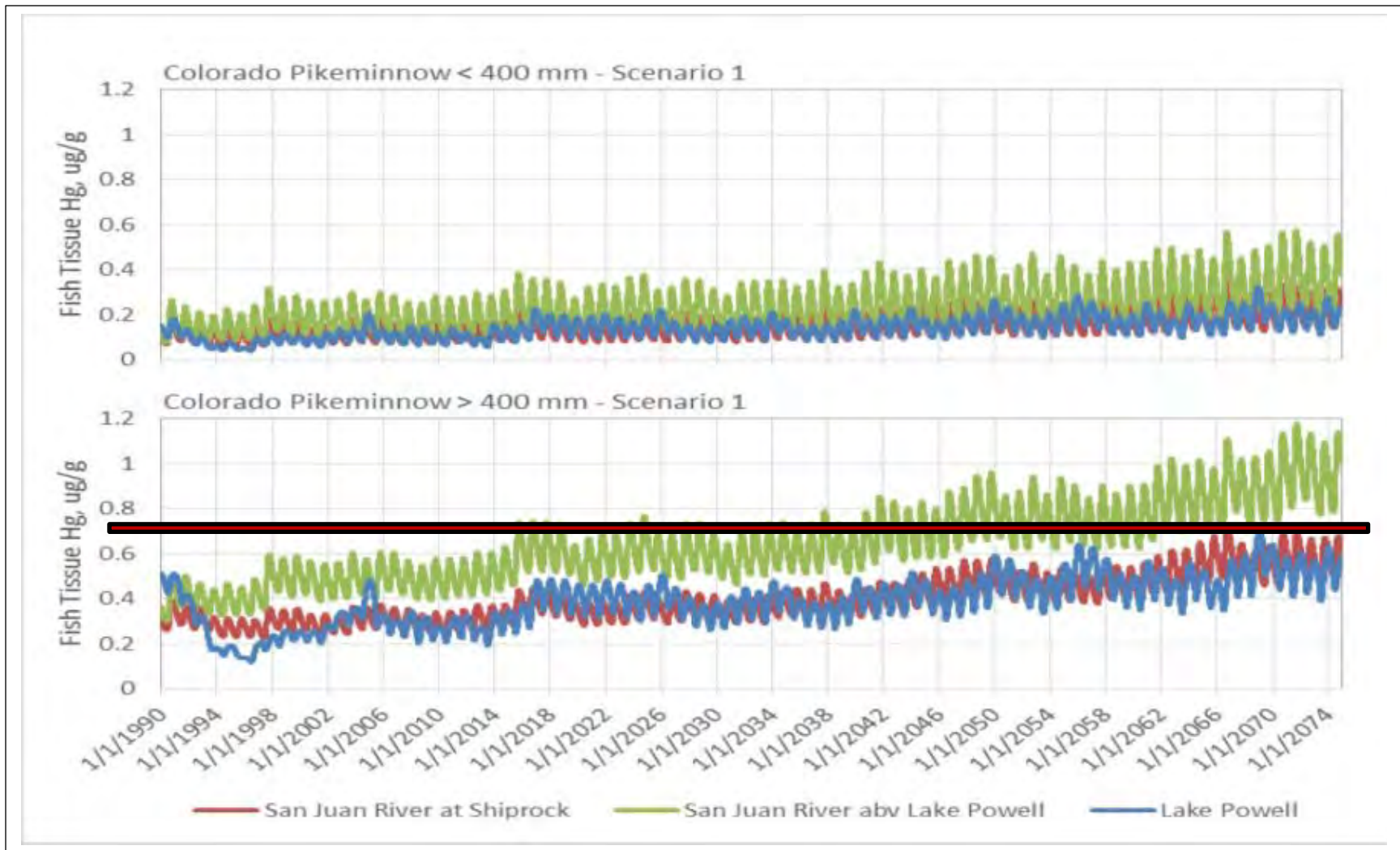


Figure 22. EPRI (2104) modeled annual average mercury concentrations (ug/g WW) in smaller (< 400 mm TL) and larger (>400 mm TL) Colorado pikeminnow at three locations on the San Juan River showing seasonal fluctuation and accumulation in mercury whole body burdens for Scenario 1 (included an estimate of medium range Hg deposition from China and FCPP operation until 2042). (Note black and red line at 0.7 mg/kg WW in whole body Colorado pikeminnow represents Service determination of adverse modification of critical habitat in San Juan River Basin)

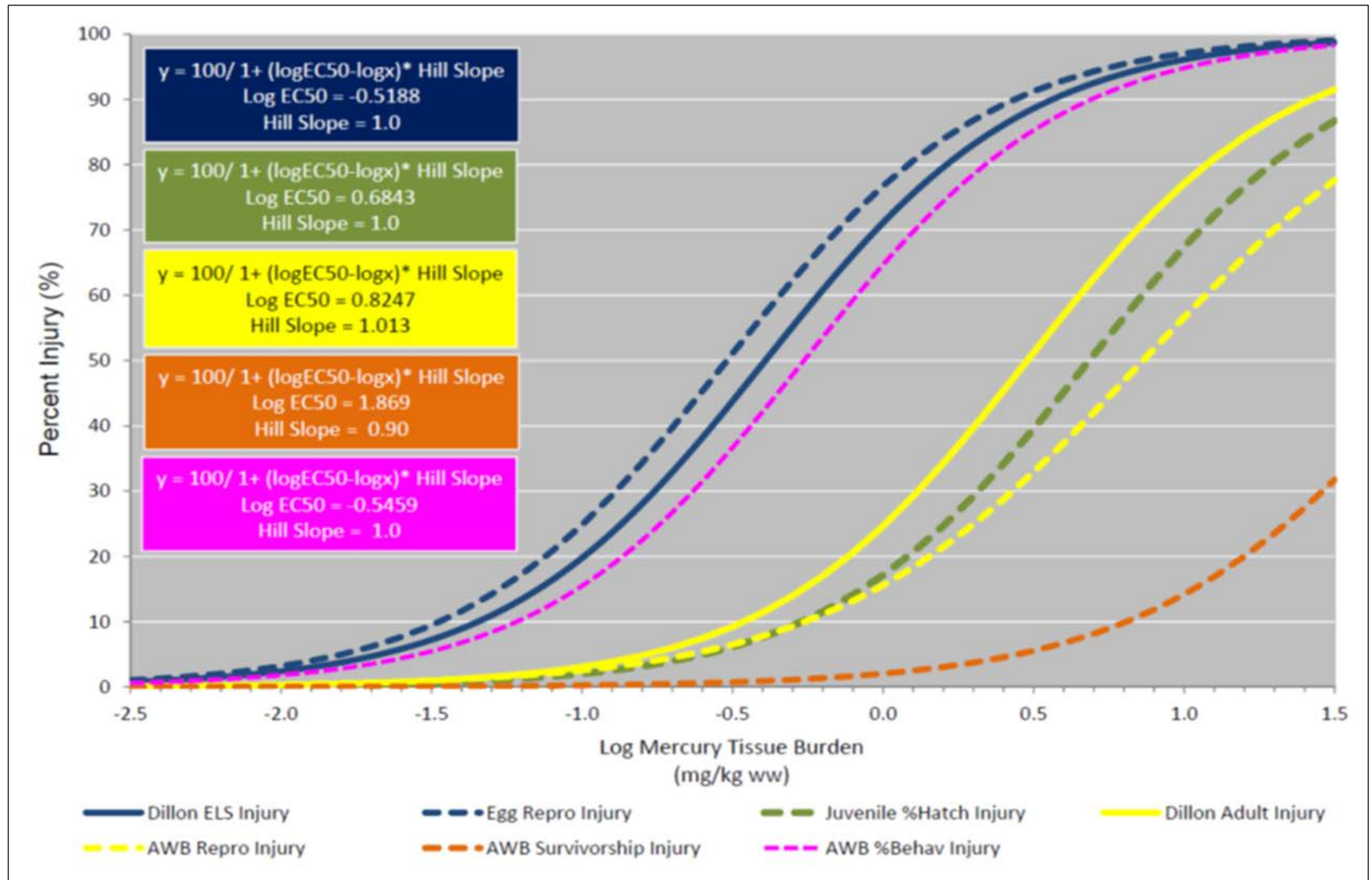


Figure 23. Comparison of Dillon et al. (2010) and ERM (2014b) percent injury relationships with base-10 logarithm of Hg burden (mg/kg WW) in whole body fish.

Table 5. Estimate of Hg concentrations (mg/kg WW) in large, whole body adult (>400 mm TL), smaller (<400 mm TL), and early life stages of Colorado pikeminnow (CPM) in the San Juan River Basin as modeled by EPRI (2014) and extrapolated by the Service to Age Classes based on TL at age. Note: some year’s data were omitted for clarity. Egg Hg estimated using 0.2 percent of adult Hg.

Modeled Deposition Year	Average of EPRI (2014) SJR sites		Hg in Eggs and Early Stages		Juvenile CPM	Hg in subadult CPM (< 400 mm TL)					Hg in adult CPM (> 400 mm TL)				
	Max Annual Average Hg in SJR CPM <400 mm whole body based on EPRI 2014 and APS Scenario 1	Max Annual Average Hg in SJR CPM >400 mm whole body based on EPRI 2014 and APS Scenario 1	Est Hg in Eggs = 0.2 % Avg Adult Female	Est Hg in Age 0 CPM	Est Hg in Age 1 CPM	Est Hg in Age 2 CPM	Est Hg in Age 3 CPM	Est Hg in Age 4 CPM	Est Hg in Age 5 CPM	Est Hg in Age 6 CPM	Est Hg in Age 7 CPM	Est Hg in Age 8 CPM	Est Hg in Age 9 CPM	Est Hg in Age 10+ CPM	
2009	0.22	0.46	0.0008	0.004	0.008	0.024	0.073	0.169	0.287	0.391	0.36	0.40	0.43	0.44	
2010	0.22	0.46	0.0008	0.004	0.008	0.023	0.071	0.166	0.282	0.384	0.36	0.40	0.43	0.44	
2015	0.30	0.59	0.0011	0.006	0.011	0.033	0.100	0.233	0.397	0.540	0.47	0.52	0.55	0.57	
2016	0.29	0.60	0.0011	0.006	0.011	0.031	0.094	0.220	0.374	0.508	0.48	0.53	0.56	0.58	
2019	0.22	0.51	0.0009	0.004	0.008	0.024	0.072	0.167	0.283	0.385	0.41	0.45	0.48	0.50	
2020	0.25	0.52	0.0009	0.005	0.009	0.027	0.082	0.191	0.324	0.441	0.42	0.46	0.49	0.51	
2025	0.24	0.54	0.0010	0.005	0.009	0.026	0.078	0.182	0.310	0.422	0.43	0.48	0.51	0.53	
2029	0.25	0.55	0.0010	0.005	0.009	0.027	0.083	0.193	0.328	0.445	0.44	0.49	0.52	0.54	
2030	0.22	0.51	0.0009	0.004	0.008	0.024	0.074	0.173	0.294	0.399	0.41	0.45	0.48	0.50	
2035	0.26	0.58	0.0010	0.005	0.010	0.029	0.087	0.203	0.345	0.469	0.46	0.51	0.54	0.56	
2039	0.26	0.57	0.0010	0.005	0.010	0.028	0.086	0.201	0.342	0.465	0.45	0.50	0.53	0.55	
2040	0.30	0.62	0.0011	0.006	0.011	0.033	0.099	0.231	0.394	0.535	0.49	0.54	0.58	0.60	
2041	0.33	0.67	0.0012	0.006	0.012	0.036	0.109	0.254	0.432	0.587	0.53	0.59	0.62	0.64	
2042	0.30	0.65	0.0012	0.006	0.011	0.033	0.099	0.230	0.392	0.533	0.52	0.57	0.61	0.63	
2043	0.30	0.64	0.0011	0.006	0.011	0.033	0.099	0.231	0.392	0.533	0.51	0.57	0.60	0.62	
2044	0.32	0.67	0.0012	0.006	0.012	0.035	0.107	0.248	0.422	0.574	0.53	0.59	0.63	0.65	
2045	0.31	0.67	0.0012	0.006	0.011	0.034	0.103	0.239	0.407	0.553	0.53	0.59	0.63	0.65	
2049	0.36	0.77	0.0014	0.007	0.013	0.039	0.120	0.280	0.476	0.647	0.61	0.68	0.72	0.74	
2050	0.30	0.69	0.0012	0.006	0.011	0.032	0.098	0.229	0.389	0.529	0.55	0.61	0.65	0.67	
2055	0.32	0.71	0.0013	0.006	0.012	0.035	0.106	0.247	0.420	0.572	0.56	0.62	0.66	0.68	
2059	0.34	0.72	0.0013	0.007	0.013	0.037	0.112	0.262	0.445	0.605	0.57	0.63	0.67	0.70	
2060	0.35	0.73	0.0013	0.007	0.013	0.038	0.115	0.268	0.457	0.621	0.58	0.64	0.68	0.71	
2065	0.39	0.83	0.0015	0.007	0.014	0.042	0.128	0.297	0.506	0.687	0.66	0.73	0.77	0.80	
2069	0.40	0.84	0.0015	0.008	0.015	0.043	0.132	0.308	0.524	0.712	0.67	0.74	0.79	0.82	
2070	0.45	0.91	0.0016	0.009	0.017	0.049	0.148	0.345	0.587	0.798	0.72	0.80	0.85	0.88	
2074	0.43	0.91	0.0016	0.008	0.016	0.047	0.144	0.334	0.568	0.772	0.72	0.80	0.85	0.88	

Table 6. Estimate of the magnitude and types of adverse effects using ERM (2014a,b) and based on Hg concentrations (mg/kg WW) in large, whole body adult (>400 mm TL), smaller subadult (<400 mm TL), and early life stages of Colorado pikeminnow (CPM) in the San Juan River Basin as modeled by EPRI (2014) and extrapolated to Age Class based on TL at age. Note: some year's data were omitted for clarity. Egg Hg concentrations (mg/kg W) were estimated using 0.2 percent of female whole body Hg burden.

Modeled Deposition Year	Max Annual Average Hg in SJR CPM <400 mm whole body based on EPRI 2014 and APS Scenario 1	Max Annual Average Hg in SJR CPM >400 mm whole body based on EPRI 2014 and APS Scenario 1	ERM 2014 % Egg Reproductive Injury using Estimated Egg Hg burden	ERM 2014 % Egg Reproductive Injury using Age 0 Hg burden	ERM 2014 % Adult Reproductive Injury using Avg Adult Hg burden	ERM 2014 % Behavioral Injury using average subadult CPM Hg burden	ERM 2014 % Behavioral Injury using average adult CPM Hg burden	ERM 2014 % Juv&Adult Survivorship Injury applied using Age 1 Hg burden	ERM 2014 % Juvenile/Adult Survivorship Injury averaged for all subadult Age Classes	ERM 2014 % Juvenile/Adult Survivorship Injury averaged for all adult Age Classes
2009	0.22	0.46	0.3	1.4	5.7	25.7	42.9	0.03	0.4	0.9
2010	0.22	0.46	0.3	1.4	5.7	25.4	42.9	0.03	0.4	0.9
2015	0.30	0.59	0.3	1.9	7.2	32.3	49.1	0.04	0.6	1.2
2016	0.29	0.60	0.4	1.8	7.3	31.0	49.5	0.03	0.6	1.2
2019	0.22	0.51	0.3	1.4	6.4	25.4	45.7	0.03	0.4	1.0
2020	0.25	0.52	0.3	1.6	6.5	28.1	46.2	0.03	0.5	1.0
2025	0.24	0.54	0.3	1.5	6.7	27.2	47.1	0.03	0.5	1.1
2029	0.25	0.55	0.3	1.6	6.8	28.3	47.6	0.03	0.5	1.1
2030	0.22	0.51	0.3	1.4	6.4	26.1	45.8	0.03	0.5	1.0
2035	0.26	0.58	0.3	1.7	7.1	29.3	48.5	0.03	0.5	1.1
2039	0.26	0.57	0.3	1.6	7.0	29.1	48.4	0.03	0.5	1.1
2040	0.30	0.62	0.4	1.9	7.5	32.1	50.3	0.04	0.6	1.2
2041	0.33	0.67	0.4	2.1	8.1	34.2	52.2	0.04	0.6	1.3
2042	0.30	0.65	0.4	1.9	7.9	32.0	51.5	0.04	0.6	1.3
2043	0.30	0.64	0.4	1.9	7.8	32.1	51.3	0.04	0.6	1.2
2044	0.32	0.67	0.4	2.0	8.1	33.7	52.3	0.04	0.6	1.3
2045	0.31	0.67	0.4	1.9	8.1	32.9	52.3	0.04	0.6	1.3
2049	0.36	0.77	0.5	2.3	9.2	36.4	55.7	0.04	0.7	1.5
2050	0.30	0.69	0.4	1.9	8.4	31.9	53.2	0.04	0.6	1.3
2055	0.32	0.71	0.4	2.0	8.5	33.6	53.7	0.04	0.6	1.4
2059	0.34	0.72	0.4	2.1	8.7	34.8	54.1	0.04	0.7	1.4
2060	0.35	0.73	0.4	2.2	8.8	35.4	54.6	0.04	0.7	1.4
2065	0.39	0.83	0.5	2.4	9.9	37.8	57.6	0.05	0.7	1.6
2069	0.40	0.84	0.5	2.5	10.0	38.6	58.0	0.05	0.8	1.6
2070	0.45	0.91	0.5	2.8	10.7	41.4	59.8	0.05	0.8	1.7
2074	0.43	0.91	0.5	2.7	10.7	40.6	59.9	0.05	0.8	1.7

Table 7. Estimates of the type and magnitude of injuries to endangered fish in the San Juan River Basin using Dillon et al. (2010) or ERM (2014), and with Service estimates of mortality associated with maladaptive behavioral injury for whole body Hg (mg/kg WW). The red-colored cells at 0.7 mg/kg WW in whole body that is associated with 9.2 percent reproductive injury and 1.5 percent survivorship injury was used to identify Hg concentrations associated with impaired endangered fish population fitness (Miller 2014).

Fish WB Hg Burden (mg/kg ww)	Log10(Hg burden)	Dillon % ELS Injury	Dillon % Juvenile/Adult Injury	ERM % Behavioral Injury	ERM % Egg Reproductive Injury	ERM % Adult Reproductive Injury	ERM % Survivorship Injury	USFWS = Estimated % Mortality due to Maladaptive Behaviors = 0.0012*[WB Hg] + 0.01
0.0000000001	-10.0	0.00000002	0.00000002	0.00000002	0.000000033	0.000000011	0.00000002	n/a
0.00000001	-9.0	0.000002	0.000002	0.000002	0.0000033	0.000001	0.000002	n/a
0.0000001	-8.0	0.000002	0.000003	0.000002	0.0000033	0.000001	0.000001	n/a
0.000001	-7.0	0.00002	0.000003	0.00002	0.000033	0.000001	0.000001	n/a
0.000001	-6.0	0.0002	0.00003	0.0002	0.00033	0.00001	0.00001	n/a
0.00001	-5.0	0.002	0.0003	0.002	0.0033	0.0001	0.0001	n/a
0.0001	-4.0	0.02	0.003	0.02	0.033	0.001	0.001	n/a
0.001	-3.0	0.2	0.03	0.2	0.33	0.01	0.004	n/a
0.01	-2.0	2.4	0.3	1.8	3.2	0.1	0.03	n/a
0.05	-1.3	11.0	1.5	8.4	14.2	0.7	0.1	1%
0.1	-1.0	19.8	3.1	15.5	24.8	1.4	0.3	1%
0.2	-0.7	33.0	6.0	26.8	38.8	2.6	0.5	1%
0.3	-0.5	42.5	8.8	35.5	49.8	4.1	0.7	1%
0.4	-0.4	49.6	11.5	42.3	56.9	5.5	0.9	1%
0.5	-0.3	55.2	14.0	47.8	62.3	6.7	1.1	1%
0.6	-0.2	59.6	16.3	52.4	66.5	8.0	1.3	1%
0.7	0.2	63.3	18.6	56.2	69.8	9.2	1.5	1%
0.8	-0.1	66.3	20.7	59.4	72.5	10.4	1.7	1%
0.9	0.0	68.9	22.7	62.2	74.8	11.6	1.9	1%
1.0	0.0	71.1	24.7	64.7	76.8	12.7	2.0	1%
1.1	0.0	73.0	26.5	66.8	78.4	13.9	2.2	1%
1.2	0.1	74.7	28.2	68.7	79.8	14.9	2.4	1%
1.3	0.1	76.2	29.9	70.4	81.1	16.0	2.6	1%
1.4	0.1	77.5	31.5	71.9	82.2	17.0	2.7	1%
1.5	0.2	78.7	33.0	73.3	83.2	18.1	2.9	1%
1.6	0.2	79.8	34.5	74.6	84.1	19.0	3.1	1%
1.7	0.2	80.7	35.9	75.7	84.9	20.0	3.2	1%
1.8	0.3	81.6	37.2	76.7	85.6	20.9	3.4	1%
1.9	0.3	82.4	38.5	77.7	86.3	21.9	3.6	1%
2.0	0.3	83.1	39.8	78.6	86.8	22.8	3.7	1%
2.1	0.3	83.8	41.0	79.4	87.4	23.6	3.9	1%
2.2	0.3	84.4	42.1	80.1	87.9	24.5	4.1	1%
2.3	0.4	85.0	43.2	80.8	88.4	25.4	4.2	1%
2.4	0.4	85.5	44.3	81.5	88.8	26.2	4.4	1%
2.5	0.4	86.0	45.3	82.1	89.2	27.0	4.5	1%
2.6	0.4	86.5	46.3	82.6	89.6	27.8	4.7	1%
2.7	0.4	86.9	47.2	83.2	89.9	28.5	4.8	1%
2.8	0.4	87.3	48.1	83.7	90.2	29.3	5.0	1%
2.9	0.5	87.7	49.0	84.2	90.5	30.0	5.1	1%
3.0	0.5	88.1	49.9	84.6	90.8	30.8	5.3	1%

Crump and Trudeau (2009) found that accumulation of Hg in the fish brain has resulted in reduced hormone secretion, hypothalamic neuron degeneration, and alterations in neurotransmission. The inhibitory effect of Hg on reproduction in fish has been suggested to occur at multiple sites within the reproductive system, including the hypothalamus, pituitary, and gonads (Crump and Trudeau 2009). At the level of the pituitary, Hg exposure would reduce and/or inactivate gonadotropin-secreting cells necessary for reproduction. Studies that have examined the effects of Hg on the reproductive organs demonstrated a range of effects, including reductions in gonad size, circulating reproductive steroids, gamete production, and spawning success. Laboratory experiments have shown diminished reproduction and endocrine impairment in fish exposed to dietary Hg at environmentally relevant concentrations, with documented effects on production of sex hormones, gonadal development, egg production, spawning behavior, and spawning success. Field studies have found declining levels of sex hormones with increased Hg exposure (Crump and Trudeau 2009). Compared to pairs of fish raised on normal diets, of those that ate contaminated diets, fewer spawned, and those that did spawned later and produced fewer eggs. Currently, not all females do spawn (Valdez 2014).

Condition of Water Quality PCE of Colorado Pikeminnow Critical Habitat

Water of sufficient quality is a primary constituent element (PCE) of Colorado pikeminnow physical critical habitat. We used the rates of Hg-related impairments associated with a modeled long-term population decline of Colorado pikeminnow in the San Juan River Basin (AECOM 2013; Miller 2014; ERM 2014a,b) to characterize when those conditions would be associated with adverse modification of critical habitat. That is, critical habitat would be adversely modified when Hg concentrations in water are associated with fish whole body concentrations of 0.7 mg/kg WW (which are related to a greater than 8 percent reproductive injury and above 1.5 percent adult mortality). The PCEs of critical habitat likely occur associated with 0.7 mg/kg WW in whole body Colorado pikeminnow and using Bioaccumulation Factors provided in the BA (OSMRE 2014, p 6-18), would be from 0.002 ug/L MeHg in water or 0.2 ug/L total Hg in water.

We used models (with various assumptions) to assess, describe, evaluate, and estimate what is happening now and what will happen in the San Juan River Basin and to the endangered species that reside there over time (Osmundson and Lusk 2011; ERM 2014a,b; Miller 2014; OSMRE2014; and the administrative record supporting the BA and BO).

There remain issues with the accuracy and precision of measurement-based estimates that depend on the validity of extrapolating measurements made at infrequent intervals to longer periods, or measurements made at one place to other areas. However, the best available scientific and commercial information supports the following regarding Hg in the San Juan River Basin:

1. Currently, anthropogenic Hg emissions far surpass those derived from natural processes (Mason and Sheu, 2002; Fitzgerald et al., 2005; Pacnya 2010; UNEP 2013; EPRI 2014). Much of the Hg in the environment originates from combustion of coal and can travel long distances in the atmosphere before being deposited (Landis and Keeler 2002; Hammerschmidt and Fitzgerald 2006; EPRI 2014). The global pool and sources in Asia

account for the majority all anthropogenic Hg emissions (Pacyna et al. 2010, Pirrone, et al. 2010; EPRI 2014). However, local sources also contribute dry Hg deposition or to locally elevated concentrations within the San Juan River Basin (Lyman et al. 2007; Mountain Studies Institute 2010; USEPA 2011a; Huang and Gustin 2012; Sather et al. 2013; EPRI 2014). Without improved pollution controls or other actions taken to reduce Hg deposition, Hg concentrations are likely to remain at the levels they are today.

2. In the San Juan River Basin, some amount of the Hg deposited is converted to MeHg, which ultimately bioaccumulates in the endangered fish. The rate of Hg methylation, varies greatly in time and space, and depends on numerous environmental factors, including temperature, and amounts of oxygen, organic matter, and sulfate that are present, but few actions can be taken that significantly alter those natural watershed processes (Gilmour and Henry 1991). Hg enters aquatic food webs where it is taken up from water by algae and other microorganisms and increases in concentrations with fish at the top of the food web. The native, top predator fish is the endangered Colorado pikeminnow, which consumes other fish and tends to accumulate high Hg concentrations in their tissues.
3. Mercury is a persistent toxic element. It is becoming increasingly evident that the scope and severity of the Hg problem for wildlife has been substantially underestimated (Wentz et al. 2014). Recent findings show that at high concentrations, Hg impairs the health and reproduction of fish and birds at much lower dietary or tissue concentrations than previously recognized (Evers et al. 2011; Sandheinrich and Wiener 2011; Depew et al. 2012a). For example, concentrations of Hg in adult Colorado pikeminnow frequently will exceed threshold levels of concern (0.2 mg/kg WW in whole fish) that are associated with altered biochemical processes, altered behaviors, damage to cells and tissues, mortality, and diminished reproduction (Beckvar 1996; USEPA 1997; Crump and Trudeau 2009; Dillon et al 2010; Sandheinrich and Wiener 2011; ERM 2014a,b)

Estimation of Hg in Whole Body Razorback Sucker

EPRI (2014) modeled the spatial distribution of Hg in larger, adult whole body razorback suckers in the San Juan River Basin (Figure 24). Concentrations of Hg in Razorback sucker are much lower as (converted) whole body ranged from 0.03 to 0.13 mg/kg WW and averaged 0.07 mg/kg WW (Table 5). This level of whole body Hg was similar to that in an Age 3 Colorado pikeminnow, and therefore, we used a similar method to estimate the number of Razorback suckers that could be adversely affected by the proposed action.

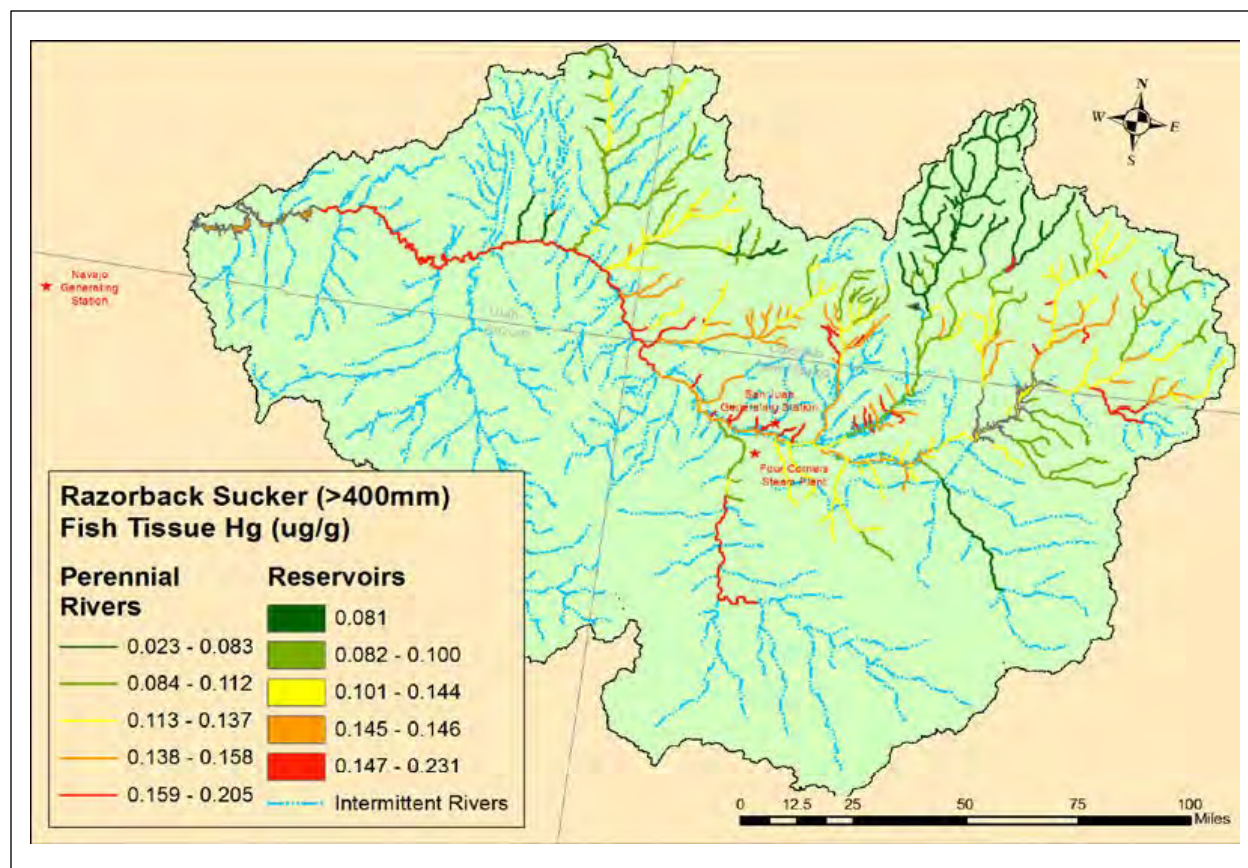


Figure 24. Current Hg concentrations (mg/kg WW) in whole body razorback sucker in the action area as modeled by EPRI (2014) (Note change in color scale in Figures 17, 18, and 24).

Effects of Hg deposition on Southwestern Willow Flycatcher and Yellow-billed Cuckoo

AECOM (2013) prepared an ecological risk assessment (ERA) to support the EIS and OSMRE's BA. A conceptual site model was developed to describe the exposure pathways linking Hg (and Se and other pollutant) releases to the environment and then to ecological receptors such as federally listed birds (Figure 21). The ERA focused on San Juan River habitat from the Deposition Area downstream into the San Juan River arm of Lake Powell. The ERA was intended to evaluate the risks posed by exposure of federally listed birds to pollutants associated with the environmental baseline, cumulative effects and the future FCPP stack emissions from 2016 to 2041 (AECOMM 2013). Federally listed bird exposures were evaluated using a traditional daily dose approach where dose was expressed in units of mg/kg per day (mg/kg-day) of the pollutants ingested. Toxicity reference values (TRVs) were developed, in units of mg/kg-day, which are doses below which adverse ecological effects are not expected. The risks were characterized in terms of a hazard quotient (HQ) where values greater than 1 indicate a potential for adverse ecological effects to individual birds. Hazard quotients for riparian birds in the San Juan River including southwestern willow flycatchers and yellow-billed cuckoos were less than 6.7 for methylmercury and less than 5.9 for selenium indicating potential adverse effects to federally listed birds (AECOMM 2013). The proposed action was only a very small portion of these effects and those were removed and discussed below.

Habitat modeling by AECOM (2013d, 2014) identified approximately 6,726 acres of potentially suitable southwest willow flycatcher habitat within the Deposition Area. The ratio of flycatcher nesting habitat (632 acres; BOR 2012) to flycatcher critical habitat in the Middle Rio Grande (47,844 acres) was $(632/47844=)$ 0.013. If we assume a similar ratio of flycatcher nesting habitat to suitable flycatcher habitat within the Deposition Area, we estimate that as many as $(6726 \text{ acres} \times 0.013=)$ 87.4 acres of nesting habitat could occur within any year. In a recovered flycatcher population, the average suitable nesting habitat size is 5.4 acres (USFWS 2013). The total maximum number of nesting flycatchers that could occupy the Deposition Area in any year would be $(87.4 \text{ acres}/5.4 \text{ acres} =)$ 16 nesting pairs. However, not all flycatcher habitats in the Deposition Area are currently suitable nesting habitat nor would they be expected to remain suitable nesting habitats over time.

Hg is an environmental contaminant that can also have adverse effects on riparian wildlife (Scheuhammer et al. 2012; Wentz et al. 2014). For riparian birds such as flycatchers and cuckoos, mercury is accumulated via ingestion of aerial insects emerging from benthic life stages in aquatic environments containing mercury or from associated predatory spiders (Cristol et al. 2008; Edmonds et al. 2012; Evers et al. 2012; Buckland-Nicks et al. 2014; Gann et al. 2014). Dietary total Hg concentrations associated with adverse effects to birds are generally greater than 0.1 mg/kg WW (DOI 1998). Once ingested, MeHg rapidly moves into the bird's central nervous system, resulting in behavioral and neuromotor disorders (Tan et al. 2009; Scheuhammer et al. 2007, 2012). The developing central nervous system in avian embryos is especially sensitive to this effect, and permanent brain lesions and spinal cord degeneration are common (DOI 1998, Young 1998; Bryan et al. 2003; Scheuhammer et al. 2007; Heinz et al. 2009). Therefore, adverse effects are described for the eggs, embryos, nestlings and/or fledglings associated with elevated Hg burdens in the female parent and due to foraging.

Hg concentrations in invertebrates from the San Juan River Basin are generally (0.03 to 0.04 mg/kg WW) less than this threshold concentration (AECOM 2013). No modeling of Hg in invertebrates over time was conducted. Therefore, we expected that no more than one third of invertebrate Hg concentrations would be greater than the 0.1 mg/kg WW threshold. Therefore, we applied the average annual flycatcher-nesting rate from 20 years of survey results in the San Juan River Basin to estimate the likelihood of that suitable nesting habitat within the Deposition Area would be occupied by nesting flycatchers $(16 \text{ nesting pairs} \times 1.25 \text{ percent flycatcher nesting rate per year} = 0.2)$ to be 20 percent during any one year.

Selenium

Selenium, a trace element, is a natural component of coal and soils in the area and can be released to the environment by the irrigation of selenium-rich soils and the burning of coal in power plants with subsequent emissions to air and deposition to land and surface water (EPRI 2014). Sources of selenium, both anthropogenic and natural, in the San Juan River have been reported by O'Brien (1987), Abell (1994), Blanchard et al. (1993), and Thomas et al. (1997, 1998). Selenium, although required in the diet of fish at very low concentrations (<0.5 micrograms per gram [ug/g] on a dry weight [DW] basis), is toxic at higher levels (>3 ug/g) and may be adversely affecting endangered fish in the upper Colorado River basin (Hamilton 1999,

Hamilton et al. 2005). Excess dietary selenium causes elevated concentrations of selenium to be deposited into developing eggs, particularly the yolk (Buhl and Hamilton 2000, Lemly 2002). If concentrations in the egg are sufficiently high, developing proteins and enzymes become dysfunctional or result in oxidative stress, conditions that may lead to embryo mortality, deformed embryos or embryos that may be at higher risk for mortality (Lemly 2002). Additional selenium risks are associated with dietary toxicity.

Selenium in water

Selenium concentrations can be elevated in areas where irrigation occurs on soils which are derived from or overlie Upper Cretaceous marine sediments. Thomas et al. (1998) found that water samples from DOI project irrigation-drainage sites developed on Cretaceous soils contained a mean selenium concentration about 10 times greater than those in samples from DOI project sites developed on non-Cretaceous soils. Percolation of irrigation water through these soils and sediments leaches selenium into receiving waters. Other sources of selenium likely include power plant fly ash and oil refineries in the basin (Abell 1994). Water depletions, by reducing dilution effects, can increase the concentrations of selenium and other contaminants in water, sediments, and biota (Osmundson et al. 2000).

Some tributaries to the San Juan River carry higher selenium concentrations than found in the mainstem of the river (Thomas et al. 1998; EPRI 2014; Figure 25). Increased selenium concentrations may also result from the introduction of groundwater to the mainstem of the river along its course (BIA 1999). Although these levels are diluted by the San Juan River flow, the net effect is a gradual accumulation of the element in the river as it travels downstream. For example, selenium concentrations in water samples collected from the mainstem of the San Juan River exhibited a general increase in maximum recorded values with distance downstream from Archuleta, New Mexico, to Bluff, Utah (<1 microgram per liter [ug/L] to 4 ug/L) (Wilson et al. 1995). The safe level of selenium concentrations for protection of fish and wildlife in water is considered to be less than 2 ug/L, and chronically toxic levels are considered to be greater than 2.7 ug/L (Lemly 1993; Maier and Knight 1994; Wilson et al. 1995). Dietary selenium is the primary source for selenium in fish (Lemly 1993). Thus, sediment and biotic analyses are necessary to further elucidate the risk of selenium in water to fish and wildlife. Estimations of selenium concentrations in the San Juan River include the contributions of the Navajo Indian Irrigation Project (NIIP) and other irrigated agricultural projects. Irrigation return flows from irrigation projects result in increased selenium concentrations in the San Juan River (Blanchard et al. 1993; Thomas et al. 1999).

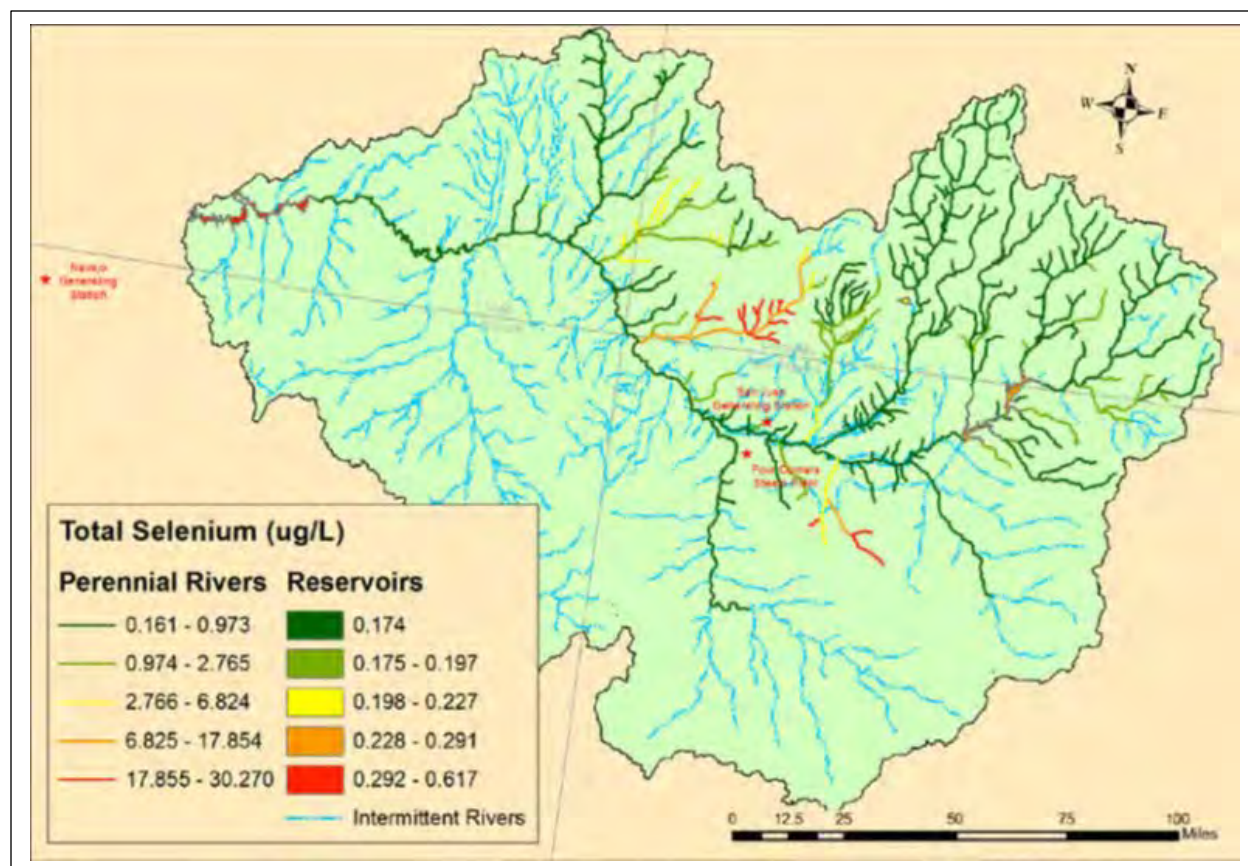


Figure 25. Total selenium concentrations in San Juan River Basin waters (EPRI 2014).

Evaluation of Selenium Effects in Endangered Fish, Critical Habitat, and Listed Birds

Selenium in water may be less important than dietary exposure when determining the potential for chronic effects to a species (USEPA 1998). A number of studies have recommended tissue-based selenium tissue benchmarks for fish and birds (Lemly 1993a, 1996b; USDO 1998; DeForest et al. 1999; Hamilton 2003; Ohlendorf 2003; Adams et al. 2003; Chapman 2007; USEPA 2014). Although there is not always consensus on the recommended tissue benchmarks, there is consensus that tissue-based selenium benchmarks are the most appropriate medium for evaluating selenium toxicity. Therefore, in this BO, estimates of effects from selenium are based on concentrations of selenium in fish tissues and their estimated dietary concentrations.

Selenium in Invertebrates

Thomas et al. (1998) reported that selenium concentrations in algae, odonates (dragonflies and damselflies), and western mosquitofish (*Gambusia affinis*) collected from aquatic habitats underlain by Cretaceous soils were significantly greater than in those collected from similar habitats underlain by non-Cretaceous soils. Median selenium concentrations were less than 2 ug/g DW for plant samples, less than 7 ug/g DW for invertebrate samples, and less than 6 ug/g DW for whole-fish samples collected from aquatic habitats underlain by non-Cretaceous soils. Similar samples collected from aquatic habitats underlain by Cretaceous soils contained median selenium concentrations two to five times greater. Blanchard et al. (1993) and Thomas et al.

(1997) reported the concentrations of selenium in biota from aquatic habitats away from the river mainstem including biota collected from irrigation drains and ponds, which had much higher concentrations of selenium in plants (20 ug/g DW), in invertebrates (32.5 ug/g DW), and in whole fish (41.7 ug/g DW) than those found in the mainstem.

Selenium in Fish

Simpson and Lusk (1999) reported on selenium concentrations in biota collected from the San Juan River mainstem (only) using data from Thomas et al. (1997, 1998) and others (Blanchard et al. 1993, O'Brien 1987, Wilson et al. 1995). Simpson and Lusk (1999) and Osmundson and Lusk (2011) reported on the concentrations of selenium in muscle tissues collected from Colorado pikeminnow and razorback suckers from the San Juan River mainstem. Selenium concentrations in razorback sucker muscle plugs collected from the San Juan River ranged from 1.1 – 5.4 mg/kg DW and averaged 3.5 mg/kg DW. Selenium concentrations in Colorado pikeminnow muscle plugs collected from the San Juan River ranged from 1.6 – 4.6 mg/kg DW and averaged 3.0 mg/kg DW (Table 5). There were no statistically significant spatial differences found using razorback sucker or the Colorado pikeminnow muscle plug selenium concentrations. Concentrations of Se in endangered fish tissues would be expected to reflect changes in decreasing atmospheric deposition after FCPP shut down of Units 1-3 in December 2013 (EPRI 2014), and then would be expected to increase slightly after 2031.

Mechanisms of Selenium Toxicity

Selenium has been shown to elicit a wide range of adverse effects in fish including mortality, reproductive impairment, effects on growth, and developmental and teratogenic effects including edema and finfold, craniofacial, and skeletal deformities (Hamilton 2004; Holm et al. 2005). Excessive selenium concentrations in fish tissues can cause a wide variety of toxic effects at the biochemical, cellular, organ, and tissue levels (Sorensen 1991). Selenium is beneficial in small amounts but can be toxic to animals at slightly higher concentrations (Sharma and Singh 1984). Maier et al. (1987) suggest the safety margin between recommended and toxic dietary concentrations may only be 10-fold. Selenium is generally one of the most toxic elements to fish, and researchers (Hilton et al. 1980; Hodson and Hilton 1983; Sorenson 1991) have reported selenium toxicity to occur at dietary concentrations only 7 to 30 times greater than those considered essential for proper nutrition (i.e., > 3 mg Se/kg DW). However, toxicity varies with fish species, temperature, life stage, exposure concentration, chemical form, the presence of pathogens, and other factors (Sorenson 1991).

Selenium Effects to Fish Ovaries and Eggs

Lemly (1998) reported that one of the outward manifestations of selenium toxicities in fish is teratogenic deformity. Teratogenic deformities (or terata) are permanent congenital malformations that have been attributed to excessive selenium in eggs (Lemly 1998). Excess dietary selenium of the female is deposited into the developing egg, particularly in the yolk (Lemly 1993b, 1998). In fish, yolk precursors (vitellogenin) are synthesized in the maternal liver, exported via blood, and incorporated into the developing ovarian follicle and become yolk proteins (Arukwe and Goksøyr 2003). When eggs hatch, larval fish use the selenium-contaminated yolk, both as an energy supply and as a source of protein for building new body

tissues. During this life stage (fry), permanent developmental anomalies (e.g., spinal curvatures, missing or deformed fins, and craniofacial deformities) and other effects (e.g., edema) in fish can be related to elevated selenium in eggs (Hodson and Hilton 1983; Lemly 1993a; Maier and Knight 1994; Hamilton 2003). While hatchability is not affected, Lemly (1996) reported an increase in the incidences of teratogenic deformities when selenium concentrations in egg exceed 10 µg/g DW.

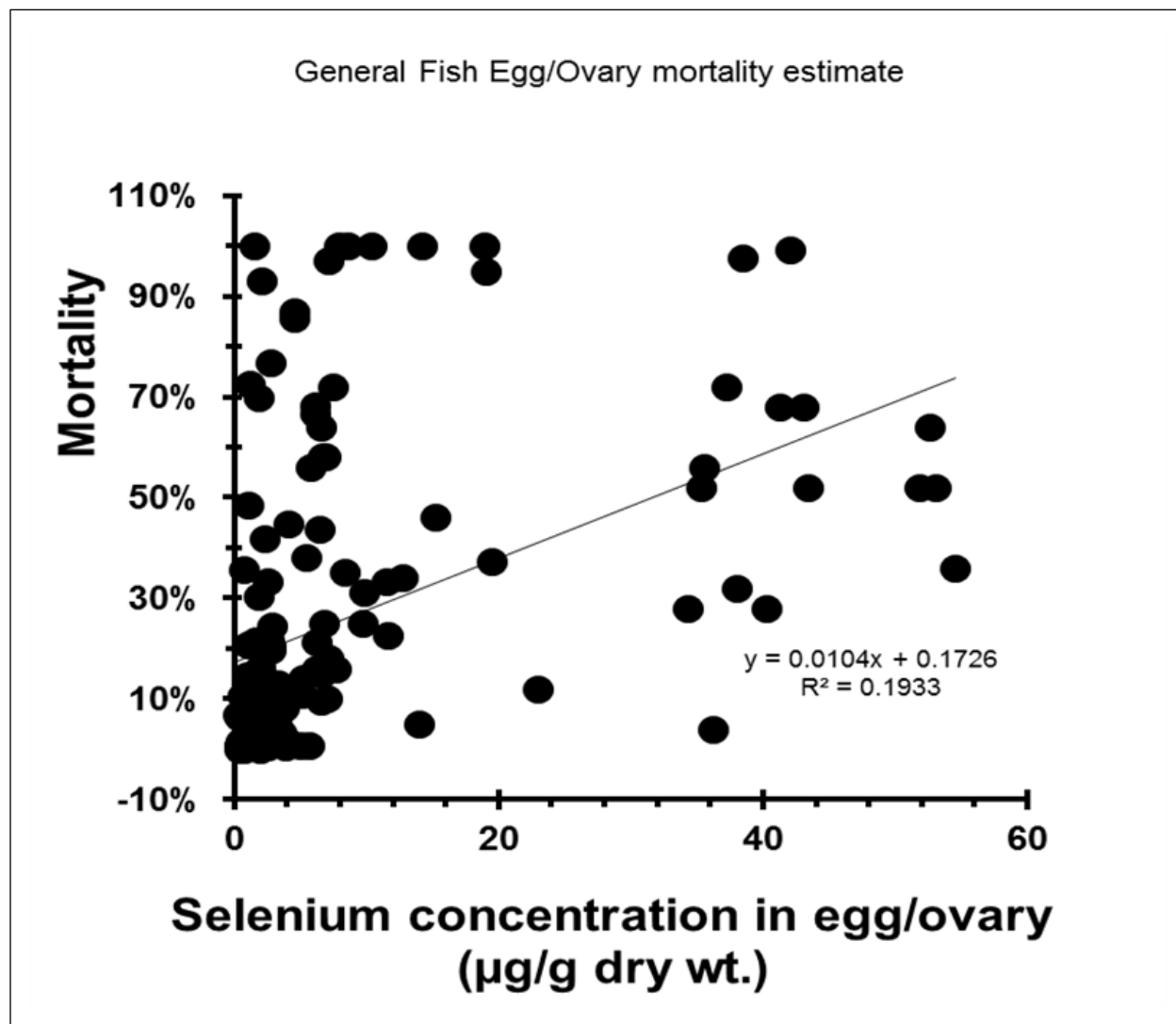


Figure 26. Selenium concentration (mg/kg DW) in fish eggs and relationship with associated mortality, deformity, or failure to hatch from a variety of toxicity studies (see text; Lusk 2015).

Dietary Selenium Toxicity to Fish

Studies have shown that diet is the primary route of exposure that controls chronic toxicity to certain fish (Coyle et al. 1993, Hamilton et al. 1990, Hermanutz et al. 1996, EPA 1998d, 2004, 2014). Selenium is required in the diet of fish at very low concentrations (< 0.5 mg/kg DW) (Hilton et al. 1980, Hodson and Hilton 1983, Doroshov et al. 1992). Threshold and concern

levels encompass a range of dietary selenium of 2 to 10 mg/kg DW, with adverse effects a certainty as the upper limit is exceeded (Presser and Luoma 2006, Skorupa 1998a). Selenium concentrations in diets greater than 10 mg/kg DW have been consistently implicated in adverse effects on reproduction in a variety of avian, fish, and mammalian predators (Hodson and Hilton 1983; Woock et al. 1987; Heinz et al. 1989; Doroshov et al. 1992; Coyle et al. 1993; Lemly 1996a, 1997a; Hamilton et al. 1990, 2005b; Heinz 1996; Hamilton 2003, 2004). Reproductive failure in adults has been associated with their dietary concentration of 30 to 35 mg/kg DW (Skorupa 1998a, Woock et al. 1987, Coyle et al. 1993). Feeding excessive Se to larvae, fry, or adults does not directly cause malformations in the recipient, but survival of larvae fed elevated Se and can be severely compromised (Lemly 1998; Hamilton et al. 1990, 2001a, 2001b). Dietary Se toxicity to larval survival can occur at the same time that adult fish appear healthy.

McAda and Wydowski (1980) and Bestgen (1990) suggested that the diet of razorback sucker was composed primarily of “ooze,” (e.g., plant detritus with associated bacteria, fungus and zooplankton) as well as insect larvae, such as found in low-velocity habitats of the San Juan River. Potential dietary items of larval razorback sucker would likely be small invertebrates (such as zooplankton) found in the mainstem or at the mouths of tributaries, in irrigation drains, and in associated wetlands. Papoulias and Minckley (1992) found that razorback sucker larvae exhibited prey-size selection, based on body width, and consumed prey from 0.1 to 0.4 mm. Selenium concentrations in zooplankton from the San Juan River Basin have not been reported.

From a caloric standpoint, zooplankton have similar energy content to invertebrate brine shrimp (Hamilton et al. 2001a). Chironomid worms have been identified as having elevated Se concentrations in comparison to other invertebrates (Hamilton et al. 2001). Chironomids have also been identified as an important dietary item for both Colorado pikeminnow and razorback sucker (USFWS 2002a,b). Because the caloric contents of zooplankton and aquatic invertebrates are similar (even though concentrations in zooplankton may be higher than in invertebrates), it seemed appropriate to estimate dietary concentrations to larval razorback sucker and Colorado pikeminnow based on the selenium concentrations reported in both plants (25 percent) and invertebrates (75 percent) by Simpson and Lusk (1999) and AECOM (2014). Average dietary Se concentrations in diets containing this ratio (25:75) of plants and invertebrates would be expected to have Se concentrations ranging from 2.7 to 2.9 mg/kg DW in the environmental baseline condition.

For larval razorback sucker, the range of dietary concern is approximately 2 to 5 mg/kg DW because of studies involving sensitive species, life stages, and endpoints (Beyers and Sodergren 1999; Hamilton et al. 2001a, 2001b, 2002, 2005b). Using these and other data, we developed a larval (12 to 45 days) fish survival relationship to larval dietary Se concentrations based on the assumed diet of both larval razorback sucker and larval Colorado pikeminnow in the San Juan River Basin (Equation 11).

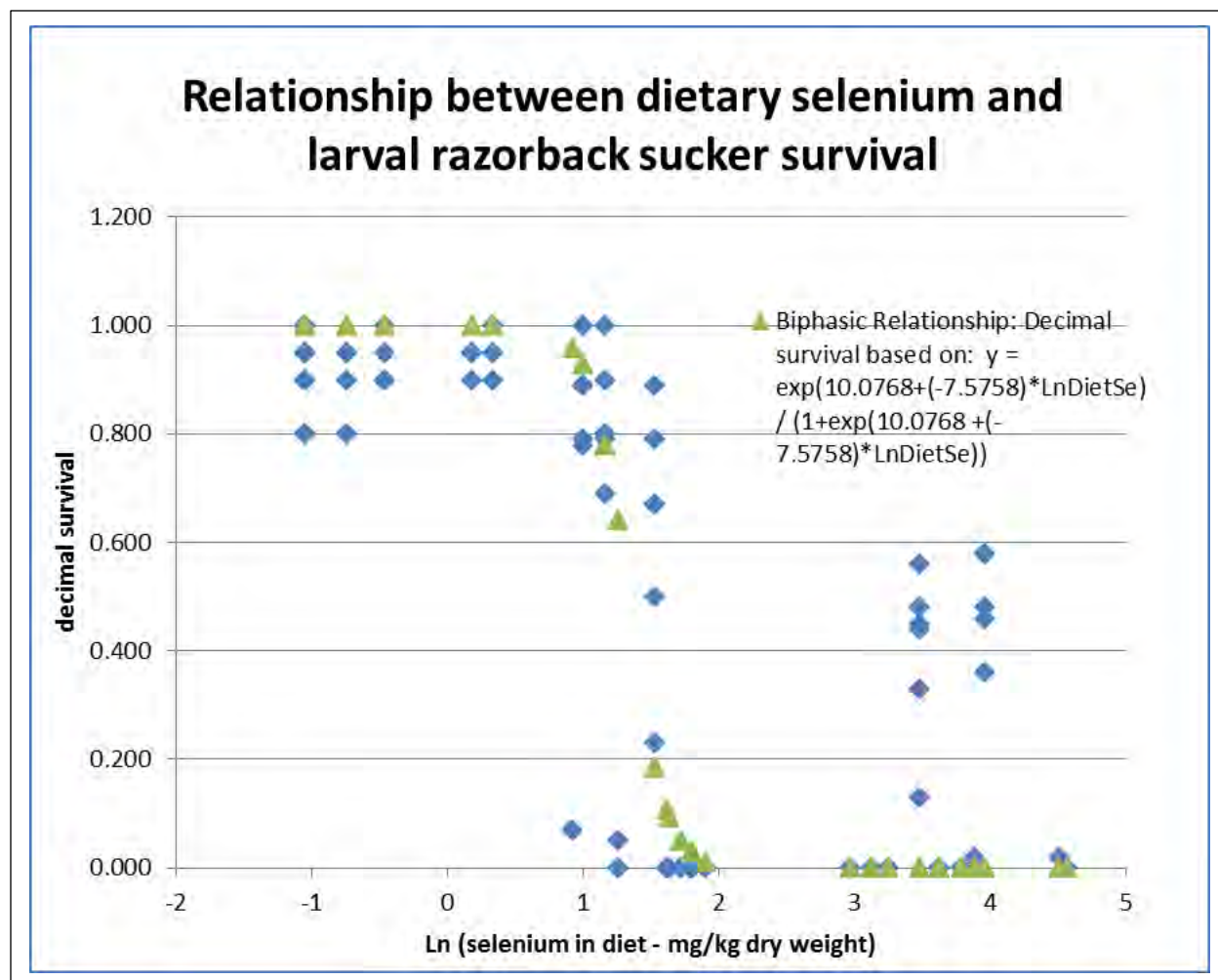


Figure 27. Biphasic relationship between dietary selenium in fish diets (in mg/kg DW) and larval survival (as a decimal) based on studies involving razorback sucker (see text; Lusk 2015).

Effects of Se to listed birds are discussed in the Hg effects section, above, and as described by AECOM (2014), and incorporated here by reference.

Population Impacts of Selenium in the Environmental Baseline

Quarterone and Young (1995) suggested that irrigation and pollution were contributing factors to razorback sucker and Colorado pikeminnow population declines. Hamilton (1999) hypothesized that historic selenium contamination of the upper and lower Colorado River basins contributed to the decline of these endangered fish by affecting their overall reproductive success, including loss of eggs and larvae. These fish can live over 40 years (Behnke and Benson 1983), increasing their frequency of exposure to both dietary and waterborne selenium. In addition, they often stage at tributary mouths such as the Mancos River before spawning, increasing their exposure to elevated levels of dietary selenium (Wilson et al. 1995).

Interactions of selenium and other elements

Many different compounds interact with selenium. Selenium does not aid the excretion of Hg; instead, it increases the accumulation of an inert form, including mercury-selenide (Himeno and Imura 2002), although conflicting studies exist; Huckabee and Griffith (1974) reported selenium increased the toxicity of mercury. Interactions between Se and Hg are known to be concentration-dependent (Kim et al. 1977). Interactions between Se and Hg can be synergistic at low mercury concentrations (<0.07 ppm) and antagonistic at high concentrations (>0.10 ppm) in water (Kim et al. 1977). Cuvin and Furness (1988) reported that Se protected minnows against Hg toxicity as a molar ratio of 2.5:1 Hg:Se. However, a 1.3:1 molar ratio caused increased mortality compared with 0.3 ppm Hg only. Therefore, the studies of Cuvin and Furness (1988) and Kim et al. (1977) demonstrated that antagonistic and synergistic toxic interactions between selenium and mercury are possible and are a function of the concentrations of the two elements and the molar ratio of one to the other (Sorensen 1991). The underlying mechanisms regarding the interactions between Se and Hg, the compounds that are formed in tissues and the conditions that are responsible for Hg:Se antagonism remain unclear (Kahn and Wang 2009).

Numerous pollutants are often released into the environment and result in a mixture of elements that is unique to each aquatic system. Categorization of various elemental mixtures in the environment or in the fish as synergistic or antagonistic can depend on the concentrations, their bioavailability, water temperature, the molar ratios of Se and Hg, the fish species, and other factors (Sorensen 1991). The available data also do not show whether the various inorganic and organic compounds and oxidation states of selenium are equally effective sources of selenium as a trace nutrient, or as reducing the toxic effects of various pollutants (EPA 2004). As some of the accumulations of Se and Hg will result in irreversible injury, and the optimal antagonistic molar ratios for Se and Hg in the environment (along with other elements and environmental stressors) have not been determined for the Colorado pikeminnow, razorback sucker, or their prey sufficiently to address the antagonistic interactions between Se and Hg, they were not further addressed by this analysis.

Environmental Baseline Conditions of Flycatcher and Cuckoo Riparian Habitat

Past and present federal, state, and private activities have affected flycatcher and cuckoo habitats within the Action Area including urbanization, agricultural conversion, irrigated agriculture, pollution impacts to prey density, river maintenance, flood control, dam operation, and water diversions (TNC 2013). There are efforts underway to restore riparian habitat in the San Juan River Basin (TNC 2013). Restoration efforts are aimed at developing suitable nesting and foraging habitat for flycatcher and cuckoo along the San Juan River over the next 25 years. Because of disturbance, infestation of Tamarisk Leaf Beetle, and riparian management, it is not anticipated that quality nesting habitat for flycatcher or cuckoo will improve near Morgan Lake.

Climate Change

Climate change has and will occur and affect endangered species and their habitat over the duration of the Proposed Action and beyond, whether or not the Proposed Action occurs. Climate change over the coming decades and centuries has the potential to affect many organisms, including freshwater fish. Climate change has the potential to change precipitation

patterns, including the timing, intensity, and type of precipitation received; runoff patterns based on the amount of precipitation falling as snow and when snowmelt occurs; and atmospheric temperatures, which exhibit a strong influence on water temperatures.

According to the NRC (2007), air temperature has increased by 1.4°C in the last century. The Colorado River Basin has warmed more than any other part of the U.S. Warmer air temperatures will lead to increased evaporation from Navajo Reservoir. This increase is expected to reduce water availability, operational flexibility, and the quality and quantity of fish habitat, which are important elements to native fish in the river downstream.

Native fish in the San Juan River cannot move upstream in response to climate change because their migration is blocked by Navajo Dam, which precludes migration to more favorable upstream areas as a behavioral adaptation to changing climatic conditions. However, Navajo Dam currently releases water that is colder than what would naturally be present during the summer and fall months (USFWS 2006). Thus, the temperature effect of climate change might be offset by operation of the Navajo Dam.

Climate change models agree that the southwest will get drier in the next century, with runoff decreasing 8 to 25 percent (Seager et al. 2007), resulting in decreased water availability. This reduction in precipitation will make it increasingly challenging to meet the Flow Recommendations for the San Juan River, established to protect listed fish and other native fish species, especially the high-flow requirements that provide for channel maintenance and create or renew habitat for listed fish. In the current drought, Reclamation has not been able to provide the required number of days of flow over 10,000 cfs since 2005 (BOR 2012).

Reduced flow levels may also exacerbate contaminant issues, as less dilution of contaminants in the river would occur.

EFFECTS OF THE PROPOSED ACTION

Effects of the action means the direct and indirect effects of an action on the species or designated critical habitat, together with the effects of other activities that are interrelated or interdependent with that action, that will be added to the environmental baseline (50 CFR 402.02). Indirect effects are those that are caused by a proposed action and are contemporaneous or later in time, but are still reasonably certain to occur. Interrelated actions are those that are part of a larger action and depend on the larger action for their justification; ‘interdependent actions’ are those that have no independent utility apart from the action under consideration (50 CFR 402.02). If the proposed action includes offsite conservation measures to reduce net adverse impacts by improving habitat conditions and survival, the Service will evaluate the net combined effects of the proposed action and the offsite conservation measures as interrelated actions. Future Federal actions that are not a direct effect of the proposed action and not included in the environmental baseline or as indirect or interrelated effects are not considered in this BO.

The proposed action, including the specific operations of FCPP and NMEP are described above and in the EIS (OSMRE 2014a) and the BA (OSMRE 2014b); (OSMRE 2015). Types of effects were categorized by activity or by project element (Navajo Mine operations or FCPP operations):

1. Effects of Navajo Mine Operations;
2. Effects of Noise and Vibration;
3. Effects on Surface Water Hydrology;
4. Effects of Stormwater Runoff, Point source, and Other Authorized Discharges;
5. Effects of Entrainment at Cooling Water Intakes above APS Weir;
6. Effects of Operation of APS Weir on Endangered Fishes;
7. Effects of Nonnative Species Release from Morgan Lake; and
8. Effects of Atmospheric Emissions, Deposition, and Bioaccumulation;

COLORADO PIKEMINNOW AND RAZORBACK SUCKER

Effects of Navajo Mine Operations

Navajo Mine Operations will not have an adverse effect on the Colorado pikeminnow and razorback sucker.

Effects of NMEP and FCPP Operations on Surface Water Hydrology

All of the water supply for Navajo Mine (and FCPP) Operations is obtained from the San Juan River by diversion through cooling water intakes at the APS Weir. It is then pumped into Morgan Lake, and transported to various locales for various uses (BA, pages 2-19 to 2-60). An average of 27,682 AFY of San Juan River water (ranging from 25,327 to 28,981 AFY) is used by the FCPP and Navajo Mine annually. All water supply at Navajo Mine and FCPP is supported by a water right owned by BHP Billiton New Mexico Coal Company. (BA, Section 2). The

surface water right includes up to 51,600 AFY diversion and allows 39,000 AFY to be consumed.

Navajo Mine Operations in the Pinabete Permit Area are within the Chaco River Watershed (Hydrologic Unit Code 14080106), which drains 4,563 square miles of the San Juan River Basin (Hydrologic Unit Code 1408). The Navajo Mine lies on the eastern side of the Chaco River Watershed. Navajo Mine Operations would affect some portions of Cottonwood Arroyo and Pinabete Arroyo, which are the primary drainage pathways for runoff through the Pinabete Permit Area. Cottonwood and Pinabete Arroyos are ephemeral sand bed, tributary drainages that pass through the northern portion of the Pinabete Permit Area. Cottonwood Arroyo is one of the largest of the Chaco River tributaries with a drainage area of approximately 80.1 square miles (1.8 percent of the Chaco River Basin), though only approximately 6 percent of the Cottonwood Arroyo drainage area is within the permit area. Pinabete Arroyo has a drainage area of about 60 square miles (1.4 percent of the Chaco River Basin). Approximately 16 percent of the Pinabete Arroyo watershed is within the Pinabete Permit Area. Together the area of the mine drained by these arroyos is about 0.3 percent of the total area of the Chaco River watershed.

Natural runoff in these (and other) tributaries may be intercepted or diverted around mining activities or during other Navajo Mine Operations. The interception of surface water may diminish the volume of runoff from these areas that enters into the Chaco River Basin. Navajo Mine Operations conducted hydrologic modeling that indicated that intercepted flows would be approximately 757 acre feet per year (AFY) in the Pinabete Arroyo drainage, and 403 AFY in Cottonwood Arroyo drainage, assuming the entire drainage was mined in a year. In actuality, these areas would be likely mined variously over 25 years, so the potential impact would be smaller; on average about 46 AFY ($757 \text{ AFY} + 403 \text{ AFY} = 1,160 \text{ AFY} / 25 \text{ years} = 46.4 \text{ AFY}$). We compared this average intercepted water volume to the average annual flow of the Chaco River near Waterflow, New Mexico (USGS Gage 09367950) for the 18 year period of record (1977-1995), which was 35,133 AFY. The average annual volume of intercepted flows from these arroyos to that of the Chaco River was approximately 0.13 percent ($=46.4 \text{ AFY} / 35,133 \text{ AFY} = 0.00132$) and could range from 0.1 to 0.6 percent even if the annual rate of intercepted water were doubled in any particular year as compared to range of reported Chaco River flow). The Chaco River drains to the San Juan River near Shiprock. Average annual flow at Shiprock, approximately 2 miles downstream of the Chaco River confluence from the 2000 to 2014 water years was 415,484 cfs (USGS gaging Station 09368000), so this interception of flow represents approximately 0.05 percent of the flow of the San Juan River. After these areas are mined or modified, these drainages would be reconnected to restore their natural flow patterns (OSMRE 2014), which would be anticipated to restore surface runoff flow.

Similarly, the FCPP Operations intercept surface water flows to protect against the entry of contaminants from the Dry Fly Ash Disposal Area. This could affect flows in downstream water bodies including the Chaco and San Juan Rivers (BA, page 7-6). Because of extensive and existing water depletions from the San Juan River there is no minimum amount of water depletion that is considered insignificant in its effects to the Colorado pikeminnow and razorback sucker. This is because the Service determined that any depletion is likely to have adverse effects on the Colorado pikeminnow and razorback sucker, as well as their designated critical habitat.

Effects of Stormwater Runoff, Point Source, and Other USEPA Authorized Discharges

The proposed action includes the present and future issuance of National Pollutant Discharge Elimination System (NPDES) permits by USEPA for discharges associated with various activities such as coal mining, stormwater runoff, and other discharges (BA, Section 2). Under these permits, the Navajo Mine Operators are required to control all surface runoff water with the potential of being contaminated from contact with mining activities. Various polluted effluents are permitted to be discharged through conveyance facilities (e.g., pipes, ditches, etc.) that end in “outfalls” to the environment. Outfalls 1 and 2 discharge to Morgan Lake and which then eventually discharges to the Chaco River that is a tributary to the San Juan River. Outfalls 003 to Outfall 019 discharge to the Chaco River. Outfall 020 discharges to the San Juan River (USEPA 2008). There are currently 14 outfall locations on Navajo Mine Lease Areas 1, 2, and 3, and the proposed action may enable USEPA to authorize up to 26 more discharge outfalls in Areas 3, 4, and 5 (USEPA 2013). The USEPA has required monitoring at selected outfalls for arsenic, boron, cadmium, lead, Se, sulfate, and total dissolved solids. The USEPA has also established requirements that a Sediment Control Plan be designed, implemented, and maintained using BMPs at the Navajo Mine so that the Operators demonstrate that stormwater discharges will result in average, annual, sediment yields that will not be greater than similar sediment yields determined for from pre-mined or undisturbed conditions.

Effluent discharges from FCPP operations are also being authorized by NPDES permits issued by USEPA (Figure 28). The cooling water discharges will occur through an outfall to Morgan Lake, which discharges to No Name Wash (a 2.5 mile-long tributary to the Chaco River), which in turn drains approximately 7 miles of the Chaco River then to the San Juan River. These discharges are intermittent with an average of 2.5 days per week of discharge for about 6 months in a year. The rest evaporates. The average flow rate for the discharge is 4.2 million gallons a day (6.5 cfs). Discharges are mostly conducted to regulate the accumulation of salts (total dissolved solids) in Morgan Lake. Stormwater discharges associated with the electric steam generation boilers and other related facilities flows to the Combined Waste Treatment Pond for treatment and is discharged to the Condenser Cooling Water Discharge Canal. Parking lots, switchyards and other open areas are discharged to the Condenser Cooling Water Intake Canal through permitted discharge points. Stormwater in the ash disposal area is discharged to Chaco Wash after BMP treatment in accordance with a storm water construction permit. FCPP Operations also include road and vegetation maintenance activities conducted at Transmission Line crossings authorized under a General Construction Permit.

USEPA authorizes the use of a Practical Quantification Level (PQL) of a pollutant as part of the effluent limits, which is the numerical result considered accurate. In cases where the PQL exceeds the effluent limitation in a NPDES permit, an analytical result at or below the PQL is deemed by USEPA to constitute compliance with the NPDES permit effluent limitation. This practice can result in PQLs that are greater than concentrations expressed in the applicable water quality standard. We therefore expect that NPDES permits identifying outfalls with the potential to discharge Hg will provide monitoring data for Hg using Method 1631E or another sufficiently sensitive EPA-approved method. For purposes of permit applications, a method for Hg is “sufficiently sensitive” when (1) its method quantitation level is at or below the level of the applicable water quality criterion for Hg or Se (2) its method quantitation level is above the

applicable water quality criterion, but the amount of Hg or Se in facility's discharge is high enough that the method detects and quantifies the level of Hg or Se in the discharge.

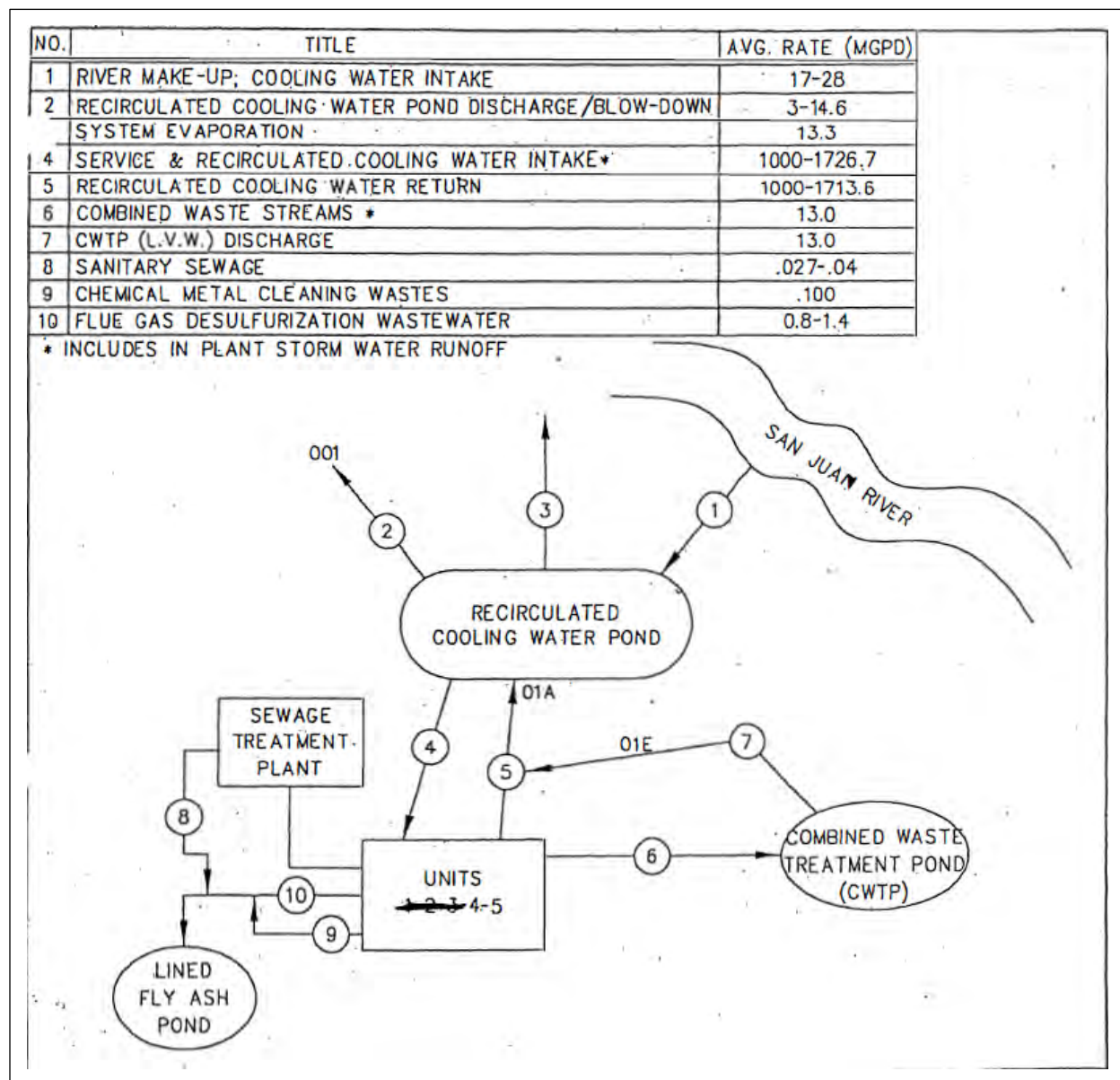


Figure 28. Effluent wastewater pathways associated with Four Corners Power Plant operations.

However, for all NPDES permit actions, we anticipate that a PQL for Se of 1 ug/L and a PQL for total Hg of 0.0002 ug/L will be used. Using the PQLs and the bioaccumulation factors (BAF) provided in the BA (OSMRE 2014, page 6-18) for Se (BAF = 485 L/mg), we expect Se in whole body razorback suckers and Colorado pikeminnow to increase to approximately 2.4 mg/kg wet weight and their egg Se concentrations would increase to 13.6 to 19.4 mg/kg DW resulting in an increase in egg mortality ranging from 4 to 5 percent. Using the PQL for Hg and the BAF provided in the BA (OSMRE 2014, page 6-18) for total Hg (BAF = 3,520), we expect Hg in whole body razorback suckers and Colorado pikeminnow to be approximately 0.1 mg/kg wet weight and therefore, associate a 2.8 percent reproductive injury and a 0.5 percent survivorship

injury (Table 8). We conclude that in both cases, the PQLs used in the NPDES permits or discharges of Hg and Se would be associated with a wide range of adverse effects to the Colorado Pikeminnow and razorback sucker and their designated critical habitat.

Discharges from the Ash Disposal Areas authorized by USEPA and OSMRE

The DEIS reported two areas of groundwater seepage at the Ash Disposal Area known as the “north seep” and “south seepage area”, which have identified contaminated groundwater (p. 4.5-57). Se concentrations beneath the Ash Disposal Area have exceeded USEPA drinking water quality standards (APS 2013). However, APS has installed extraction wells and finished a two part seepage intercept project. This project serves to intercept and prevent water seepage from both the north seep and south seepage area into the Chaco River, west of the plant in the ash disposal area. The intercept project consists of two French drains running approximately 2 miles. The trenches for the French drains were constructed down to an impermeable shale layer to ensure maximum water capture. Water is collected from the French drains and pumped to a lined pond. The operation of the intercept trenches, as well as the monitoring of groundwater by monitoring wells as well as inspection and monitoring to ensuring that any pollutant sources present in ground water that re-surfaces via seeps can be traced so that corrective actions can be undertaken. According to the BA, with the operation of intercept trenches and water extraction wells, continued operation of the ash disposal ponds should have little potential to contaminate water quality in Chaco Wash.

There are inactive ash disposal areas that previously received flue gas emission control residuals, boiler acid cleaning waste, treated sewage, chemical metal cleaning wastes, air preheater wash, co-disposal waste, and turbine foam cleaning waste. The Lined Decant Water Pond has a capacity of 517 acre-feet, although this liquid is continually pumped back to the power plant to be used in its operations, and so generally contains 135 to 435 acre feet of water (APS 2011b). These facilities are lined and all dikes are constructed in accordance with specifications approved by the New Mexico Office of the State Engineer, Dam Safety Bureau. A safety inspection, performed in 2009, found the dams and dikes associated with the ash disposal to be satisfactory.

The Ecological Risk Assessments (ERA) conducted under the EIS could not rule out risks to Colorado pikeminnow, razorback sucker, (and flycatcher, and cuckoo) in the San Juan River Basin, due to their exposure to Hg and Se. . Based on this and the factors above, the effects of the effluent discharges, likely have an adverse effect on the listed species by increasing the Hg and Se in the body burdens of Colorado pikeminnow, razorback sucker, flycatcher, and cuckoo in the action area.

Effects of Entrainment at the Cooling Water Intakes above APS Weir

The intakes that supply water to Morgan Lake from the San Juan River likely result in the entrainment of endangered fish from the San Juan River (BA, Section 7). These river intakes consist of two 8 by 8.5-foot intake structures that occur just upstream of the APS Weir. The west intake volume was measured at 18,250 gallons per minute (40.7 cfs) and the east intake was measured at 16,000 gpm (35.7 cfs) (R. Grimes, FCPP, pers. comm., December 16, 2014). Both intakes are fully screened with 1- by 3-inch mesh screens to keep out debris and some fish. The

minimum approach velocity at the west intake is approximately 0.64 feet per second (fps) and that at the east intake is approximately 0.56 fps. During low flows, when the screens are often not fully submerged, approach velocity increases (at 6 feet of depth) to ~0.85 fps at the west intake and to ~0.74 fps at the east intake. The river intakes are operated at any time of day, as needed, with increased need during high summer temperatures. The west intake (40.7 cfs) is generally used during the October to May timeframe, when average monthly flows in the river at Farmington are between 784 to 3,490 cfs (USGS Gaging Station 09365000, 2004 to 2013 water years). Both intakes (76.4 cfs) are generally used during the May through October timeframe, when average monthly flows in the river are between 913 to 3,316 cfs. Thus, the maximum seasonal proportion of flow diverted to Morgan Lake ranges from $(=40.7/3490)$ 1.2 to $(=40.7/784)$ 5.2 percent during the October to May timeframe, and $(=76.4/3316)$ 2.3 to $(=76.4/913)$ 8.4 percent of the flow in the June to September timeframe, when larval native fishes are known to drift within the water column and be subject to currents and flow.

The maximum diversion allowed pursuant to New Mexico Office of the State Engineer Permit 2838 is 51,600 AFY and depletion is 39,000 AFY. As noted in the BA, the full amount of the consumptive water rights under Permit 2838 has been accounted for in the SJRRIP's water accounting and factored into the flow recommendations for the San Juan River. While the FCPP and Navajo Mine would maintain the ability to divert and consumptively use as much water as the rights allow for the Project life, annual water use is expected to be reduced by 5,000 to 7,000 AFY with the closure of Units 1, 2, and 3 at the end of 2013. Average consumptive use has been 27,682 AFY. Assuming a reduction of 5,000 AFY, this would equate to average consumptive use of ~22,682 AFY. The reduction in diversion would be accomplished by running the diversions in the same manner as they have been operated historically, but for shorter periods of time. Therefore, depending on the operational mode of the two intakes, approach velocities could range from 0.56 to 0.85 fps, and may depend on the mode of diversion (one intake or two) and the amount each screen is submerged. There may be time periods at which one of these intakes are on, but the range of approach velocities are expected to remain the same, even with possible reduced diversions.

No entrainment studies have been conducted at this diversion. Fish species, life stage, period of movement or migration, timing, other fish species, predator presence, human activity, fish behaviors, light and acoustic conditions, water quality, and swimming performance of the endangered fish life stages may affect the number and types of endangered fish that are entrained (drawn into the pumps, pipes, and into Morgan Lake, injured by barotrauma, or are killed).

On August 15, 2014, EPA promulgated revised regulations on the design and operation of electric steam plant intake structures, in order to minimize adverse environmental impacts. Because the facility intakes greater than two million gallons per day (mgd) of cooling water from the San Juan River, it must meet requirements under CWA Section 316(b), regulating the design and operations of intake structures for cooling water operations. APS operates a closed-cycle recirculating system, circulating from around 1,000 up to about 1,700 million gallons a day (MGD) through Morgan Lake, a man-made cooling water impoundment.

APS will be required to undertake all appropriate measures to reduce impacts from impingement and entrainment at the river intakes (40 CFR Parts 122 and 125, EPA 2014b), as determined by

EPA. When EPA imposes any applicable requirements, EPA will determine the specific action(s) to be taken in accordance with the regulations. All such future actions would be expected to either maintain (in the event that current operations meet USEPA standards) or reduce entrainment risk over existing levels.

Effects of Entrainment at Cooling Water Intakes on Colorado Pikeminnow

The maximum approach velocity of 0.85 fps in the summer would be exceeded to entrain nearly all the Age 0 Colorado pikeminnow in the vicinity of the intakes that are less than approximately 93 mm in total length (at 10C, 91 mm TL at 14C, and 87 mm TL at 20C) that have a sustained swimming ability of less than 0.85 fps (depending on water temperature, see Childs and Clarkson 1996 and Figure 29, below). For fish with planktonic larvae, such as Colorado pikeminnow, these larvae are often assumed to be entrained in proportion to the amount of flow diverted, as they tend to drift with the current. Older pikeminnow life stages are generally capable of directing their movements independently from the current. For the larger life stages, the proportion of flow diverted is less likely indicative of impingement risk. Also, Colorado pikeminnow eggs are demersal and would rarely drift and therefore, are unlikely to be entrained, and were not estimated.

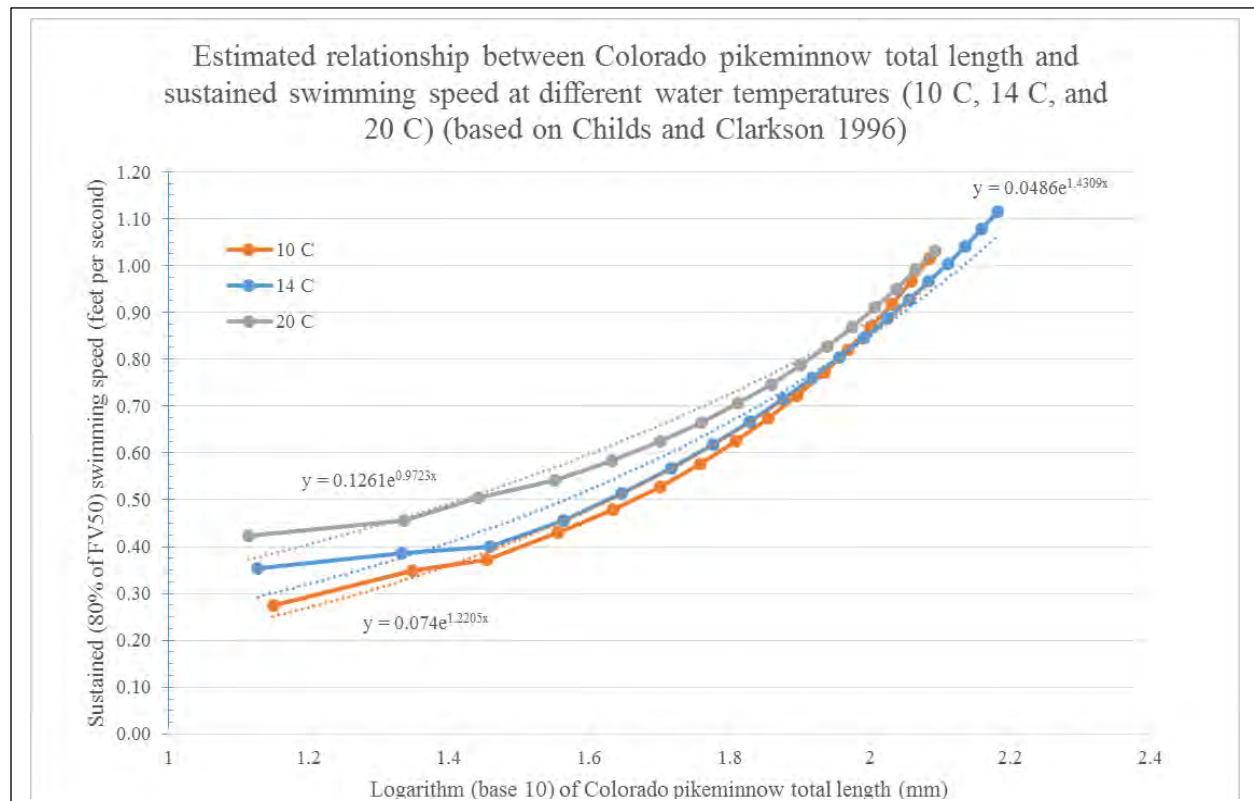


Figure 29. Estimate of swimming speed of Colorado pikeminnow by size (TL in mm) and temperature (extrapolated from three early life stages based on Childs and Clarkson 1996).

The USFWS (2009) estimated that Colorado pikeminnow spawning could potentially occur between River Miles (RM) 128 and 180. The cooling water intakes are located at APS Weir at

RM 163.3, therefore, about 26 percent of the available spawning habitat is upstream of the weir. The SJRRIP has proposed to implement fish passage around APS Weir, thereby opening up this upper reach to more spawning adults in the near future (~6 to 10 years). Lacking information on the actual distribution of spawning Colorado pikeminnow, an assumption of equal distribution within the available spawning habitat was reasonable for that minority of fish (30 percent) that do not use the preferred Mixer Area to spawn (70 percent). When Colorado pikeminnow attains recovery, as many as 203 female pikeminnow could occupy the San Juan River and as many as 30 percent of them (~61) could spawn upstream or away from the Mixer Area. We assumed that only one third of that minority population would actually spawn upstream of the APS Weir, or approximately 20 females.

The Colorado pikeminnow spawning above the APS Weir could be as many as 20 females (USFWS 2006). With each of those females producing an average of 50,000 eggs, the total produced could be as many as 1,000,000 eggs upstream of APS Weir. Valdez (2014) estimated that survivorship of eggs was approximately 30 percent and then survival of Age 0 fish was approximately 54 percent. Therefore, of the total eggs expected, as many as 300,000 eggs might hatch, and then as many as 162,000 pikeminnow larvae could be produced upstream of APS Weir. Of those, up to 8.4 percent (13,608) would be potentially entrained, assuming these fish are entrained in proportion to the amount of flow entrained. Water temperatures currently upstream of APS Weir are often likely too cool to support robust spawning and rearing of Colorado pikeminnow (Durst and Franssen 2014), which may result in a smaller proportion of adults spawning in the area above APS Weir.

We also evaluated the number of Age 0 pikeminnow entrained by other similar diversions in the San Juan River. Prior to installation of fish screens and passage at Hogback Diversion, it seasonally diverted up to 22 percent of the flow, and loss of pikeminnow larvae was estimated at 9 to 12 percent (USBR 2009). Compared to 56,000 AFY at APS Weir, the total diversion at Hogback was much less (12,100 AFY, but the daily diversion rate was more (<200 cfs versus 74.6 cfs at APS Weir) to meet seasonal agricultural demand. Diversions for the Navajo Gallup Water Supply may divert up to 59 cfs per day, or about 4 percent of flow, and is expected to entrain between 1 to 4 percent of pikeminnow larvae (5,400). Finally, estimates of loss of Age 0 pikeminnow larvae due to cold water temperatures and drift into Lake Powell was estimated at 48.3 percent of the entire Age 0 pikeminnow population (USFWS 2006).

The SJRRIP currently augments the San Juan River with hatchery-reared Colorado pikeminnow. Approximately 400,000 Colorado pikeminnow, approximately 6 months of age (50 to 65 mm total length (TL)), are stocked each year. Since 2007, nearly all of these fish have been stocked above the APS Weir and they are vulnerable to entrainment at FCPP's river station intakes. These fish are stocked in October and November when flows in the San Juan River are 728 to 1,530 cfs (USGS Gage 09365000). The diversion is typically operating only the west intake at this time and anywhere from $(=40.7/1,530)$ 2.7 to $(=40.7/728)$ 5.6 percent of the flow is being diverted. With a sustained swimming speed of between 0.5 to 0.6 fps, and cooling water intake velocity at approximately 0.65 fps, it is likely that some portion of the stocked pikeminnow will also be entrained. These fish swim actively so they would not be entrained in proportion to the amount of flow diverted. However, up to 5.6 percent of the pikeminnow stocked could also be entrained in the cooling water intakes. We consider 13,608 the maximum estimated number of

Age 0 pikeminnow entrained by the river station intakes per year as it also includes entrainment of some of the fish stocked in autumn .

Colorado pikeminnow may remain vulnerable to entrainment for some time after the initial stocking. The exact size of a pikeminnow vulnerable to entrainment at the 1 by 3 inch screens (an ellipse of 1,520 mm² would fit inside each square) at the intake may be related to the size of its girth. The girth of Colorado pikeminnow has not been reported, therefore, we assumed that its shape was similar to flannelmouth sucker, whose body depth has been reported (Portz and Tyus 2004). Using the dimensions for body depth, and an estimate of 2/3rds of body depth for its width, we estimated that a Colorado pikeminnow of 385 mm TL, approximately 54 mm in depth, and about 28 mm wide, could pass through the 1 by 3 inch openings in the screens covering both cooling water intakes. In September 2012, there were 45 Colorado pikeminnow within 10 river miles of APS Weir less than 385 mm TL. In four months in 2013, there were 99 individuals less than 385 mm TL, or about 25 per month. Therefore, in any month as many as 25 pikeminnow less 385 mm TL near the cooling water intakes are entrained.

Based on entrainment, adverse effects to Colorado pikeminnow will occur.

Effects of Entrainment at Cooling Water Intakes on Razorback Sucker

The APS cooling water intakes might entrain some larval and older razorback suckers too. Razorback suckers spawn on the ascending limb of the hydrograph during the spring. Their larvae are found in the drift from late March to early July. Spawning is assumed to occur between RM 100 and 180, with the effort spread evenly throughout the reach (USFWS 2009). The intakes are about 16 miles below the top of the spawning reach and thus may affect about 20 percent of the potential spawning and nursery habitat. Average flow during their spawning season between 2003 and 2007 ranged from 717 to 6,455 cfs (USFWS 2009). During the spawning season, the Proposed Action would divert 37 cfs in March and April and 71 cfs in May and June. Thus the Proposed Action would divert between 0.6 percent of the flow in low diversion operations at high flows and 9.9 percent of the flow at high diversion operations during lower flows. Based on the distribution of spawning and the proportion of flow diverted, it is anticipated that between 0.1 and 2 percent of recently spawned razorback sucker may be entrained.

1.

A study of entrainment at Hogback, Farmers Mutual, Jewitt Valley and Fruitland Irrigation diversions conducted in 2004 and 2005 indicates that the proportion of native sucker species entrained in the canals is considerably lower than what would be predicted based on the proportion of flow diverted (Renfro et al. 2006).

Adverse effects to razorback sucker will occur as a result of entrainment.

Effects of Operation of APS Weir to Endangered Fishes

The APS Weir at RM 163.3 lies within designated critical habitat for Colorado pikeminnow and upstream of designated critical habitat for razorback sucker. It impedes fish passage during some times of the year (Bio-West 2005). Some Colorado pikeminnow and razorback sucker

have been observed to occur upstream (after detection downstream) and pass APS Weir under certain conditions (Bio-West 2005). Based on the conditions observed during their study, Bio-West (2005) found that both species could possibly move across the weir near its right side (looking down; north side of weir) when flows (measured at Farmington Gage) are higher than 5,000 cfs. However, for flows between 500 and 5,000 cfs, Bio-West noted that flow velocity and depth conditions are not ideal for fish passage (i.e., they do not match criteria used to design passable fishways for native species). Flows in July are typically less than 5,000 cfs, so the potential to impede spawning migrations of Colorado pikeminnow may occur in most years (Bio-West 2005). In years with low spring runoff volume, APS Weir may also impede spawning movements of razorback sucker.

The impairment of fish passage at the weir could limit the ability of Colorado pikeminnow and razorback sucker to move within the river to different areas in response to changing needs and environmental conditions. This could reduce the amount of accessible spawning and rearing habitat under some conditions, and may reduce the physical habitat quantity and quality for these species by altering depth and velocities (Bio-West 2005). The alteration of physical habitat by operation of APS Weir and sluiceway gates adversely affects the feeding, spawning and movement behavior of Colorado pikeminnow and razorback sucker.

The full extent of this blockage of movement is not known because the sustained swimming performances of larger Colorado pikeminnow and razorback sucker are not well known. Additionally, water temperatures currently upstream of APS Weir are often likely too cool to support robust spawning and rearing of Colorado pikeminnow (Durst and Franssen 2014).

However, APS Weir lies within the critical habitat for Colorado pikeminnow and its operations will adverse effects to the function and physical qualities (depth and velocity) of its critical habitat within 50 feet on either side of the weir, and prevent movement, feeding, and spawning behavior to as many as 18 miles of critical habitat upstream. The APS Weir is outside of critical habitat for razorback sucker.

Effects of Nonnative Species Release from Morgan Lake on Colorado pikeminnow and razorback sucker

Morgan Lake supports several species of nonnative fish, including bluegill, green sunfish, largemouth bass, white crappie, gizzard shad, common carp, plains killifish, mosquitofish, and channel catfish, as well as a novel species, such as tropical suckerfish (*Hypostomus plecostomus*) (OSMRE 2014; J.Cole, Wildlife Manager, Navajo Nation Department of Fish and Wildlife, August 28, 2014, pers. comm.). A single red pacu (subfamily Serrasalminae) was reported to inhabit Morgan Lake for over 4 years (“Toothy Fish”, Associated Press, February 28, 2004). An extensive biological survey of the type, number, and distribution of nonnative species in Morgan Lake was not available or reported.

Operations of Morgan Lake discharge water into No Name Wash, which drains to the Chaco River and from there into the San Juan River. Potential discharges from Morgan Lake could result in release of nonnative species into the San Juan River. Such discharges could be facilitated by optimal conditions for transporting live fish eggs, larvae, or fish downstream, any unauthorized or incidental transport associated with recreational fishing, or during evacuation or

decommissioning of Morgan Lake, should such activities ever occur. Gustaveson (2010) presents a compelling narrative that gizzard shad, likely associated with largemouth bass stocking in Morgan Lake during 1998, had escaped into the San Juan River and by 2001 had entered Lake Powell and adversely affected the fishery there. Recent invaders, such as the gizzard shad, northern pike, and smallmouth bass, have demonstrated how quickly nonnative species can increase and expand to the detriment of native fish assemblages. No studies were available that evaluated the exposure pathways and the relative risks of nonnative fish release from Morgan Lake. Therefore, we assumed that such events could occur, and we therefore identify adverse effects associated with nonnative species releases including adverse effects to critical habitat.

While the San Juan River currently supports populations of several of these nonnative fish, release of additional individuals of these species or any new species of nonnative fish or other nonnative organisms from Morgan Lake could help support these populations or introduce novel species. Many of these nonnative fish also occur in Navajo Reservoir, which may also support populations of these species in the San Juan River. In addition, some of the nonnative fish in Morgan Lake (e.g., gizzard shad) do not have populations in the San Juan River, and if such populations became established, they could exacerbate the existing nonnative fish problem, as they may prey on eggs, fish larvae, or compete with native fish.

The likelihood of nonnative species release or escape from Morgan Lake is high. Their potential to survive, become established, and spread is high. Impacts on wildlife resources or ecosystems through hybridization and competition for food and habitats, habitat degradation and destruction, predation, and pathogen transfer are high. Impact to threatened and endangered species and their habitats is extreme and persistent. The adequacy or ability of regulations to prevent escape and establishment is low. The potential to extirpate or manage established populations is low. Nonnative species invasions can outstrip resources available to combat them, precluding complete eradication, and instead result in a long-term battle for control (Van Driesche et al. 2008; Green et al. 2014). The knowledge about the types and abundance of nonnative species in Morgan Lake, a body of water with unique biological, chemical, and physical properties is unknown, which creates one of the greatest uncertainties in the estimate of the risks.

Introduction of any nonnative species from Morgan Lake into the San Juan River will have an adverse effect simply by becoming integrated into the native riverine system, impacts will be negative, vary in magnitude, and can be compared through time and across space. Therefore, release of nonnative fish (or other nonnative aquatic species) from Morgan Lake will adversely affect to Colorado pikeminnow and razorback sucker.

Effects of Nonnative Species Release from Morgan Lake on Critical Habitat

The biological features of critical habitat include food supply, predation, and competition (Maddux et al. 1993; USFWS 2002a,b). Food supply is a function of nutrient supply, productivity, and availability to each life stage of the species. Predation, although considered a normal component of this environment, may be out of balance due to nonnative species in some areas. This may also be true of competition, particularly from nonnative fish species. Any release of nonnative species would adversely affect the endangered fishes' food, shelter from

predators, competition for resources and space, movement and dispersal, and physical space to carry out normal behaviors. The duration of impacts from the nonnative species release until those species are eradicated, their impacts reduced, or they die is not known. Nonnative species introductions from Morgan Lake would adversely modify the biological features of critical habitat of these endangered fish by reducing its ability to support their recovery.

Introduction of nonnative species from Morgan Lake would preclude or significantly delay the eradication and management of nonnative species and adversely affect the biological features of the entirety of critical habitats of the Colorado pikeminnow and the Razorback sucker necessary for their recovery in the San Juan River.

Therefore, we conclude the release of nonnative species from Morgan Lake could significantly delay the development or restoration of the biological features needed to achieve recovery of Colorado pikeminnow and razorback sucker in the San Juan River relative to that which would occur without the action undergoing consultation, and therefore, is likely to result in adverse effects to their critical habitat.

Effects of FCPP Atmospheric Emissions, Deposition, and Bioaccumulation

In order to estimate the effects associated with the proposed action, we determined that the percentage of Hg accumulation in whole body Colorado pikeminnow associated with the proposed action was 0.3 percent from scenario APS- 1 as compared to Scenario APS-2, without FCPP having ever existed. Similarly, EPRI (2014) also estimated that the proposed action was associated with 0.35 percent of the baseline Hg deposition in the San Juan River Basin. Therefore, to estimate the effects of the proposed action, all Hg effects associated with the environmental baseline were multiplied by 0.3 percent; afterwards the environmental baseline was reduced this same amount.

Based on an annual reproductive injury from mercury accumulation from all sources of up to 8 percent and an adult mortality of up to 2 percent, there is a measurable population-level impact in Colorado pikeminnow demographic parameters. Under the conditions of an increasing Hg load, the combination of a reduction of recruitment and the loss of adults appears to result in long-term population decline as recruitment of new adults cannot keep up with adult mortality (Miller 2014). Under the assumption of an increasing environmental Hg burden in the San Juan River, the estimated injuries to both reproductive success and age-specific survival led to observable decreases in simulated Colorado pikeminnow population growth.

When Hg deposition contributes to an annual reproductive injury above 8 percent and an adult mortality above 1.5 percent, Colorado pikeminnow survival in the San Juan River is adversely affected and the function of designated critical habitat is compromised. Based on the ERM (2014a, b) analysis for adult reproductive injury, adult survivorship injury, and for the analysis conducted for this BO, those conditions occur when average adult Colorado Pikeminnow whole body Hg concentrations are at or above 0.7 mg/kg WW in the San Juan River Basin.

The Colorado pikeminnow and razorback sucker would be exposed to Hg from baseline conditions, as well as 0.3 percent from the proposed action by FCPP, through Hg deposition,

runoff through into downstream aquatic habitats, and subsequent bioaccumulation through the food chain. Mercury bioaccumulates in endangered fish in the San Juan River and is a potent neurotoxin that affects their fitness and reproductive health (Crump and Trudeau 2009). Once Hg enters the body, it poses the highest threats of toxicity because it can be absorbed into living tissues and blood. Once in the blood it crosses into the brain and accumulates, there is no known way to be expelled from the brain (Gonzalez et al. 2005).

The accumulation of Hg from water occurs via the gill membranes as well as through ingestion (Beckvar 1996; USEPA 1997). MeHg is eventually transferred from the gills to muscle and other tissues where it is retained for long periods of time (Julshamn et al. 1982; Riisgård and Hansen 1990). Probably less than 10 percent of the Hg in fish tissue residues is obtained by direct (gill) uptake from water (Francesconi and Lenanton 1992; Spry and Wiener 1991). Hg taken up with food initially accumulates in the tissues of the posterior intestine of fish (Boudou et al. 1991). Hg ingested in food is transferred from the intestine to other organs including muscle tissues (Boudou et al. 1991). MeHg has been reported to constitute from 70 to 95 percent of the total mercury in skeletal muscle in fish (Huckabee et al. 1979; EPA 1985; Riisgård and Famme 1988; Greib et al. 1990; Spry and Wiener 1991). MeHg accounted for almost all of the Hg in muscle tissue in a wide variety of both freshwater and saltwater fish (Bloom 1992).

Hg in fish tissues can be transferred to ovary and eggs (Beckvar 1996; Wiener and Spry 1996; McKim et al. 1976). Exposure of the parent population to Hg concentrations of 0.03 to 2.93 ug/l in the laboratory resulted in Hg concentrations as high as 2 mg/kg in their embryos (McKim et al. 1976). Other studies reported a maternal burden transfer to eggs ranging from 0.2 to 36 percent (Hammerschmidt et al. 1999; Hammerschmidt and Sandheinrich 2005; Alvarez et al. 2006; Nye et al. 2007). Hatching success and embryonic survival in fish are inversely correlated with Hg concentrations in the egg (Whitney 1991; Dillon et al. 2010; ERM 2014b). Without additional information about the maternal transfer rate of Hg from the adult female to Colorado pikeminnow eggs, we assumed a transfer of 0.2 percent of the adult female whole body burden Hg concentration to eggs. Total mercury concentrations in eggs of several species of adult fish from Swedish lakes are much lower than concentrations in other tissues (Lindqvist 1991). Fish (including eggs and larvae) continue take up Hg from the water column and their prey (McKim et al. 1976; Pentreath 1976a; 1976b).

The toxicity of Hg to aquatic organisms is affected by both abiotic and biotic factors including the form of Hg (inorganic versus organic), environmental conditions (e.g., temperature, salinity, and pH), the sensitivity of individual species and life history stages, and the tolerance of individual organisms. Toxicological effects include neurological damage, reproductive impairment, growth inhibition, developmental abnormalities, mortality, and altered behavioral responses (Beckvar 1996, Beckvar et al. 2005, Dillon et al. 2010, ERM 2010a,b). Wiener and Spry (1996) concluded that neurotoxicity seems to be the most probable chronic response of wild adult fishes to Hg exposure, based on observed effects such as incoordination, inability to feed, diminished responsiveness, abnormal movements, lethargy, and brain lesions. Mercury exposure can affect Colorado pikeminnow populations through reproductive impairments. In laboratory studies, reproductive endpoints are generally more sensitive than growth or survival, with embryos and the early developmental stages being the most sensitive (Hansen 1989).

Of the 43 to 60 percent of Colorado pikeminnow that experience behavioral injury, some percentage of those may experience brain lesions and thus impairment of essential feeding, breeding, migrations or sheltering behaviors. We based this relationship on the ratio of survivorship injury to behavioral injury using ERM (2014a,b), and estimated that approximately 1.1 percent of adult Colorado pikeminnow annually that experience behavioral injury will also exhibit extreme maladaptive behaviors and will subsequently die, fail to spawn, or fail to migrate to appropriate areas in time for spawning. Therefore, we conclude that Colorado pikeminnow will be adversely affected by the proposed action.

Effects of Hg deposition on Colorado Pikeminnow Critical Habitat

Average concentrations in whole body adult Colorado pikeminnow associated with the environmental baseline, cumulative effects, and residuals associated with proposed action may equal or exceed 0.7 mg/kg WW by the year 2046, after the cessation of the proposed action Hg deposition have ceased. Therefore, the proposed action Hg deposition contributes to the adverse effects to Colorado pikeminnow critical habitat. However, Hg contributions to the San Juan River Basin are largely associated with the degraded environmental baseline and cumulative effects would be expected to adversely affect Colorado Pikeminnow critical habitat by the year 2046. There could be reductions in amount of Hg deposited in the San Juan River Basin over time, but modeling indicates Hg in whole body fish were not significantly different over the 85-year modeled simulation period (EPRI 2014).

Estimation of Hg in Muscle Tissue and Whole Body Razorback Sucker by Size (Total Length)

Concentrations of Hg in Razorback sucker are much lower as (converted) whole body ranged from 0.03 to 0.13 mg/kg WW and averaged 0.07 mg/kg WW (Table 5). This level of whole body Hg was similar to that in an Age 3 Colorado pikeminnow, and therefore, we used a similar method to estimate the number of razorback suckers that would be adversely affected by the proposed action.

Effects of Hg deposition on Razorback Sucker Critical Habitat

No information was available to determine the Hg-related impairments associated with a long-term population decline of razorback suckers necessary to characterize Hg concentrations associated with adverse modification of their critical habitat. Similar to Colorado pikeminnow, we assumed that razorback sucker critical habitat would also be adversely modified when Hg concentrations in water bioaccumulate to whole body concentrations that were associated with at least 8 percent reproductive injury and with at least 1.5 adult mortality. Those conditions occur with 3.5 Hg mg/kg WW in whole body razorback sucker. Using Bioaccumulation Factors provided in the BA, a water concentration associated with 3.5 mg/kg WW could result from 0.05 ug/L methylmercury in water or 1.0 ug/L total Hg in water for razorback sucker. Concentrations associated with the proposed action do not increase concentrations of methylmercury or total Hg to those levels. Therefore, Hg deposition from the proposed action adversely affects the razorback sucker, but does not adversely affect its critical habitat.

Effects of Se Deposition on Listed Species and Critical Habitat

Using the same analyses as described in the environmental baseline, the effects to Colorado pikeminnow and razorback sucker was estimated for the proposed action. We expect as many as 25,503 Colorado pikeminnow eggs/ovaries and 291,510 razorback sucker eggs/ovaries to be harmed by the proposed action from 2016-2074. We expect as many as 42 Colorado pikeminnow larvae and 301 razorback sucker larvae to be harmed by the proposed action from 2016-2074. For the duration of Se deposition from the FCPP, we would expect as many as (58 years x 0.2 per year x 0.33 = 4) four nesting pairs to be exposed to the Hg deposited pollutants in their habitat and Hg burdens may adversely affect up to 12 eggs, nestlings, or fledglings of either the flycatcher or the cuckoo. We conclude critical habitat will be adversely affected by additional Se deposition.

SOUTHWESTERN WILLOW FLYCATCHER*Effects of Navajo Mine Operations*

No flycatcher nesting habitat occurs on the Navajo Mine Lease area (BA). However, within the Navajo Mine Lease Area suitable migratory flycatcher stopover habitat occurs in widely scattered patches of tamarisk in Cottonwood Arroyo, Chinde Wash, Pinabete Arroyo and at a small stock pond in the southern portion of the and Pinabete Permit Area (BA, page 6-4; Ecosphere 2012, p. 6). This suitable migratory flycatcher stopover habitat is subject to removal, disturbance, and reclamation under the proposed action.

For a variety of reasons, the proposed action cannot avoid removal or disturbance of these areas during May through August, when migrant flycatchers could likely occur. Therefore, during seasonal presence periods, when these suitable habitats are scheduled for removal, flycatcher protocol surveys will need to be conducted to identify when migrant flycatchers occupy these areas, and to the extent possible, activities and disturbances should be minimized until flycatchers leave of their own volition (or are possibly harassed by noise). Measures to protect other nesting migratory birds may also be necessary during habitat removal. Although likely a rare occurrence, and based on the observation of one migrant flycatcher at the DFADA in 18 years, we expect as many as 1.5 migrant flycatchers could be disturbed or harassed per habitat while these habitats are disturbed, removed, or remediated (that is, 3 habitats lost x 1.5 flycatchers per 25 years = 5 possible migrant flycatchers that may be subject to harassment by Navajo Mine Operations) and therefore adversely affected through 2041.

Effects of Noise and Vibration

The level at which fish and wildlife can detect sound depends upon the level of ambient noise. We assume that ambient noise near the San Juan River (and near other water bodies in the action area) would have characteristic noise similar to that in nearby unaffected sites with ambient background noise levels (average 35 dB, peak noise 55 dB; EIS page 4.14-8). There is no information available on sound and vibration frequencies in the San Juan River as systematic measurement of sounds underwater have not taken place, or any such records are incomplete or unpublished. We assumed the ambient underwater ambient acoustic habitat range of sound of 10 to 30 decibels with respect to 1 micropascal pressure (dB re 1 uPa), but it could depend on many

factors including frequencies, and ambient noise levels affected by waterfalls, wind, rain, and reflectance off the water surface (Cavanaugh and Tocci 1998; Popper et al. 2014). These factors are used in complex numerical models to estimate the conductance of noise over distance in air and during transfer to the water column. As sound pressure (amplitude) falls inversely proportional to the distance ($1/r$) from the sound source, we identified that the peak noise levels travel approximately 3.5 additional miles to the San Juan River and would be 65 dB. We then used a 62 dB correction factor (developed by the US Navy) to estimate the sound levels in water column (conversions made using website at <http://www.sengpielaudio.com/Calculations03.htm>). At Station 1, at the southern edge of Morgan Lake, the maximum noise measured was 78 dB_{lmax}. Therefore, at a distance 3.5 miles further to the San Juan River, the noise level would be 65 dB.

Migrant flycatchers may have the potential to occur in the Action Area from May through August, but autumn flycatcher migration may vary from year to year, from site to site, and in response to environmental conditions (Finch et al. 2000). Migratory flycatchers have been documented occasionally near Morgan Lake, San Juan River, Rio Puerco, and once near the DFADA (~15 miles away from the Pinabete Permit Area arroyos) during previous 16 years of surveys (BA, page 6-4; Ecosphere 2012a; Marron 2012a, b). Although there is uncertainty regarding detection frequency, this is about 0.06 flycatchers per year, or 1.5 flycatchers per 25 years. Modification and loss of migratory “stopover” habitat used by flycatchers to replenish energy reserves during their long-distance migration may also contribute to the decline of flycatcher survival and reproduction.

Because flycatchers have been documented in the Action Area and migratory stopover habitat occurs in Cottonwood Arroyo, Chinde Wash, Pinabete Arroyo, and at the small stock pond (and unlike the cuckoo), the presence of migrant flycatchers in these habitats is possible. Should flycatchers be using these migratory stopover habitats when they are disturbed, then adverse effects (in the form of harassment) could occur. Flycatchers disturbed from their migratory stopover habitats might not replenish their fat and protein stores, which may affect their flight performance and ability to overcome obstacles (inclement weather, landscape barriers, predators, and discontinuity of stopover habitats) or migrate successfully (Finch et al. 2000, citing Moore 2000).

For a variety of reasons, the proposed action cannot avoid removal or blasting disturbance of these areas during May through August, when migrant flycatchers could likely occur. Based on the observation of one migrant flycatcher at the DFADA observed since 1998 (~0.06/year), we expect as many as 1.5 migrant flycatchers could be disturbed or harassed per suitable habitat while these habitats are removed or when blasting occurs nearby (estimated as 3 habitats with 1.5 migrant flycatchers per year over 25 years = 5 migrant flycatcher harassments or temporary hearing loss due to noise associated with Navajo Mine Operations).

Therefore, during seasonal presence periods, when these suitable habitats are scheduled for removal or prior to loud blasting noise disturbances, flycatchers are likely to be adversely affected and protocol surveys will need to be conducted to identify when migrant flycatchers occupy these stopover habitats, and to the extent possible, activities and disturbances should be minimized until any flycatchers leave of their own volition.

Effects of Stormwater Runoff, Point Source, and Other USEPA Authorized Discharges

The proposed action includes the present and future issuance of National Pollutant Discharge Elimination System (NPDES) permits by USEPA for discharges associated with various activities such as coal mining, cooling plant water, stormwater runoff, and other discharges (BA, Section 2). Under these permits, the Navajo Mine Operators are required to control all surface runoff water with the potential of being contaminated from contact with mining activities. Various polluted effluents are permitted to be discharged through conveyance facilities (e.g., pipes, ditches, etc.) that end in “outfalls” to the environment. Outfalls 1 and 2 discharge to Morgan Lake and which intermittently discharges to the Chaco River that is a tributary to the San Juan River. Outfalls 003 to Outfall 019 discharge to the Chaco River. Outfall 020 discharges to the San Juan River (USEPA 2008). There are currently 14 outfall locations on Navajo Mine Lease Areas 1, 2, and 3, and the proposed action may enable USEPA to authorize up to 26 more discharge outfalls in Areas 3, 4, and 5 (USEPA 2013). The USEPA has required monitoring at selected outfalls for arsenic, boron, cadmium, lead, Se, sulfate, and total dissolved solids. The USEPA has also established requirements that a Sediment Control Plan be designed, implement, and maintained using BMPs at the Navajo Mine so that the Operators demonstrate that stormwater discharges will result in average, annual, sediment yields that will not be greater than similar sediment yields determined for from pre-mined or undisturbed conditions.

Effluent discharges from FCPP operations are also being authorized by NPDES permits issued by USEPA (Figure 28). The cooling water discharges will occur through an outfall to Morgan Lake, which discharges to No Name Wash (a 2.5 mile-long tributary to the Chaco River), which in turn drains approximately 7 miles of the Chaco River then to the San Juan River. These discharges are intermittent with an average of 2.5 days per week of discharge for about 6 months in a year. The rest evaporates. The average flow rate for the discharge is 4.2 million gallons a day (6.5 cfs). Discharges are mostly conducted to regulate the accumulation of salts (total dissolved solids) in Morgan Lake. Stormwater discharges associated with the FCPP operations, associated with the electric steam generation boilers and other related facilities flows to the Combined Waste Treatment Pond and is discharged to the Condenser Cooling Water Discharge Canal. Parking lots, switchyards, and other open areas are discharged to the Condenser Cooling Water Intake Canal through permitted discharge points. Stormwater in the ash disposal area is discharged to Chaco Wash after BMP treatment in accordance with a storm water construction permit.

USEPA authorizes the use of a Practical Quantification Level (PQL) of a pollutant as part of the effluent limits, which is the numerical result considered accurate. In cases where the PQL exceeds the effluent limitation in a NPDES permit, an analytical result at or below the PQL is deemed by USEPA to constitute compliance with the NPDES permit effluent limitation. This practice can result in PQLs that are greater than concentrations expressed in the applicable water quality standard. We therefore expect that future NPDES permits will be issued in accordance with evaluation methods developed through RPM 5.

Discharges from the Ash Disposal Areas authorized by USEPA and OSMRE

The DEIS reported two areas of groundwater seepage at the Ash Disposal Area known as the “north seep” and “south seepage area”, which have identified contaminated groundwater (p. 4.5-57). APS has installed extraction wells and finished a two part seepage intercept project. This project serves to intercept and prevent water seepage from both the north seep and south seepage area into the Chaco River, west of the plant in the ash disposal area. The intercept project consists of two French drains running approximately 2 miles. The trenches for the French drains were constructed down to an impermeable shale layer to ensure maximum water capture. Water is collected from the French drains and pumped to a lined pond. . The operation of the intercept trenches, as well as the monitoring of groundwater by monitoring wells as well as inspection and monitoring to ensuring that any pollutant sources present in ground water that re-surfaces via seeps can be traced so that corrective actions can be undertaken. With the operation of intercept trenches and water extraction wells, continued operation of the ash disposal ponds should have little potential to contaminate water quality in Chaco Wash.

The Ecological Risk Assessments (ERA) conducted under the EIS could not rule out risks to flycatcher (and cuckoo) in the San Juan River Basin, due to their exposure to Hg and Se. Therefore, the effects of the effluent discharges likely have an adverse effect on the listed species by increasing the Hg and Se in the body burdens of flycatcher (and cuckoo) in the action area.

Effects of FCPP Atmospheric Emissions, Deposition, and Bioaccumulation

Effects of Hg of Se deposition on Flycatcher and Cuckoo in the Deposition Area

AECOM (2013) prepared an ecological risk assessment (ERA) to support the EIS and OSMRE’s BA. A conceptual site model was developed to describe the exposure pathways linking Hg (and Se and other pollutant) releases to the environment and then to ecological receptors such as federally listed birds (Figure 21). The ERA focused on San Juan River habitat from the Deposition Area downstream into the San Juan River arm of Lake Powell. The ERA was intended to evaluate the risks posed by exposure of federally listed birds to pollutants associated with the environmental baseline, cumulative effects and the future FCPP stack emissions from 2016 to 2041 (AECOMM 2013). Federally listed bird exposures were evaluated using a traditional daily dose approach where dose was expressed in units of mg/kg per day (mg/kg-day) of the pollutants ingested. Toxicity reference values (TRVs) were developed, in units of mg/kg-day, which are doses below which adverse ecological effects are not expected. The risks were characterized in terms of a hazard quotient (HQ) where values greater than 1 indicate a potential for adverse ecological effects to individual birds. Hazard quotients for riparian birds in the San Juan River including flycatchers (and cuckoos) were less than 6.7 for MeHg and less than 5.9 for selenium indicating the potential for adverse effects to federally listed birds (AECOMM 2013).

Habitat modeling by AECOM (2013d, 2014) identified approximately 6,726 acres of potentially suitable southwest willow flycatcher habitat within the Deposition Area. The ratio of flycatcher nesting habitat (632 acres; BOR 2012) to flycatcher critical habitat in the Middle Rio Grande (47,844 acres) was $(632/47844=)$ 0.013. If we assume a similar ratio of flycatcher nesting habitat to suitable flycatcher habitat within the Deposition Area, we estimate that as many as $(6726 \text{ acres} \times 0.013=)$ 87.4 acres of nesting habitat could occur within any year. In a recovered flycatcher population, the average suitable nesting habitat size is 5.4 acres (USFWS 2013). The

total maximum number of nesting flycatchers that could occupy the Deposition Area in any year would be (87.4 acres/5.4 acres =) 16 nesting pairs. However, not all flycatcher habitats in the Deposition Area are currently suitable nesting habitat nor would they be expected to remain suitable nesting habitats over time.

Hg is an environmental contaminant that can also have adverse effects on riparian wildlife (Scheuhammer et al. 2012; Wentz et al. 2014). For riparian birds such as flycatchers and cuckoos, Hg is accumulated via ingestion of aerial insects emerging from benthic life stages in aquatic environments containing Hg or from associated predatory spiders (Cristol et al. 2008; Edmonds et al. 2012; Evers et al. 2012; Buckland-Nicks et al. 2014; Gann et al. 2014). Dietary total Hg concentrations associated with adverse effects to birds are generally greater than 0.1 mg/kg WW (DOI 1998). Once ingested, MeHg rapidly moves into the bird's central nervous system, resulting in behavioral and neuromotor disorders (Tan et al. 2009; Scheuhammer et al. 2007, 2012). The developing central nervous system in avian embryos is especially sensitive to this effect, and permanent brain lesions and spinal cord degeneration are common (DOI 1998, Young 1998; Bryan et al. 2003; Scheuhammer et al. 2007; Heinz et al. 2009). Therefore, adverse effects are described for the eggs, embryos, nestlings and/or fledglings associated with elevated Hg burdens in the female parent and due to foraging.

Hg concentrations in invertebrates from the San Juan River Basin are generally (0.03 to 0.04 mg/kg WW) less than this threshold concentration (AECOM 2013). No modeling of Hg in invertebrates over time was conducted. We expected that no more than one third of invertebrate Hg concentrations would be greater than the 0.1 mg/kg WW threshold. Therefore, we applied the average annual flycatcher-nesting rate from 20 years of survey results in the San Juan River Basin to estimate the likelihood that suitable nesting habitat within the Deposition Area would be occupied by nesting flycatchers (16 nesting pairs x 1.25 percent flycatcher nesting rate per year = 0.2) to be 20 percent probability during any one year.

In a recovered flycatcher population, the average suitable nesting habitat size is 5.4 acres (USFWS 2013). Additionally, as many as 25 territories, or at most 25 nesting pairs would occur within the San Juan Management Unit. Therefore, in a recovered population, we would expect as many 25 nesting pairs at 0.2 per year for a duration of over 50 years or 250 pairs to form territories of nest within the entire San Juan Management Unit and approximately one-third of them (82) might be at risk of Hg toxicity, with the majority of these occurring beyond 2050 where there is greater uncertainty. There are no PCEs including Hg or water of sufficient quality for either flycatcher critical habitat or for cuckoo proposed critical habitat and therefore, none is affected.

Therefore, the proposed action will have adverse effects on nesting flycatchers (including their eggs, embryos, nestling, and/or fledglings) through Hg and Se deposition, transport, and bioaccumulation to levels associated with delayed or impaired development, and/or mortality.

YELLOW-BILLED CUCKOO

Effects of Noise and Vibration

Operations of Navajo Mine and Four Corners Power Plant will generate noise and vibration and the effects of noise to wildlife were described in the EIS (OSMRE 2014 PFEIS, pages 4.14-1 to 4.14-28). Noise levels associated with the proposed action included an average of 54 dBLEq and maximum of 78 dBImax measured at the southern portion of Morgan Lake (EIS, page 4.14-13) (the noise monitoring stations closest to the San Juan River. Noise levels at the pump house or at APS Weir along the San Juan River were not reported). During Navajo Mine Operations, including habitat removal activities range from an average of 82 dBLEq and maximum of 110 dBImax (EIS< page 4.14-19). Blasting activities can range from an average of 94 dBImax to 113 dBImax (EIS< pages 4.14-11 to 4.14-19) with a maximum ground-borne vibration of 0.18 inches per second). Noise levels associated with transmission lines ranged from an average of 40 to 60 dBLEq and up to 65 dB during maintenance activities (EIS page 4.14-13).

Similarly, the effect of noise on avian wildlife are also highly varied and is dependent on noise intensity, frequency, duration of exposure and the sensitivity of the species affected (USBOR 2008). Based on reviews by Goudie and Jones (2004) and Dooling and Popper (2007), we surmise that hearing injury to birds can occur at noise levels > 125 dB, with recoverable injury occurring at > 93 dB, and the masking of song and behavioral changes associated with continuous noise sources would occur above ambient noise levels (that is, >50 to 60 dB). Yellow-billed cuckoos appear to be more sensitive to noise than flycatchers and tend to abandon habitats at sound levels > 55 dB when exposed to traffic noise over 10 weeks (Goodwin and Shriver 2011). Ambient noise near the San Juan River (and near other riparian areas near perennial surface water bodies in the action area) may have characteristic noise similar to that measured in nearby unaffected areas with ambient noise levels reported in the EIS (EIS page 4.14-8; average 35 dB, and maximum peak noise was 55 dB).

Noise levels associated with the proposed action included an average of 54 dBLEq and maximum of 78 dBImax measured at the southern portion of Morgan Lake (EIS, page 4.14-13). Using the 3.5-mile distance to the San Juan River, we expect noise levels there would average about 41 dB and maximum noise levels would be about 65 dB. When peak noise levels occur, we would expect that the cuckoo would experience minor behavioral changes such as a startle response, but would not have adversely effects because peak noise would be low and the average noise levels expected (41 dB) are below levels of concern (50 to 60 dB) near the San Juan River.

Effects of Stormwater Runoff, Point Source, and Other USEPA Authorized Discharges

There is insufficient information to estimate nesting habitat or potential nesting rates of cuckoos within the San Juan River Basin at this time. Therefore, the analysis for the flycatcher served as a proxy for the cuckoo Hg and Se effects analysis, estimation of potential habitat, and estimation of incidental take. Cuckoo surveys will be required within the same or similar riparian habitats within the Deposition Area as are conducted for flycatchers.

Effects of FCPP Atmospheric Emissions, Deposition, and Bioaccumulation

Effects of Hg of Se deposition on Flycatcher and Cuckoo in the Deposition Area

There is insufficient information to estimate nesting habitat or potential nesting rates of cuckoos within the San Juan River Basin at this time. Therefore, the analysis for the flycatcher served as a proxy for the cuckoo Hg and Se effects analysis, estimation of potential habitat, and estimation of incidental take. Cuckoo surveys will be required within the same or similar riparian habitats within the Deposition Area as are conducted for flycatchers.

Table 8. Summary of Effects of Hg Deposition from the Proposed Action and associated with the Environmental Baseline and Cumulative Effects to endangered fishes, critical habitat and birds. (Note: Hg burden, mercury and/or methylmercury in fish or bird tissues; dph, days post hatch; FCPP and NMEP, Four Corners Power Plant and Navajo Mine Energy Project operations are proposed to cease by 2042, but residual Hg in San Juan River Basin will continue to affect listed species until 2074).

Table 8. Species or Habitat	Life stage or Habitat Effected	Type of Adverse Effect to Species or Critical Habitat	Estimated Take or Critical Habitat Affected by Proposed Action (FCPP and NMEP for 2016-2074)	Estimated Loss or Critical Habitat Affected in the Environmental Baseline and/or by Cumulative Effects
Colorado pikeminnow	egg/ovary/embryo/larvae <6dph	Adverse Effect	250,340	66,978,395
Colorado pikeminnow	larvae > 5 dph /Age 0	Adverse Effect	2,975	796,688
Colorado pikeminnow	subadult / Age 1 through Age 6	Adverse Effect	1,118	301,154
Colorado pikeminnow	adult / greater than Age 6	Adverse Effect	47	1,940
Colorado pikeminnow	subadult / Age 2 through Age 6	Adverse Effect	25	6,861
Colorado pikeminnow	adult / greater than Age 6	Adverse Effect	2	419
Colorado pikeminnow	adult / greater than Age 6	Adverse Effect	7	All adults after 2046
Colorado pikeminnow critical habitat	Physical features of critical habitat	Adverse Effect	All Critical Habitat in San Juan River	N/A
Colorado pikeminnow critical habitat	Physical features of critical habitat	Adverse Effect	N/A	All Critical Habitat in San Juan River in ~2046
Razorback sucker	egg/ovary/embryo/larvae <6dph	Adverse Effect	34,694	9,282,671
Razorback sucker	larvae greater than 5dph/Age 0	Adverse Effect	552	148,042
Razorback sucker	subadult / Age 2 through Age 4	Adverse Effect	34	9,137

Table 8. Species or Habitat	Life stage or Habitat Effected	Type of Adverse Effect to Species or Critical Habitat	Estimated Take or Critical Habitat Affected by Proposed Action (FCPP and NMEP for 2016-2074)	Estimated Loss or Critical Habitat Affected in the Environmental Baseline and/or by Cumulative Effects
Razorback sucker	adult / greater than Age 1	Adverse Effect	12	3,085
Razorback sucker	subadult / Age 2 through Age 4	Adverse Effect	1	224
Razorback sucker	adult / greater than Age 4	Adverse Effect	4	1,084
Razorback sucker	adult / greater than Age 1	Adverse Effect	1	286
Razorback sucker critical habitat	Physical features of critical habitat	Adverse Effect	None	All Critical Habitat in San Juan River
Southwestern willow flycatcher	egg/embryo/nestl ing/fledgling	Adverse Effect	4 nests of up to 12	25 nests and up to 89
Yellow-billed cuckoo	egg/embryo/nestl ing/fledgling	Adverse Effect	4 nests of up to 12	25 nests and up to 89

Table 9. Summary of Effects of Se Deposition from the Proposed Action and associated with the Environmental Baseline and Cumulative Effects to endangered fishes, critical habitat and birds. (Note: Se burden, mercury and/or methylmercury in fish or bird tissues; dph, days post hatch; FCPP and NMEP, Four Corners Power Plant and Navajo Mine Energy Project operations are proposed to cease by 2042, but residual Se in San Juan River Basin will continue to affect listed species until 2074).

Table 9. Species or Habitat	Life stage or Habitat Effected	Type of Adverse Effect to Species or Critical Habitat	Estimated Take or Critical Habitat Affected by Proposed Action (FCPP and NMEP for 2016-2074)	Estimated Loss or Critical Habitat Affected in the Environmental Baseline and/or by Cumulative Effects
Colorado pikeminnow	egg/ovary/embryo/larvae	Adverse Effect	25,503	66,978,395
Colorado pikeminnow	larvae > 5dph/Age 0	Adverse Effect	42	547,751
Colorado pikeminnow	adult / greater than Age 6	Adverse Effect	~1	2,594
Colorado pikeminnow critical habitat	Physical features of critical habitat	Adverse Effect	All Critical Habitat in San Juan River	all Critical Habitat in San Juan River
Razorback sucker	egg/ovary/embryo/larvae	Adverse Effect	291,510	1,361,956,116
Razorback sucker	larvae > 5dph/Age 0	Adverse Effect	301	3,881,323
Razorback sucker	adult / greater than Age 1	Adverse Effect	6	29,139
Razorback sucker critical habitat	Physical features of critical habitat	Adverse Effect	All Critical Habitat in San Juan River	All Critical Habitat in San Juan River
Southwestern willow flycatcher	egg/embryo/nestling/fledgling	Adverse Effect	4 nests, or up to 12	25 nests, or up to 89
Yellow-billed cuckoo	egg/embryo/nestling/fledgling	Adverse Effect	4 nests, or up to 12	25 nests, or up to 89

CUMULATIVE EFFECTS

Cumulative effects include the effects of future State, tribal, local, or private actions on endangered or threatened species or critical habitat that are reasonably certain to occur in the foreseeable future in the action area considered in this BO. Future Federal actions that are unrelated to the proposed action are not considered in this section because they require separate consultation pursuant to section 7 of the ESA. Cumulative effects analysis as stated here applies to section 7 of the ESA and should not be confused with the broader use of this term in the National Environmental Policy Act or other environmental laws.

COLORADO PIKEMINNOW AND RAZORBACK SUCKER AND CRITICAL HABITAT

Coalbed methane development

The San Juan basin in southwestern Colorado and northwestern New Mexico is rich in coalbed methane, and development of this resource has increased rapidly in the last ten years. There are currently more than 3,000 coalbed methane wells in the San Juan basin in the Fruitland Coal Formation. Historically, one well per 320 acres was allowed in this area; however, the Colorado Oil and Gas Commission approved an increase of the well spacing to one well per 160 acres. Potentially more than 700 additional wells may be drilled and approximately 250 of these could occur on private or State land. Coalbed methane development requires the extraction of groundwater to induce gas flow. It was estimated that the wells would be drilled by 2013, but because of slow groundwater movement water depletion effects would not be incurred until at least 2025.

A study was initiated in 1998 to determine the effects of groundwater extraction from the Fruitland Formation. The study is called the 3M Project (mapping, modeling, and monitoring) and was being conducted by the Colorado Oil and Gas Conservation Commission in cooperation with the Southern Ute Indian Tribe, BLM, the Forest Service, and the industry. The mapping and modeling studies were completed in 2000. A follow-up project was funded by the Ground Water Protection Research Foundation (GWPRF).

The Fruitland Formation and the underlying Pictured Cliffs Sandstone were shown to be an aquifer system. In general terms, the groundwater produced from near-outcrop coalbed methane wells is recent recharge water that would, under predevelopment conditions, discharge to the Animas, Pine, Florida and Piedra Rivers. These rivers provide flow to the San Juan River. Coalbed methane wells occur on Federal, State, Tribal and private lands. Future section 7 consultations are not expected for coalbed methane development on private or State lands; therefore, these water depletions are considered a cumulative effect that is reasonably certain to occur within the action area.

The GWPRF used a groundwater model and a reservoir model to determine water budgets and depletions associated with coalbed methane development. Three areas around the Animas, Pine, and Florida Rivers were modeled using three-dimensional multi-layer models to account for aquifer-river interactions and the effects of coalbed methane development. Baseline conditions

were simulated with a single-phase ground water flow model (MODFLOW), and predictive runs were made using two-phase flow models (EXODUS and COALGAS). The predictive model run results are summarized in Table 11.

Table 10. Surface Water Depletions: Model Summaries

River	Pre-CBM Discharge (AFY)	Current Depletion (AFY)	Maximum Depletion (AFY)	Year when Max Depletions Begin
Animas	66	41	66	2045
Pine	61	31	61	2025
Florida	17.5	2	12.5	2050
Piedra*	60	0	60	**
Total	204.5	74	199.5	

*Piedra River depletions are estimated based on discharges simulated from the 3M Project and the depletions modeled in the GWPRF at other rivers.

**Maximum depletions at the Piedra River will depend on the rate of coalbed methane development in the northeastern portion of the San Juan basin.

The model results show that prior to coalbed methane development, the Fruitland Formation discharged approximately 205 AFY to the San Juan River. Modeling shows approximately 74 AFY is currently being depleted with existing wells and predicts the maximum depletions to be approximately 200 AFY.

The RiverWare Model, which is used to evaluate hydrologic conditions in the San Juan River and its tributaries, requires a defined project to determine project compatibility with the San Juan River Flow Recommendations (Holden 2000). Because future coalbed methane development on State and private land is not a defined project and the depletions associated with it are relatively small and not specifically quantified, the RiverWare Model is not an appropriate tool to assess these effects.

Other depletions and diversions from the San Juan River basin

We believe most of these depletions, including the FCPP diversions to Morgan Lake, are accounted for in the environmental baseline depletions. Irrigation ditches and canals below Navajo Dam could entrain Colorado pikeminnow and razorback sucker, including Citizens, Hammond, Fruitland, San Juan Generating Station, Jewett Ditch, and Hogback. Increased urban and suburban use of water, including municipal and private uses, will increase demands for water. Further use of surface water from the San Juan River will reduce river flow and decrease available habitat for the razorback sucker and Colorado pikeminnow. Livestock grazing may adversely impact razorback sucker and Colorado pikeminnow by removal of water for drinking and the reduction in soil water holding capacity in the floodplain, and resulting reduction in base flows.

Increases in development and urbanization in the historic floodplain result in reduced peak flows because of the flooding threat. Development in the floodplain makes it more difficult to transport large quantities of water that would overbank and create low velocity habitats that the razorback sucker and Colorado pikeminnow need for their various life history stages.

NON-NATIVE FISH SPECIES IN LAKE POWELL

The presence of striped bass, walleye and channel catfish in Lake Powell constitutes a future threat to Colorado pikeminnow and razorback sucker in the San Juan River. When the water elevation of Lake Powell is high enough to inundate a barrier created by a waterfall, striped bass, walleye, channel catfish, and other non-native fish species can enter the San Juan River.

Increased boating, fishing, ORV use, and camping in the San Juan River basin is expected to increase as the human population increases.

Potential impacts include angling pressure, non-point source pollution, increased fire threat, the introduction of additional non-native species, and the potential for harassment of native fishes.

CUMULATIVE EFFECTS TO FLYCATCHER AND CUCKOO IN THE ACTION AREA

Cumulative effects to the flycatcher would result from human activities, wildfire, and global warming.

Increases in development and urbanization

Increases in development and urbanization in the historic floodplain would affect the flycatcher by reducing peak flows because of the flooding threat. Development in the floodplain makes it more difficult, if not impossible, to transport large quantities of water that will overbank and create low velocity habitats and contribute to the riparian successional processes that create habitat for flycatchers.

Increased urban use of water

Increased urban use of water, including municipal and private uses, would affect the flycatcher by reducing river flow and decreasing available habitat for the flycatcher.

Water contamination

Contamination of the water from sources such as sewage treatment plants, runoff from small feed lots and dairies, and residential, industrial, and commercial development could adversely affect the flycatcher. A decrease in water quality and gradual changes in floodplain vegetation could adversely affect the flycatcher, its prey base and its habitat.

Other human activities

Human activities may adversely impact the flycatcher by decreasing the amount and suitability of habitat. These activities include dewatering the river for irrigation, increasing water pollution from non-point sources; habitat disturbance from recreational use, suburban development, and removal of large woody debris.

Wildfire

Wildfires and wildfire suppression in riparian areas may have an adverse effect on flycatchers. Wildfires are a fairly common occurrence in riparian areas. The spread of the highly flammable saltcedar and drying of river areas due to river flow regulation, water diversion, lowering of groundwater tables, and other land practices are largely responsible for the increase in fuel loading along riparian areas. Wildfires have the potential to destroy flycatcher habitat.

Non-native vegetation removal

The removal of non-native vegetation, such as saltcedar and Russian olive, can adversely affect the amount of available flycatcher habitat in the short term. In areas where non-native trees are removed and replaced with native vegetation as part of a restoration project, habitat may be created. Where phreatophyte removal is not followed by restoration, habitat for the flycatcher is lost.

Climate change

The effect climate change may have on the flycatcher is still unpredictable. However, mean annual temperature in Arizona increased by one degree per decade beginning in 1970 and 0.6 degrees per decade in New Mexico (Lenart 2005). In both New Mexico and Arizona the warming is greatest in the spring (Lenart 2005). Higher temperatures lead to higher evaporation rates which may reduce the amount of runoff, groundwater recharge, and lateral extent of rivers such as the Rio Grande. Increased temperatures may also increase the extent of area influenced by drought (Lenart 2003).

The Service anticipates that these conditions and types of activities will continue to threaten the survival and recovery of the flycatcher by reducing the quantity and quality of habitat through the continuation and expansion of habitat degrading actions. Future restoration activities along the San Juan River have the potential to increase flycatcher habitat, and the effects described above may limit habitat expansion.

CONCLUSION

The SJRRIP was created to offset jeopardy resulting from hydrologic modifications to the San Juan River Basin associated with the Animas LaPlata project. The SJRRIP provides a suite of recovery actions to ensure recovery of the endangered fish in the San Juan River Basin. These recovery actions include addressing habitat loss, population augmentation, nonnative fish removal, and population monitoring. Miller (2014) suggested that the Colorado pikeminnow would likely be extirpated without the measures provided through the SJRRIP, especially augmentation. The historic and ongoing recovery benefits provided by the actions taken by the SJRRIP, plus the Conservation Measures provided by the action agencies and FCPP and NMEP proponents as part of the proposed action subject to this consultation create a package of cumulative beneficial actions that offset the adverse effects which would otherwise occur as a result of the proposed action when considered in relation to the environmental baseline, and cumulative effects. The Service has the authority and discretion to view the balance of the effects of the action, when added to environmental baseline, along with cumulative effects, and

conclude whether the Conservation Measures, and the historic and future recovery benefits provided by the SJRRIP, are adequate to offset the magnitude and duration of the effects of the proposed action and provide sufficient certainty for the continued existence and recovery of the Colorado pikeminnow and razorback sucker. Additionally, there is a greater range of uncertainty associated with Hg deposition in future years (EPRI 2014). The cumulative Hg deposition to the San Juan River Basin, associated with levels of adverse modification of critical habitat, would not be expected to occur until 2046, well past the duration of the proposed action and the reasonably foreseeable future, in addition those future conditions are subject to great uncertainty associated with level of Hg emissions outside USA.

Colorado Pikeminnow and Razorback Sucker

After reviewing the current status of both endangered fish, the environmental baseline for the action area, the effects of the proposed action which includes the Conservation Measures, and the cumulative effects, it is our biological opinion that implementation of the FCPP and NMEP, as proposed, is not likely to jeopardize the continued existence of the Colorado pikeminnow and the razorback sucker.

Mercury in the environment accumulates in watercourses through emissions, deposition, and runoff into the waterbody. Fish are exposed to mercury through diet; mercury in the water column accumulates up the food chain and primarily affects top predators, such as the Colorado pikeminnow. Mercury is a potent neurotoxin that affects the reproductive health of fish through affecting the portions of the brain that regulate the production and timing of sex steroids; therefore, it primarily impacts fecundity rather than directly killing individuals exposed to it. Once ingested and absorbed into the blood, there is no known way for an organism to excrete it. A threshold for adverse effects has been shown to be 0.2 mg/kg WW in a number of species of fish; in the absence of data specific to the Colorado pikeminnow and razorback sucker, we employ this threshold. Colorado pikeminnow is the top predatory of the San Juan River that accumulates mercury over their long life span. Chronic exposure to mercury is thought to compromise survival rates and long-term reproductive outputs of this long-lived organism, thus inducing population decline in combination with other physical and biological threat factors. Using the results of various population modeling (EPRI 2014, BO analysis), we projected demographic decline in response to an increase in Hg concentrations in Colorado pikeminnow whole body burden. This decline in population growth rate would be exacerbated by other anthropogenic perturbations, such as nonnative species invasion, hydrologic alterations, water withdrawal, and other mortality factors in the San Juan River Basin.

The FCPP contributions to total Hg deposition near the facility ranged from 2 percent to a maximum of 28 percent southeast of the FCPP. Over the remainder of the San Juan River Basin, FCPP contributions are less than 2 percent. In contrast, baseline contributions of Hg emissions from sources outside the United States to Hg deposition in the San Juan River Basin range from 70 percent to 98 percent. Hg emissions from China contribute from 13 to 16 percent to Hg deposition in the San Juan River Basin in the post-2016 baseline (i.e., the baseline 2050 scenario with a medium estimate of China Hg emissions).

By comparison, the removal of FCPP had a clear but lesser effect, reducing Hg deposition by 0.68 percent before 2014 and about 0.35 percent after 2016 (after 3 units are shut down, with 2 units remaining active and emitting approximately 102 lbs Hg/year).

In order to estimate the effects associated with the proposed action, we determined that the ratio of Hg accumulation in whole body Colorado pikeminnow associated with the proposed action was 0.3 percent from Scenario APS- 1 (proposed action) as compared to Scenario APS-2 (FCPP never existed). Similarly, EPRI (2014) also estimated that the proposed action was associated with 0.35 percent of the baseline Hg deposition in the San Juan River Basin. Therefore, to estimate the effects of the proposed action, all Hg effects associated with the environmental baseline were multiplied by 0.3 percent; afterwards the environmental baseline was reduced this same amount.

The San Juan River Basin is one of only three subbasins inhabited by the Colorado pikeminnow. In the Recovery Goals for the Colorado Pikeminnow in the San Juan River Recovery Area (USFWS 2002a), criteria for downlisting and delisting the species are identified. In order to downlist the species, the San Juan River population of Colorado pikeminnow must reach at least 1,000 Age 5 (or greater) fish. Given the baseline levels of Hg and Se in the system as well as the amounts added to the system due to the proposed action, when added to the environmental baseline and cumulative effects, 6 to 11 percent of adults will experience reproductive injury, and 26 to 60 percent will experience behavioral injury in the foreseeable future. Of those that successfully reproduce, as many as 6 to 11 percent of eggs and 7 to 13 percent of Age 0 larvae would die due to Hg burdens. As many as 1.7 to 3.0 percent subadults and 1.7 to 9.1 percent of adults (summed across age classes) could also die due to all Hg burden. Additionally, 13 percent of eggs and ovaries of Colorado pikeminnow would perish, fail to hatch, or produce deformed embryos due to their Se burden. Those larvae that survive would also experience up to 7 to 9 percent loss of Age 0 larvae due to dietary selenium toxicity. These factors, combined with the 7 to 15 percent loss due to entrainment, and the indeterminate losses due to negative nonnative species interactions, loss of habitat, alteration of hydrology, and water withdrawal from the proposed action, the environmental baseline, and cumulative effects decrease their population viability.

These numbers specifically express the outcome of the total accumulation of Hg in the system from all sources. However, in a Population Viability Analysis (PVA) (Miller 2014) the results showed that because of the actions taken by the SJRRIP the population of Colorado pikeminnow was stable to increasing. To the extent any additional Hg is contributed by the proposed action, those contributions represent a very small proportion of Hg deposition in the Action Area overall and any increases in Hg deposition are due, not to the proposed action, but attributable to global sources. The interplay of the degraded baseline and the contribution of global sources to Hg deposition creates significant uncertainty with regard to Hg deposition in the basin. However, to the extent a degraded baseline exists, the proposed action does not contribute to the deepening of such degradation, and the significant Conservation Measures proposed will contribute to the recovery of the endangered fish in the basin.

In the Recovery Goals for the Razorback Sucker (USFWS 2002b) for the San Juan River Recovery Area, the San Juan River system is one of two that must show stable or increasing

trends in order to achieve downlisting or delisting. Given the baseline levels of Hg and Se in the system as well as the amounts added to the system due to proposed action, when added to the environmental baseline and cumulative effects, 0.9 to 1.8 percent of adults will experience reproductive injury, and 1.0 to 18 percent will experience behavioral injury in the foreseeable future. Of those that successfully reproduce, as many as 0.04 to 0.08 percent of eggs and 0.9 to 1.9 percent of Age 0 larvae would die due to Hg burdens. As many as 1.0 percent subadults and 1.8 percent of adults (summed across age classes) could also die due to Hg burden. Additionally, 16 percent eggs and ovaries of razorback sucker would perish, fail to hatch, or produce deformed embryos due to their Se burden. Those larvae that survive would also experience up to 16 percent loss of Age 0 larvae due to dietary selenium toxicity. It should be noted that these numbers are a result of total deposition within the San Juan basin and are not specifically attributable to the Four Corners project's proposed action.

The environmental baseline is clearly degraded due to historic contributions of Hg to the San Juan Basin. Future projections predict an increasing global contribution of Hg to the San Juan Basin. However the actions of the SJRRIP are clearly offsetting those effects and, in combination with the Conservation Measures, will continue to do so. The Conservation Measures address all of the other project specific effects. As a whole, we find that the proposed action is not anticipated to appreciably reduce the likelihood of both the survival and recovery of the species. In conclusion, we find that proposed action will not jeopardize the continued existence of the Colorado pikeminnow and razorback sucker.

Colorado Pikeminnow and Razorback Sucker Critical Habitat

This BO does not rely on the regulatory definition of "destruction or adverse modification" of critical habitat (50 CFR 402.02); instead, we have relied upon the statute and the August 6, 2004, Ninth Circuit Court of Appeals decision in *Gifford Pinchot Task Force v. USDI Fish and Wildlife Service* (CIV No. 03-35279) to complete the following analysis with respect to critical habitat. This consultation analyzes the effects of the action and its relationship to the function and conservation role of razorback sucker and Colorado pikeminnow critical habitat to determine whether the current proposal destroys or adversely modifies critical habitat for these species.

After reviewing the current status of both fish, the environmental baseline for the action area, the effects of the proposed action, and the cumulative effects, it is our biological opinion that implementation of the proposed action, as proposed, is not likely to adversely modify critical habitat for the Colorado pikeminnow. We reached this conclusion based on the following findings, the basis for which is presented in the preceding Environmental Baseline, Effects of the Action, and Cumulative Effects sections of this document. Based on the PVA of Colorado pikeminnow in the San Juan River Basin (Miller 2014), there is a significant decline in the population associated with Hg concentrations in whole body pikeminnow over 0.7 mg/kg WW if the actions of the SJRRIP were to cease. However, the Conservation Measures will continue to offset any projected decline. These Hg concentrations (that is, the average Hg in the population) are projected to occur sometime after 2046, if the rate of Hg deposition, transport, and bioaccumulation in these Colorado pikeminnow continues as expected. However, the Service's regulation (USFWS 1986), only allow cumulative assessment analysis until the end of the project which is 2041. Therefore, Critical Habitat is not adversely modified by this project's actions.

Additionally, there is a reasonable potential that a nonnative species could be released into the critical habitat of both the Colorado pikeminnow and razorback sucker in the San Juan River Basin. The ecological damages and injuries to these endangered fishes were not calculable, but would be extensive and persistent. However, the Conservation Measures include actions to prevent nonnative species release and to fund nonnative species removal. These measures will offset nonnative species impacts.

The conservation role of Colorado pikeminnow and razorback sucker critical habitat is to provide spawning and rearing habitat conditions necessary for successful pikeminnow and sucker recruitment at levels that will provide for the conservation of the species. Appropriate water (PCE 1), physical habitat (PCE 2), biological environment (PCE 3) are essential for successful Colorado pikeminnow and razorback sucker spawning and survival. Past and present activities within the San Juan River basin have degraded these habitat elements to the extent that their co-occurrence at the appropriate places and times is insufficient to support successful Colorado pikeminnow and razorback sucker recruitment at levels that will provide for the species' conservation. While implementation of the proposed action is expected to exacerbate the very limited co-occurrence of PCEs at appropriate places and times, the implementation of the Conservation Measures will offset that impact. The increased Hg deposition in the basin, the contamination of the physical properties of the water, and the prey of Colorado pikeminnow could lead to an irreversible loss of reproductive success and adult survival necessary to sustain the species beyond the proposed action. As previously noted, these effects are attributable to the degraded environmental baseline, the proposed action and future predicted increased global contributions of Hg to the basin. However the actions of the SJRRIP are clearly offsetting those effects and, in combination with the Conservation Measures, will continue to do so.

Therefore, the proposed action is not anticipated to appreciably reduce the likelihood of both the survival and recovery of the species, and we find that proposed action will not appreciably diminish the value of designated critical habitat to satisfy the function and conservation role of critical habitat during the time frame of the proposed action. Therefore, we find that the proposed action will not result in destruction or adverse modification of designated critical habitat.

Southwestern Willow Flycatcher and Yellow-billed Cuckoo

After reviewing the current status of the flycatcher, the environmental baseline for the action area, the effects of the proposed action, and the cumulative effects, it is our biological opinion that implementation of the proposed action, is not likely to jeopardize the continued existence of the southwestern willow flycatcher. Flycatchers are currently found to nest in the San Juan River Basin only rarely and even fewer nesting attempts have occurred within the Deposition Area. While some loss of nesting attempts, eggs, or young may be expected due occur due to the proposed action and the environmental baseline, the recovery goals for the San Juan Management Unit can still be met. Additionally, proposed action will not affect critical habitat.

After reviewing the current status of the cuckoo, the environmental baseline for the action area, the effects of the proposed action, and the cumulative effects, it is our biological opinion that implementation of the proposed action, is not likely to jeopardize the continued existence of the

yellow-billed cuckoo. Cuckoos have been found to nest in the San Juan River Basin only extremely rarely and no nesting attempts have been reported to occur in the Deposition Area. While some future loss of nesting attempts, eggs, or young may be expected to occur due to the proposed action, and the environmental baseline, contributions of recovery support by habitat of cuckoo in the San Juan River can still be met. Additionally, the proposed action is not anticipated to affect their critical habitat.

We find that implementation of the proposed action is not likely to jeopardize the continued existence of the southwestern willow flycatcher or the yellow-billed cuckoo because it is not expected to result in high levels of mortality in the future. No nesting flycatchers or cuckoos are known to inhabit the Deposition Area at this time, and the Project proposes continued surveys within the San Juan River basin for flycatchers and cuckoos. Therefore, the Service will be able to monitor presence of the species in the action area as habitat increases.

The regulations (50 CFR 402.02) implementing section 7 of the ESA define reasonable and prudent measure (RPM) as alternative measures, identified during formal consultation, that: 1) can be implemented in a manner consistent with the intended purpose of the action; 2) can be implemented consistent with the scope of the action agency's legal authority and jurisdiction; 3) are economically and technologically feasible; and, 4) would, the Service believes, avoid the likelihood of jeopardizing the continued existence of listed species or resulting in the destruction or adverse modification of critical habitat.

The Service has developed the following elements of an RPM to actions proposed in the OSMRE (2014b,c,d) BA, as amended (OSMRE 2015). Where the SJRRIP is implementing the Conservation Measures, they should be implemented using an adaptive management approach within specific constraints. The elements of the Conservation Measure are incorporated into the following RPMs and are based on the best scientific information available regarding what is necessary to avoid adverse to Colorado pikeminnow, razorback sucker, and adverse modification of Colorado pikeminnow and razorback sucker critical habitat. Elements 1 through 3 of the RPM will be monitored by the New Mexico Ecological Services Field Office (NMESFO); and Element 4 will be funded by the Project Proponents and implemented by the San Juan River Recovery Implementation Program (SJRRIP). As new information becomes available, the RPMs may be modified by the Service consistent with the need to avoid adverse effects and adverse modification of critical habitat.

INCIDENTAL TAKE STATEMENT

Section 9 of the Act and Federal regulation pursuant to section 4(d) of the Act prohibit the take of endangered and threatened species, respectively, without a special exemption. Take is defined as to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture or collect, or to attempt to engage in any such conduct. Harm is further defined by the Service to include significant habitat modification or degradation that results in death or injury to listed species by significantly impairing essential behavioral patterns including breeding, feeding, or sheltering. Harass is defined by the Service as intentional or negligent actions that create the likelihood of injury to listed species to such an extent as to significantly disrupt normal behavior patterns which include, but are not limited to breeding, feeding or sheltering. Incidental take is defined as take

that is incidental to, and not the purpose of, the carrying out of an otherwise lawful activity. Under the terms of section 7(b)(4) and section 7(o)(2), take that is incidental to and not intended as part of the agency action is not considered to be prohibited taking under the Act provided that such take is in compliance with the terms and conditions of an incidental take statement.

The Reasonable and Prudent Measures described below are non-discretionary and must be undertaken by OSMRE or delegated to the other federal action agencies, so that they become binding conditions of any grant or permit issued to any applicants, as appropriate, for the exemption in section 7(o)(2) to apply. OSMRE has a continuing duty to regulate the activity covered by this incidental take statement. If OSMRE (1) fails to assume and implement the terms and conditions, or (2) fails to require applicants to adhere to the terms and conditions of the incidental take statement through enforceable terms that are added to the permit or grant document, the protective coverage of section 7(o)(2) may lapse. In order to monitor the impact of incidental take, OSMRE must report the progress of the action and its impact on the species to the Service as specified in the incidental take statement. [50 CFR §402.14(i)(3)]

Proposed actions will result in the increased likelihood of noise and disturbance, water withdrawal, effluent discharges either pursuant to NPDES permits or in the unlikely event of ash pond failure, entrainment, APS Weir operations, nonnative species release, and the emission, subsequent deposition, and bioaccumulation of Hg and Se. These conditions will adversely affect the Colorado pikeminnow, razorback sucker, flycatcher, and cuckoo as described below (Table 12). Note that only activities that adversely affect listed species are provided in Table 12.

Table 11. Incidental takes of endangered fishes and listed birds authorized for the action proposed with implementation of the Conservation Measures and Reasonable and Prudent Measures, by activity, species, species life stage, number authorized, time period of ITS estimate, and injury type.

(Table 11) Activity	Species	Life stage	Number ITS authorized	ITS Time Period	Injury Type
Disturbances at the NMEP	flycatcher	Migrants	5	2016-2041	harass or harm
Water withdrawal	Colorado pikeminnow	All	indeterminate	2016-2041	harm, harass or kill
Water withdrawal	razorback sucker	All	indeterminate	2016-2041	harm, harass or kill
effluent discharges	Colorado pikeminnow	All	indeterminate	2016-2041	harm, harass or kill
effluent discharges	razorback sucker	All	indeterminate	2016-2041	harm, harass or kill
effluent discharges	flycatcher	All	indeterminate	2016-2041	harm, harass or kill
effluent discharges	cuckoo	All	indeterminate	2016-2041	harm, harass or kill
entrainment or impingement	Colorado pikeminnow	Larvae	2, up to 4 percent	annually 2016-2041	harm, harass or kill
entrainment or impingement	Colorado pikeminnow	juveniles & subadults	less than or equal to 3	annually 2016-2041	harm, harass or kill
entrainment or impingement	razorback sucker	Larvae	1, up to 2 percent	annually 2016-2041	harm, harass or kill
entrainment or impingement	razorback sucker	juveniles & subadults	less than or equal to 10	annually 2016-2041	harm, harass or kill
APS Weir operations	Colorado pikeminnow	All	indeterminate	2016-2021	harm or harass
APS Weir operations	razorback sucker	All	indeterminate	2016-2021	harm or harass
nonnative species release	Colorado pikeminnow	All	indeterminate	2016-2041	harm, harass or kill
nonnative species release	razorback sucker	All	indeterminate	2016-2041	harm, harass or kill
Hg emission & deposition	Colorado pikeminnow	egg, ovary, embryo, fry	up to 250,340	2016-2074	harm or kill
Hg emission & deposition	Colorado pikeminnow	Age 0, larvae	up to 2,975	2016-2074	harm or kill
Hg emission & deposition	Colorado pikeminnow	subadult (Age 1 to 6)	up to 1,118	2016-2074	harass or harm
Hg emission & deposition	Colorado pikeminnow	adult (Age 7 to Age10+)	up to 47	2016-2074	harass or harm
Hg emission & deposition	Colorado pikeminnow	adult (Age 7 to Age10+)	up to 7	2016-2074	reproductive harm
Hg emission & deposition	Colorado pikeminnow	subadult (Age 1 to 6)	up to 25	2016-2074	harm or kill

(Table 11) Activity	Species	Life stage	Number ITS authorized	ITS Time Period	Injury Type
Hg emission & deposition	Colorado pikeminnow	adult (Age 7 to Age10+)	up to 2	2016-2074	harm or kill
Hg emission & deposition	razorback sucker	egg, ovary, embryo, fry	up to 34,694	2016-2074	harm or kill
Hg emission & deposition	razorback sucker	Age 0, larvae	up to 552	2016-2074	harm or kill
Hg emission & deposition	razorback sucker	subadult (Age 1 to 6)	up to 34	2016-2074	harass or harm
Hg emission & deposition	razorback sucker	adult (Age 7 to Age10+)	up to 12	2016-2074	harass or harm
Hg emission & deposition	razorback sucker	adult (Age 7 to Age10+)	up to 1	2016-2074	reproductive harm
Hg emission & deposition	razorback sucker	subadult (Age 1 to 6)	up to 1	2016-2074	harm or kill
Hg emission & deposition	razorback sucker	adult (Age 7 to Age10+)	up to 4	2016-2074	harm or kill
Hg emission & deposition	flycatcher	eggs to fledglings	up to 12	2016-2074	harm or kill
Hg emission & deposition	cuckoo	eggs to fledglings	up to 12	2016-2074	harm or kill
Se emission & deposition	Colorado pikeminnow	egg, ovary, embryo, fry	up to 25,503	2016-2074	harm or kill
Se emission & deposition	Colorado pikeminnow	Age 0, larvae	up to 42	2016-2074	reproductive harm
Se emission & deposition	Colorado pikeminnow	adult (Age 7 to Age10+)	up to 1	2016-2074	reproductive harm
Se emission & deposition	razorback sucker	egg, ovary, embryo, fry	up to 291,510	2016-2074	harm or kill
Se emission & deposition	razorback sucker	Age 0, larvae	up to 301	2016-2074	reproductive harm
Se emission & deposition	razorback sucker	adult (Age 7 to Age10+)	up to 6	2016-2074	reproductive harm
Se emission & deposition	flycatcher	eggs to fledglings	up to 12	2016-2074	harm or kill
Se emission & deposition	cuckoo	eggs to fledglings	up to 12	2016-2074	harm or kill

There are several activities that are associated with indeterminate take estimates. This is either due to the nature of the activity, such as with programmatic consultations on effluent discharges, or the nature of the effects, such as with nonnative species release. NPDES permits subject to this consultation will be subject to the indeterminate take estimate in the table above. Take estimates for any subsequent individually issued NPDES permits will be estimated on a site specific basis using guidelines developed in conjunction with the Project Proponents, the federal agencies and the Service.

Take estimates due to blockage of fish passage and modification of the depth and velocity of habitat are indeterminate at this time, but likely would not exceed up to 500 individual Colorado

pikeminnow or razorback sucker in any one year. The incidental take estimate is authorized for a period prior to implementation of fish passage at APS Weir, however, the Project Proponents are not lead for that action. Therefore, the Service reserves its ability to modify and adjust the incidental take associated with any blockage of fish passage after 2021, if fish passage is not provided by the SJRRIP. Take estimates for the potential release of nonnative species from Morgan Lake were indeterminate and would be persistent. However, with implementation of the RPMs, the estimated take is reduced, but still indeterminate. Take estimates for nonnative species releases from Morgan Lake that are not novel are subject to the indeterminate take estimate in the table above. However, the Service notes that the demonstrative introduction of *a single*, novel, nonnative species from Morgan Lake to the San Juan River that occurs through the Project Facilities and that is adverse to endangered fishes would exceed the incidental take for this activity.

The Service notes that this represents a best estimate of the extent of take that is likely during the proposed action along with implementation of the RPMs. In several cases, for actions associated with Hg or Se deposition, the incidental take was estimated using a fish population modeled at its recovery potential. Therefore, actual estimates of incidental takes may be less than those authorized above. Incidental takes associated with Hg and Se deposition will be verified by monitoring endangered fishes (or suitable surrogates) and compared with those estimated by EPRI (2014) or the Service. Should the average Hg or Se burdens in endangered fish be significantly greater than was estimated, then additional information collection may be necessary to verify these conditions, and if attributable to the proposed action, reinitiation of ESA consultation may be warranted. Thus, estimated incidental take may be modified from the above should population monitoring information or other research indicate substantial deviations from the estimated extent of incidental take, or if it allows for a calculation of the amount of take that will occur. In this case further consultation may be necessary. If any actual incidental take is found to meet or exceed the predicted incidental take levels, consultation must be reinitiated.

REASONABLE AND PRUDENT MEASURES

While the proposed Conservation Measures are substantial in helping to reduce impacts to listed species and their critical habitats, the Service nonetheless believes that the following reasonable and prudent measures (RPMs) are necessary and appropriate to minimize impacts of incidental take of the Colorado pikeminnows, razorback suckers, flycatchers, and cuckoos resulting from the Project:

1. RPM 1) Federal agencies shall use all available authorities and agency discretion to reduce atmospheric Hg deposition (and Se loading) in the San Juan River Basin to ameliorate adverse effects to Colorado pikeminnow and adverse effects to its critical habitat.
 - a. As the lead federal agency conducting consultation under Section 7 of ESA for FCPP/NMEP, and acting under the provisions of the Surface Mining Control & Reclamation Act, OSMRE will evaluate and consult with the Service on all discretionary OSMRE permitting actions within OSMRE's authority that have the potential to deposit mercury (Hg) in the San Juan River. OSMRE will conduct this evaluation every two years and consult with USFWS upon completion of the evaluation. In evaluating and consulting on such actions, if adverse Hg effects to the Colorado pikeminnow, or adverse modification of its critical habitat due to Hg deposition, are determined likely, OSMRE will initiate formal ESA consultation to reduce these likely effects; and will ensure implementation of any subsequently developed measures to offset Hg effects to this species.
 - b. As a key cooperating agency coordinating with OSMRE in the ESA consultation process, BIA will obligate funding in fiscal year 2015 for the purposes of a Razorback sucker Selenium Effects Study. This study is expected to assist with clarifying what level of selenium causes adverse impacts to razorback sucker in the San Juan Basin.

Rationale. Because Colorado pikeminnow is a top predator, it has bioaccumulated high levels of Hg in its tissues that are known to be associated with reproductive injury, behavioral injury, and mortality (based on surrogate fish toxicity studies). When the populations' average Hg concentration in its whole body achieves 0.7 mg/kg WW, the breeding adult population will lose approximately 1 percent of its population every year, the majority of breeding adults will experience reproductive and behavioral injuries, and the recruitment of eggs and larvae to older life stages will be reduced by as much as 8 to 10 percent. These injuries are multiplicative given the Colorado pikeminnow life history and therefore, there is an Hg-mediated demographic impact to the Colorado pikeminnow population that results in the loss of individuals, a reduction of reproductive success, an increased probability of population decline, an increased probability of extinction, and inability to achieve recovery in the San Juan River Basin without additional action taken by the federal agencies.

Water of sufficient quality is a necessary primary constituent element for all Colorado pikeminnow history stages as it reduces Hg in water, soils, and Hg bioaccumulation in the food web and provides for adequate food to maintain reduced body burdens of Hg in Colorado pikeminnow adults, subadults, larvae and eggs. Elements 1a and 1b will provide the primary constituent elements needed to sustain the Colorado pikeminnow, which include water in sufficient quality as well as a cleaner food supply; prevent water quality degradation as a result of Hg deposition or Se loading to the San Juan River Basin and therefore benefit all life stages. Reducing the deposition of Hg, particularly ionic Hg, into the San Juan River Basin will improve the quality of soils, sediments, plants, invertebrates, and fish prey necessary for Colorado pikeminnow to provide for increased survival of breeding adults and recruitment. The concentrations of Hg and Se in Colorado pikeminnow, or other appropriate surrogates, will be routinely monitored so that achievements in the reduction of Hg in their critical habitat, prey, or body burdens can be quantified and further evaluated. Future mercury controls on mercury contributors to deposition in the San Juan River Basin are expected to have additional benefits and alleviate adverse modification of critical habitat.

We recognize that Hg pollution is a global problem that requires global action because it moves with air and water, transcends political borders, and can be transported thousands of miles in the atmosphere. Mercury pollution is more extensive than previously thought. Past mercury controls have been successful. In the United States, we are significantly reducing our use and emissions of mercury, but these efforts alone may not be sufficient to address the effects of mercury pollution in the San Juan River Basin. The effects of probable long-term Hg deposition in the San Juan River Basin create significant challenges to management of Colorado pikeminnow and critical habitat. Without creative, intensive, and focused management by the federal agencies on reducing Hg deposition, these impacts could contribute to the extinction of the Colorado pikeminnow and adverse modification of its critical habitat in the San Juan River Basin.

While there is uncertainty about the Hg reductions necessary to reverse the trend of bioaccumulation in Colorado pikeminnow and critical habitat, there is a strong likelihood that federal action agencies have available authorities, discretion, and a duty to work towards the reduction of local, regional, national and international sources of Hg deposition to the San Juan River Basin and thereby improve the physical and biological factors of Colorado pikeminnow critical habitat. The long-term goal for federal agencies would be to take action at the regional, national, or international level to identify exposed Colorado pikeminnow populations, minimize exposures, and appropriately reduce anthropogenic Hg emissions and deposition into the San Juan River Basin.

The Service recognizes that the involved federal agencies (OSMRE, USEPA, BIA, BLM) each have different authorities and agency discretion that will be necessary to insure that any action it authorizes, funds, or carries out, is not likely to jeopardize the continued existence of Colorado pikeminnow or results in the destruction or adverse modification of critical habitat. Therefore, the role of the lead federal agency, OSMRE, is to collect those periodic agency reviews and provide them as part of their report to the Service identifying which actions they or other federal agencies have taken to improve critical habitat. No failure to report will be considered a trigger for reinitiation as it is a mandatory duty of federal agencies to insure that any action it

authorizes, funds, or carries out, is not likely to result in the destruction or adverse modification of critical habitat and their actions must be maintained until adverse modification of critical habitat is alleviated.

2. RPM 2) Project Proponents will develop and implement a Pumping Plan to reduce the magnitude and types of entrainment of Colorado pikeminnow and razorback sucker. The Pumping Plan will optimize avoidance of entrainment of larvae and impingement of larger fishes through measures that are deemed feasible without altering the current operating configuration at the river pump station.
 - a. The Pumping Plan measures shall be developed with the oversight of OSMRE and the approval of the Service.
 - b. The final Pumping Plan shall be implemented within 2 years of issuance of a Record of Decision.

Rationale. As proposed, we estimate up to 340,200 larval Colorado pikeminnow or approximately 15 percent of the maximum estimated Colorado pikeminnow larval population and up to 426,975 razorback sucker larvae or 10 percent of the maximum razorback sucker larval population above APS Weir could be injured or entrained by the high velocities of water being pumped into and through the APS cooling water intakes for 25 years. Additional injuries and mortalities to as many as 375 subadult Colorado pikeminnows and 725 subadult razorback suckers could also occur should these fishes approach the cooling water intakes and be unable to swim away or be impinged.

Therefore, the Project Proponents shall develop a Pumping Plan that optimizes when cooling water pumps can be reasonably and prudently halted or reduced that are during times at which there is a seasonal abundance of either larval Colorado pikeminnow or larval razorback suckers drifting near the APS cooling water intakes. The Pumping Plan shall also evaluate and implement management practices or options for finer screen mesh or other reasonable and prudent technological solutions that reduce the number of subadult endangered fishes that may be impinged or entrained at the APS cooling water intakes. Similar pumping plans and water intake modifications have resulted in the reduction of endangered fish larvae and subadults elsewhere in the Upper Colorado River Basin. We have confidence that development and implementation of a Pumping Plan for APS cooling water intakes will reduce the number and types endangered fish larval losses to between 2 to 5 percent. Individual larvae and subadults that survive will contribute to population numbers, help alleviate adverse effects, and contribute towards self-sustaining populations of Colorado pikeminnow and razorback suckers in the San Juan River Basin. Survivability of endangered larval fish will be investigated so that appropriate reduction of entrainment can be achieved.

3. RPM 3) Project Proponents will develop and implement a Non-native Species Escapement Prevention Plan, which will include the following measures to minimize: (a) the risk of non-native species (plants, invertebrates, and fish) that inhabit Morgan Lake invading San Juan River; and (b) the introduction of additional nonnative species into Morgan Lake.
 - a. Project Proponents will develop and disseminate public education materials regarding the threat of non-native species targeted to recreational users of Morgan Lake. The materials will recommend practices to prevent the introduction of new nonnative species to Morgan Lake or the transfer of existing nonnative species from Morgan Lake to the San Juan River.
 - b. Project Proponents will install and operate a device designed to prevent the transfer of nonnative fish species from Morgan Lake to the San Juan River.

Rationale: Colorado pikeminnow and razorback sucker are threatened with extinction due to the cumulative effects of environmental impacts that have resulted in habitat loss, proliferation of nonnative introduced fish, and other man-induced disturbances. Because of the extreme and persistent threat posed by nonnative species, their eradication and management is the first priority in the endangered fish recovery plans (USFWS 2002a,b, 2014). Even nonnative species that already exist in the San Juan River pose a risk because they will likely displace, compete, or prey, or transmit diseases or parasites upon endangered fishes for many years after their potential release, thereby reducing the numbers, distribution, fitness, and population viability of endangered fishes in the San Juan River Basin. Predation and competition, although considered normal components of this environment, are out of balance due to introduced nonnative fish species in many areas including the San Juan River.

Morgan Lake provides a unique aquatic habitat in this arid region with a direct hydrological connection to the San Juan River. The environmental (e.g., warm, deep, clear) and societal conditions (e.g., recreational fishing and boating) there have resulted in novel, nonnative species such as tropical suckerfish and pacu that inhabit Morgan Lake and that have never been reported anywhere else in the San Juan River.

Allegations are that novel, nonnative species such as gizzard shad from Morgan Lake have escaped and colonized the San Juan River and Lake Powell to the detriment of the fisheries there. Ease of access, lack of comprehensive knowledge of nonnative species in Morgan Lake and lack of appropriate containment exacerbates the risks of nonnative species escapement and their potential ecological and societal impacts to the San Juan River and effects to endangered fish, and their critical habitat. As Morgan Lake is an industrial water supply that is managed by the Navajo Nation Department of Fish and Wildlife as a recreational fishery, APS is encouraged to coordinate with this agency. Implementation of a Nonnative Species Escapement Prevention Plan (in addition to funding nonnative removal efforts, see below) will reduce the number of nonnative fish in a particular area, including in Colorado pikeminnow and razorback sucker critical habitats.

4. RPM 4) Project Proponents shall fund implementation of the following Recovery Actions to continue working towards endangered fish survival and recovery in the San Juan River Basin and create, maintain, or improve habitat for Colorado Pikeminnow and Razorback Sucker through the SJRRIP.
- a. Funding will be provided to the SJRRIP through the National Fish and Wildlife Foundation (NFWF) on an initial one time and annual basis. Annual funding will be subject to annual adjustments determined by the Consumer Price Index (CPI).
 - b. Funding will be managed and administered by the SJRRIP Program Office according to the terms and conditions set forth in a contract with NFWF which shall conform to the obligations of this BO.
 - c. The following Recovery Actions shall be funded (Table 12).

Table 12. The following Recovery Actions shall be implemented by the SJRRIP.

Funded Recovery Action	One-time Costs	Annual Costs
Propagate endangered fish		\$40,600
Remove nonnative species		\$50,361
Protect, manage and augment fish habitat		\$153,045
Monitor fish habitat		\$103,463
Partial funding of fish passage at APS Weir	\$620,000	
Conduct monitoring of Hg and Se in endangered fish or their surrogates		\$60,000
Conduct studies of Hg in Colorado pikeminnow	\$600,000	
Contribute towards SJRRIP staff biologist to conduct these and other Recovery Actions		\$126,000
Conduct a Navajo Dam Temperature Modification Feasibility Study	\$100,000	0
Total	\$1,320,000	\$533,469

Rationale: The Project Proponents, federal agencies, and the Service identified that the project poses direct and indirect adverse effects and injuries to Colorado pikeminnow and its critical habitat, razorback sucker and its critical habitat, and to a lesser extent, the cuckoo and the flycatcher, through Hg and Se deposition, nonnative species escapement and entrainment. Colorado pikeminnow and razorback sucker are threatened with extinction due to the cumulative effects of environmental impacts that have resulted in habitat loss, proliferation of nonnative introduced fish, and other man-induced disturbances.

Recognizing the long-term need for recovery, the federal agencies and Project Proponents have agreed to fund these substantial actions to help remove the adverse effects to the endangered species and their critical habitats including:

Propagation of endangered fishes to offset of losses associated with the proposed action.

Nonnative fish removal, combined with the Nonnative Species Escapement Prevention Plan (RPM 3), to alleviate adverse effects to endangered fishes and adverse modification of their critical habitats.

Protection, management, and augmentation of fish habitat, including aquatic and floodplain habitats, to contribute towards the offset of losses to endangered fishes listed birds by increasing areas for their recovery.

Monitoring of habitat is required to track implementation of the RPM and contribute scientific information to support adaptive management by the SJRRIP. Fish passage at APS Weir will allow endangered fish increased access of up to 18 miles of fish habitat, including portions of Colorado pikeminnow critical habitat.

Monitoring of Hg and Se in endangered fish (or suitable surrogates) will be conducted by the Service every 5 years and is required to track implementation of the RPM and contribute scientific information to allow adaptive management by the SJRRIP.

Conducting Hg Studies in Colorado pikeminnow to address uncertainty will assist the tracking of implementation of the RPM and contribute scientific information to support adaptive management by the SJRRIP.

Funding a SJRRIP staff biologist will facilitate Hg and Se reviews, investigation, and monitoring, and contribute towards implementation of these and other Recovery Actions.

Funding a Navajo Dam Temperature Modification Feasibility Study was an additional effort identified by federal agencies and Project Proponents. This could benefit the recovery of Colorado pikeminnow in the San Juan River by determining whether or not temperature modifications to the outflow from Navajo Dam would increase survival of larval Colorado pikeminnow downstream.

The conservation needs of the Colorado pikeminnow and razorback sucker at this time are primarily associated with: 1) reduction of mercury and selenium loading to the San Juan River Basin; 2) reducing the entrainment or impingement of larval, juvenile, and larger Colorado pikeminnow and razorback sucker into the APS cooling water intakes; 3) detection, prevention, and removal of nonnative species from the San Juan River; 4) increased access to endangered fish habitat above APS Weir; 5) improving fish habitat conditions for Colorado pikeminnow and razorback sucker to improve recruitment, growth, survival, and recovery. Additional measures funded through RPM 4 will result in scientific information necessary to track incidental takes, track sufficient progress

towards recovery, and allow for an adaptive management by the SJRRIP. The first four RPMs specifically address the Hg and Se threats identified in the Environmental Baseline and address the threats posed by the proposed action. Implementation of these RPMs will minimize the effect of incidental take associated with the proposed action and increase the likelihood that Colorado Pikeminnow and Razorback Suckers will survive and the conditions of their habitat including attributes for migration, spawning, recruitment, growth, survival, and recovery will be improved. For these reasons, the Service finds that implementation of the RPMs described above is likely to avoid adverse effects to the Colorado pikeminnow and razorback sucker and their critical habitats in the San Juan River Basin.

5. RPM 5) OSMRE will work with USEPA and the Project Proponents to minimize the effects of the Proposed Action on Colorado pikeminnow, razorback sucker, southwestern willow flycatcher, or yellow-billed cuckoo, by coordinating with the Service in developing the analytical methods and conduct an analysis of duration, magnitude, concentration and contribution of discharges associated with NPDES permitting actions that will be used to conduct ESA review prior to development of future USEPA-issued NPDES permits for the Project.
 - a. In developing methods to evaluate the potential for effects of the future NPDES permits for the Project, OSMRE will coordinate with USEPA and the Project Proponents to identify how available water column and fish tissue Hg and Se data, including data collected as part of the monitoring program funded in Conservation Measure 7, will be evaluated to ensure protection of listed species and their suitable habitats.
 - b. OSMRE will work with USEPA and the Project Proponents to ensure that Se and Hg water column data collected pursuant to NPDES permit requirements will be analyzed using test methods that are sufficiently sensitive to enable measurement below the applicable water quality standards or associated review thresholds for purposes of evaluating reasonable potential effects and setting water quality based effluent limitations, if required. For example, we will require use of method 1631 or any similarly sensitive method to conduct Hg monitoring under the NPDES permits.
- c. Pending completion of the coordination steps identified in RPM 5.a. above, customary ESA review will occur for future proposed NPDES permit or renewal for the Project.
6. RPM 6) FCPP Project Proponents will minimize potential takes of Colorado pikeminnows, razorback suckers, flycatchers, or cuckoos by providing a Spill Contingency Countermeasures Plan which addresses potential Ash Pond Failure impacts on suitable habitat.
 - a. All necessary equipment, training, and materials will be made available for emergency response to a potential Ash Pond Failure as soon as feasible.
 - b. A practice response table top drill with appropriate authorities will be conducted every 10 years for the duration of the Project.

7. RPM 7) Project Proponents will minimize takes of flycatchers and cuckoos by conducting standard protocol surveys within the Deposition Areas and contribute to improved riparian or floodplain habitat conditions along the San Juan River Basin (as identified in RPM 3 (f)) or as described by the Project conservation measures).
 - a. FCPP Project Proponents will conduct flycatcher and cuckoo protocol surveys within at least 85 acres of the Deposition Area from 2016-2042 or until the Project ceases operation to monitor the effects of Hg and Se deposition to flycatchers and cuckoos.
 - b. NMEP Project Proponents will conduct flycatcher protocol surveys within at least one optimal location of suitable flycatcher habitat within the Navajo Mine Lease Area during the spring migration period from 2016-2042 or until the Project ceases operation to monitor the potential effects of noise and disturbance to migrant flycatchers.
 - c. RPM 8) OSMRE will coordinate the provision of data and an annual report to the Service at a frequency that is specifically identified by the RPMs on implementation of the proposed action, and their implementing terms and conditions.

TERMS AND CONDITIONS

In order to be exempt from the prohibitions of section 9 of the ESA, the agencies must comply with the following terms and conditions. These terms and conditions implement the Reasonable and Prudent Measures described above and outline required reporting and monitoring requirements. These terms and conditions are non-discretionary.

1. To implement RPM 1 (Federal agencies shall use all available authorities and agency discretion to reduce atmospheric Hg deposition (and Se loading) in the San Juan River Basin).
 - a. Federal action agencies shall review their authorities and determine whether there is agency discretion to reduce Hg deposition (or Se loading) to the San Juan River Basin;
 - b. If there is agency discretion under existing authorities to reduce Hg within the San Juan River Basin, then ESA consultation with the Service shall be initiated;
 - c. For Se loading, BIA will obligate funding in fiscal year 2015 for the purposes of a Razorback Sucker Selenium Effects study. This study is expected to assist with clarifying what level of selenium causes adverse impacts to razorback sucker in the San Juan River Basin.

- d. The lead federal agency shall report on any of their agency reviews and ESA consultation involving Hg deposition to the San Juan River Basin that may adversely affect Colorado pikeminnow or their critical habitat prior to the next OSMRE permit issuance, or by October 1, 2020, whichever comes first.
 - e. Failure to report will not be considered a trigger for reinitiation as it is a mandatory duty of federal agencies to insure that any action it authorizes, funds, or carries out, is not likely to result in the destruction or adverse modification of critical habitat and their actions must be maintained until adverse modification of critical habitat is alleviated.
2. To implement RPM 2 (Project Proponents shall minimize entrainment and impingement losses of Colorado pikeminnow and razorback sucker through measures taken at the APS cooling water intakes above APS Weir).
 - a. Project Proponents, in consultation with the Service, will develop a Pumping Plan that will identify optimal times to restrict pumping, provided the restrictions are reasonable and prudent and, that will minimize the entrainment injury of endangered fish larvae; and, that will use screening technology to minimize injury to endangered fishes
 - b. Project Proponents will implement the Pumping Plan within two years of issuance of a Record of Decision.
3. To implement RPM 3 (Federal agencies and Project Proponents shall develop and implement a Nonnative Species Escapement Prevention Plan).
 - a. Federal agencies and Project Proponents will work with others to develop and implement a Nonnative Species Escapement Prevention Plan.
 - b. A risk management approach will be used to identify, evaluate, treat, monitor, and prevent existing or novel nonnative species in Morgan Lake from invading the San Juan River
 - c. The Project Proponents will contribute information to the Navajo Nation Department of Fish and Wildlife for the comprehensive inventory of nonnative species that occur in Morgan Lake that may pose a threat to endangered fishes in the San Juan River. . This may include, but are not limited, invasive plants, invertebrates including mollusks, and especially nonnative fish.
 - d. Educational materials and the device installed to prevent nonnative fish release will be developed and designed based on risk posed by the nonnative species detected, their life histories and any potential for those species to transport or disperse through the FCPP facilities, the risks of escapement, and the consequences of such escapement to endangered fishes in the San Juan River.

- e. Working with the federal agencies, the Proponents will select and implement those reasonable and prudent educational measures and device design necessary to contain, treat, or manage nonnative species that pose the greatest risks of escapement into the San Juan River and to the endangered fishes or their critical habitat
 - f. Monitor the containment or treatment implemented and report on nonnative species in Morgan Lake, their risks of escapement, and the measures implemented to contain or treat those risks, and any educational and outreach efforts within three years of issuance of a Record of Decision.
4. To implement RPM 4 (fund implementation of the following Recovery Actions to continue working towards endangered fish survival and recovery in the San Juan River Basin, create, maintain, or improve habitat for Colorado Pikeminnow and Razorback Sucker through the SJRRIP).
 - a. Funding will be provided to the SJRRIP through the National Fish and Wildlife Foundation (NFWF) on an initial and triannual basis;
 - b. Funding will be managed and administered by the SJRRIP Program Office according to the terms and conditions set forth in a contract with NFWF consistent with the terms and obligations of this BO;
 - c. The Recovery Actions identified in Table 13 shall be implemented during the proposed project (2016 to 2041 or for the life of the project).
 - d. The Service and the SJRRIP shall be responsible for implementation of any and all Funded Recovery Actions and any adaptive management necessary to appropriately continue to ensure the recovery of the endangered fish. In no event shall any adaptive management by the Service or the SJRRIP result in any further or increased financial obligations to the project proponents than as otherwise set forth in this BO.
5. To implement RPM 5 (Develop Evaluation Methods for future NPDES reviews) USEPA and OSMRE shall consider the following factors:
 - a. USEPA will consider how the effluent limits, if any, are expressed in the NPDES permit and evaluate whether a water column translation to an endangered fish tissue guideline concentration is available at the time of permit issuance. USEPA will consider guidance and scientific information available at the time of permit issuance in selecting an appropriate method for translating fish tissue guidelines to water column values used to evaluate reasonable potential effects and calculate effluent limitations if needed.

- b. In evaluating potential effects of NPDES discharges in future permitting actions for the Project, USEPA will use the Navajo Nation's fish tissue criterion of methylmercury in fish of 0.3 mg/kg wet weight and the USEPA (2014) draft freshwater selenium ambient chronic water quality criterion for protection of aquatic life of 15.2 mg/kg dry weight in fish egg/ovaries (or water column equivalent), or other appropriate and scientifically defensible values, for purposes of evaluating the relationship between water discharges and potential species effects. As necessary these current endangered fish tissue evaluation thresholds may be modified to reflect new information, monitoring data, and in coordination with the Service.
- c. USEPA will, in association with future NPDES permitting actions for the Project, provide an analysis of the duration, magnitude, concentration and contribution of the flows in the vicinity downstream from the NPDES permitted discharges to clarify the potential contribution of such flows to the overall impacts from Hg and Se to threatened and endangered species and critical habitat in the project area.
- d. If the fish tissue guideline of Hg or Se in the receiving water is below and not close to the endangered fish tissue guidelines, depending on the particular facts, the permitting authority may reasonably conclude that the discharge does not have reasonable potential, but tier 2 antidegradation provisions should be considered.
- e. If the review of available Hg and Se data collected in the vicinity downstream from the NPDES permitted discharges indicates that permitted discharges cause or contribute to exceedances of applicable water quality standards, as evaluated based on the best available water column, translator, and fish tissue threshold values, water quality based effluent limitations will be included in the NPDES permit.
- f. NPDES permits shall contain a special condition requiring the permittee to monitor effluents for Se and Hg using a sufficiently sensitive EPA-approved method. The selection of a sufficiently sensitive method relates method quantitation levels to the water column criterion value. If a water column criterion or a water column translation of an endangered fish tissue guideline is not available to allow for selecting an alternate sufficiently sensitive method, use of the most recent approved version of method 1631, where feasible, to characterize effluent discharges will be required. The frequency of such monitoring shall be quarterly or once per discharge in the case of intermittent discharge for a sufficient period of time to accurately assess the long-term concentration levels of Se and Hg in the effluent regulated under the NPDES permits.

6. To implement RPM 6 (Provide Spill Contingency Countermeasures Plan for Ash Pond Failure) the federal action agencies shall:
 - a. Direct Project Proponents to submit for review and approval. a Spill Contingency Countermeasures Plan which addresses potential Ash Pond Failure impacts on suitable habitat, including plans to make available all necessary equipment, training;
 - b. Promptly submit the final amended Spill Contingency Countermeasures Plan to the federal action agencies and the Service's NMESFO
 - c. Direct Project Proponents to conduct an initial practice response (table-top) drill with appropriate authorities within ten years of issuance of a record of decision

7. To implement RPM 7 (Conduct flycatcher and cuckoo protocol surveys) the federal action agencies shall require flycatcher and cuckoo protocol surveys conducted by the Project Proponents as follows:
 - a. All flycatcher and cuckoo protocol surveys shall be conducted by persons in possession of a valid Federal Fish and Wildlife Permit (note Federal Fish and Wildlife Permits are only valid with possession of an appropriate state and/or tribal permit).
 - i. As appropriate, have assigned staff or contractors submit an application for a Federal Fish and Wildlife Permit, Native Endangered and Threatened Species -Scientific Purposes, Enhancement of Propagation or Survival Permits (i.e., Recovery Permits that is available online at <http://www.fws.gov/forms/3-200-55.pdf>) as soon as possible to insure enough time to allow for attendance of flycatcher and cuckoo protocol survey training and application reviews of methods and expertise.
 - ii. All flycatcher and cuckoo protocol surveys conducted must provide all data and reports as required by the Federal Fish and Wildlife Permit.
 - b. Federal agencies shall require appropriate Project Proponents to conduct flycatcher and cuckoo protocol surveys within at least 85 acres of the Deposition Area from 2016-2042 or until the Project ceases operation to monitor the effects of Hg and Se deposition to nesting flycatchers and cuckoos.
 - i. Selection of 85 acres of flycatcher and cuckoo protocol survey sites can be done considering riparian habitat qualities within suitable habitat described by AECOM (2014), land ownership, and other legal, practical, or logistic factors.
 - ii. Flycatcher and cuckoo protocol surveys done by any others (e.g., BIA, BLM, NNDFW, Reclamation, etc.) in possession of a valid Federal Fish

and Wildlife Permit can be substituted or used to meet the requirement for these surveys, however, responsibility for completion of all protocol surveys rests with federal action agencies and the Project Proponents.

- c. Federal agencies shall require appropriate Project Proponents to conduct presence/absence flycatcher and cuckoo surveys within at least one optimal or suitable habitat (AECOM 2014) on the Navajo Mine Lease Area during the spring migration period to monitor the potential effects of noise and disturbance to migrant flycatchers from 2016-2042 or until the Project ceases operation.
 - i. The specific survey design, location, and evaluation of the data necessary to quantify the potential effects of noise and disturbance to migrant flycatchers on the Pinabete Mine Lease Area may be modified over time based on new information, successful efforts, and other emerging needs.
 - d. Summaries of these flycatcher and cuckoo surveys shall be provided in the annual reports to the Service described in RPM 9.
8. To implement RPM 8 (Reporting Requirements) the OSMRE shall prepare and submit a report summarizing the status of all RPMs, and the Terms and Conditions and any additional data or relevant information to the Service's NMESFO annually, no later than May 30 for the previous calendar year's activities.
- a. Ensure that the Service receives electronic copies of all reports and plans related to implementation of these RPMs and terms and conditions, including but not limited to, the progress or completion of the Project that identifies any significant modifications to the proposed action; any anticipated outcomes to actual outcomes; any anticipated level of incidental take or any actual observations or quantification of take associated with the proposed action, any summaries of species monitoring and protocol surveys, a summary of the annual estimated atmospheric emissions of Hg and Se (as submitted to any federal agency or publically and with any confidential business information removed), any habitat mapping and monitoring, any relevant water quality monitoring associated with NPDES permits and that exceeds any permit limits, any Spill Contingency Countermeasure plans or drills conducted, and any relevant information and status of the Recovery Actions taken.
 - b. Reports should reference the appropriate consultation number: Consultation # 02ENNM00-2014-F-0064 and should be sent to the email address nmesfo@fws.gov (or individual email addresses affirmed through discussion) or by mail to the Service's New Mexico Ecological Services Field Office, Attn: San Juan River Recovery Implementation Program Office, 2105 Osuna Road NE, Albuquerque, New Mexico 87113. (And note that the NMESFO will relocate within 4 years).

CONSERVATION RECOMMENDATIONS

Section 7(a)(1) of the ESA directs Federal agencies to utilize their authorities to further the purposes of the ESA by carrying out conservation programs for the benefit of endangered and threatened species. Conservation recommendations are discretionary agency activities to minimize or avoid adverse effects of a proposed action on listed species or critical habitat, to help implement recovery plans, or to develop information. The recommendations provided here relate only to the proposed action and do not necessarily represent complete fulfillment of the agency's section 7(a)(1) responsibility for these species. In order for the Service to be kept informed of actions that either minimize or avoid adverse effects or that benefit listed species and their habitats; we request notification of the implementation of the conservation recommendations. We suggest the following conservation recommendations be implemented:

1. OSMRE could work with Bureau of Reclamation and other agencies to further quantify the effects of cold water releases and minimize cold water impacts to Colorado Pikeminnow and its critical habitat in the San Juan River.
2. USEPA could work with states and tribes to develop a Total Maximum Daily Load or Mercury Minimization Plan for the San Juan River that reduces all inputs of Hg so as to protect piscivorous fish and wildlife.
3. BLM could evaluate the Hg emission and deposition associated with fossil fuel extraction, and any ozone or particulates that may affect Hg dynamics in the San Juan River Basin;
4. USEPA could draft Hg ambient freshwater water criterion guidelines that include fish tissue guidelines that protect top level predators and wildlife, particularly for any watersheds that contain Colorado pikeminnow or its critical habitat.
5. BIA could post signage and provide educational materials (using symbols and all major languages used in the region) that alert people who might dispose of aquarium fish into Morgan Lake about the hazards such disposal would pose to native fish and wildlife.
6. BIA, BLM and OSMRE should report any collection of Mesa Verde cacti within the action area to the Service.
7. BIA could survey populations of Mesa Verde cactus in Colorado on the Ute Mountain Ute Reservation.
8. OSMRE, BIA, and BLM could continue to participate in the development, approval, and management of the Mesa Verde Cactus Conservation Areas.
9. Determine how water savings from water conservation and water use efficiency improvements or water acquired through purchase or lease can be used directly for in-stream flow and other direct benefits to the species.
10. Research the effects and benefits of turbidity and suspended sediment on Colorado pikeminnow, razorback sucker, and their critical habitat to identify thresholds of concern.
11. Conduct studies of razorback sucker and Colorado pikeminnow diets.
12. Reduce risks of catastrophic hazardous material or petroleum spills as they are likely to remain even if annual risks are low. Hazard assessments, pollution prevention, and Area Contingency Plans should be developed and refined over time to address potential oil spills and leaks of hazardous materials into the San Juan River Basin. Spill response

drills specific to the likely hazards posed to critical habitats in the San Juan River should be conducted.

13. Develop a contingency plan in the event of wildfire in flycatcher and cuckoo habitat that would reduce impacts to these listed species.
14. Transplant Mancos Milk vetch and Mesa Verde cactus to establish new populations.
15. Develop and implement a plan to limit encroachment of permanent dwellings into areas that could be flooded on the San Juan River.
16. Implement ecosystem restoration on a broad watershed scale.
17. Research razorback sucker predation and competition relationships.
18. Trap Brown-headed cowbirds and control feral hogs as needed.
19. Manage livestock grazing to avoid impacts to flycatchers, cuckoos and their habitats.

In order for the Service to be kept informed of actions minimizing or avoiding adverse effects or benefiting listed species or their habitats, we request notification of the implementation of any conservation recommendations.

REPORTING REQUIREMENTS

Documentation and reporting on the implementation of the RPMs and terms and conditions will occur within 1 year following the completion of the Record of Decision for the Project and annually thereafter for a period of up to twenty five years or until the Project ceases operation. The nearest Service Law Enforcement Office must be notified within 24 hours in writing should any listed species be found dead, injured, or sick. Notification must include the date, time, and location of the carcass, cause of injury or death (if known), and any pertinent information. Care should be taken in handling sick or injured individuals and in the preservation of specimens in the best possible state for later analysis of cause of death. In conjunction with the care of sick or injured endangered species or preservation of biological materials from a dead animal, the finder has the responsibility to ensure that evidence associated with the specimen is not unnecessarily disturbed. If necessary, the Service will provide a protocol for the handling of dead or injured listed animals. In the event that OSMRE suspects that a listed species has been taken in violation of Federal, State, or local law, all relevant information should be reported in writing within 24 hours to the Service's New Mexico Law Enforcement Office (505) 883-7814 and/or the New Mexico Ecological Services Field Office (505) 346-2525.

REINITIATION NOTICE

This concludes formal consultation on the Four Corners Power Plant and Navajo Mine Energy Project. As required by 50 FR 402.16, reinitiation of formal consultation is required where discretionary Federal agency involvement or control over the action has been retained (or is authorized by law) and if: 1) the amount or extent of incidental take is exceeded (see section on Amount or Extent of Take), 2) new information reveals effects of the agency action that may impact listed species or critical habitat in a manner or to an extent not considered in the BA or this BO, 3) the agency action is subsequently modified in a manner that causes an effect to the listed species or critical habitat that was not considered in this opinion, 4) a new species is listed or critical habitat designated that may be affected by the action, 5) if the Project Proponents elect to cease the Project, they will notify the Service as soon as possible, they will fund Recovery Actions per the NFWF agreement, and this BO will become invalid by the end of the notification year, and a final report must be submitted, as required.

In future communications regarding this project please refer to consultation number 02ENNM00-2014-F-0064. If you have any questions or would like to discuss any part of this BO, please contact David Campbell of my staff at (505) 761-4745.

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